

Perturbations in scalar-tensor and scalar-vector-tensor theories.

partly based on papers with Volkova, Shtennikova, Valencia-Villegas

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Plan

- Scalar-tensor and scalar-vector-tensor theories, properties and perturbations
 - 2nd order equations (Horndeski type)
 - Degenerate theories (DHOST type)
 - Fully degenerate theories (MMG)
- Applications
 - early Universe cosmology
 - compact objects and other solutions
 - modern Universe cosmology

2nd order equations

Toy example:

$$\mathcal{L} = K(\pi, X) \square \pi \qquad X = g^{\mu\nu} \pi_{,\mu} \pi_{,\nu}$$

2nd order equations

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$$\delta\mathcal{L} = K_{\pi} \square \pi \delta\pi + \underline{K_X \square \pi \delta X} + K \square \delta\pi =$$

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$$= \dots + K_X \square \pi \delta \partial_{\mu} \pi \partial^{\mu} \pi + K \partial_{\mu} \partial^{\mu} \delta \pi$$

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$$\dots - 2K_X \partial^{\mu} \partial_{\nu} \partial^{\nu} \pi \partial_{\mu} \pi \delta \pi + 2K_X \partial_{\mu} \partial^{\mu} \partial_{\nu} \pi \partial^{\nu} \pi \delta \pi$$

= ...only second derivatives

Horndeski theory

- Most general scalar-tensor theory with 2nd order EoM

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5),$$

$$\mathcal{L}_2 = F(\pi, X),$$

$$\mathcal{L}_3 = K(\pi, X) \square \pi,$$

$$\mathcal{L}_4 = -G_4(\pi, X) R + 2G_{4X}(\pi, X) \left[(\square \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu} \right],$$

$$\mathcal{L}_5 = G_5(\pi, X) G^{\mu\nu} \pi_{;\mu\nu} + \frac{1}{3} G_{5X} \left[(\square \pi)^3 - 3 \square \pi \pi_{;\mu\nu} \pi^{;\mu\nu} + 2 \pi_{;\mu\nu} \pi^{;\mu\rho} \pi_{;\rho}{}^\nu \right],$$

where π is the Galileon field, $X = g^{\mu\nu} \pi_{;\mu} \pi_{;\nu}$, $\pi_{;\mu} = \partial_\mu \pi$, $\pi_{;\mu\nu} = \nabla_\nu \nabla_\mu \pi$,
 $\square \pi = g^{\mu\nu} \nabla_\nu \nabla_\mu \pi$, $G_{4X} = \partial G_4 / \partial X$

Properties

- Theory of a very general form under several assumptions:
 - general covariance
 - locality
 - $S = \int d^4x \sqrt{-g} \mathcal{L}(g_{\mu\nu}, \phi, \nabla\phi, \nabla\nabla\phi)$
(1 additional degree of freedom)
 - 2nd order equations of motion.
- Have sufficiently much freedom to modify gravity and scalar dynamics in different ways
Encodes wide class of modified gravity models

Examples

- Minimally coupled scalar (k-essence)

- $F = F(\phi, X)$, $K = 0$, $G_4 = \frac{M_{\text{Pl}}^2}{2}$, $G_5 = 0$
- Canonical case: $G_2 = X - V(\phi)$

- Brans–Dicke theory

- $G_4 = \phi$, $F = -\frac{\omega}{\phi}X - V(\phi)$, $K = G_5 = 0$
- $f(R)$ gravity equivalent to Brans–Dicke with $\omega = 0$

$$S = \int d^4x \sqrt{-g} [f'(\chi)(R - \chi) + f(\chi)]$$

- $G_4 = \frac{\phi}{2}$, $F = -V(\phi)$, $K = G_5 = 0$

Examples

- Kinetic Gravity Braiding

$$F = F(\phi, X), \quad K = K(\phi, X), \quad G_4 = \frac{M_{\text{Pl}}^2}{2}, \quad G_5 = 0$$

$$\text{Qubic Galileon: } F = X, \quad K = \frac{X}{\Lambda^3}, \quad G_4 = \frac{M_{\text{Pl}}^2}{2}, \quad G_5 = 0$$

- Coupling to Gauss-Bonnet term

$$\xi(\phi) (R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\lambda\rho}R^{\mu\nu\lambda\rho})$$

$$F = 8\xi_{\phi\phi\phi\phi}X^2(3 - \ln X) \quad K = 4\xi_{\phi\phi\phi}X(7 - 3 \ln X)$$

$$G_4 = 4\xi_{\phi\phi}X(2 - \ln X) \quad G_5 = -4\xi_{\phi} \ln X$$

Degenerate theories

Toy example:

Kinetic matrix

$$\mathcal{L} = \frac{A}{2} \ddot{\phi}^2 + B \ddot{\phi} \dot{g} + \frac{C}{2} \dot{g}^2 + \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} \phi^2 - \frac{1}{2} g^2 \dots$$

Equations of motion

$$A \overset{\dots}{\phi} + B \overset{\dots}{g} - \ddot{\phi} - \phi = 0$$

$$B \overset{\dots}{\phi} + C \overset{\dots}{g} + g = 0$$

if $AC - B^2 = 0$ system is equivalent to

$$\ddot{\phi} + \frac{B}{C} \dot{g} + \phi = 0$$

$$\left(1 - \frac{B^2}{C^2}\right) \ddot{g} - \frac{B}{C} \dot{\phi} + \frac{1}{C} g = 0$$

Main idea:

Hessian

$$\det \begin{pmatrix} \partial^2 \mathcal{L} / \partial \ddot{\phi}^2 & \partial^2 \mathcal{L} / \partial \ddot{\phi} \partial \dot{g} \\ \dots & \partial^2 \mathcal{L} / \partial \dot{g}^2 \end{pmatrix} = 0$$

Primary constraint \Rightarrow no extra DOF

beyond Horndeski

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_{\mathcal{BH}}),$$

$$\mathcal{L}_2 = F(\pi, X),$$

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$$\begin{aligned} \mathcal{L}_{\mathcal{BH}} = & F_4(\pi, X) \epsilon^{\mu\nu\rho}{}_\sigma \epsilon^{\mu'\nu'\rho'\sigma'} \pi_{;\mu} \pi_{;\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} + \\ & + F_5(\pi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \pi_{;\mu} \pi_{;\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} \pi_{;\sigma\sigma'} \end{aligned}$$

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$$F_4 G_{5X} X = -3F_5 \left[G_4 - 2XG_{4X} + \frac{1}{2} G_{5\pi} X \right],$$

beyond Horndeski

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$$F_4 G_5 X = -3F_5 \left[G_4 - 2XG_{4X} + \frac{1}{2} G_{5\pi} X \right],$$

$$H \rightarrow \text{BH} \quad g_{\mu\nu} \rightarrow g_{\mu\nu} + \Gamma(\pi, X) \partial_\mu \pi \partial_\nu \pi.$$

DHOST theory

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$$A_2 = -A_1,$$

$$A_4 = \frac{1}{8(F_2 - XA_1)^2} \left[-16XA_1^3 + 4(3F_2 + 16XF_{2X})A_1^2 \right. \\ \left. - (16X^2F_{2X} - 12XF_2)A_3A_1 - X^2F_2A_3^2 \right. \\ \left. - 16F_{2X}(3F_2 + 4XF_{2X})A_1 + 8F_2(XF_{2X} - F_2)A_3 + 48F_2F_{2X}^2 \right],$$

$$A_5 = \frac{(4F_{2X} - 2A_1 + XA_3)(-2A_1^2 - 3XA_1A_3 + 4F_{2X}A_1 + 4F_2A_3)}{8(F_2 - XA_1)^2}.$$

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$$L_8^{(3)} = (\pi^{\mu\nu}\pi_\mu)^2(\pi^{\rho\sigma}\pi_\rho\pi_\sigma), \quad L_9^{(3)} = \square\pi(\pi^{\rho\sigma}\pi_\rho\pi_\sigma)^2,$$

$$L_{10}^{(3)} = (\pi^{\rho\sigma}\pi_\rho\pi_\sigma)^3$$

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+ Relations between F_3 and B_j

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+ Relations

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+ Relations

H \rightarrow DHOST

$$g_{\mu\nu} \rightarrow \Omega^2(\pi, X) g_{\mu\nu} + \Gamma(\pi, X) \partial_\mu \pi \partial_\nu \pi.$$

Fully degenerate theories

- Additional constraint on the kinetic matrix \Rightarrow only two DOF remains.

Scalar is a non-dynamical auxiliary field

- Minimally Modified Gravity theories:

- Type-I MMG

- Have an Einstein frame
- Without matter are equivalent to GR
- "Veiled gravity"

- Type-II MMG

= Λ CDM theory

- Does not have an Einstein frame
- "Cuscuton"

Perturbations above FLRW background: DHOST

$$S = \int dt d^3x a^3 \left[\frac{\mathcal{G}_T}{8} \left(\dot{h}_{ik}^T \right)^2 - \frac{\mathcal{F}_T}{8a^2} \left(\partial_i h_{kl}^T \right)^2 + \mathcal{G}_S \dot{\zeta}^2 - \mathcal{F}_S \frac{(\nabla \zeta)^2}{a^2} \right]$$

The speeds of sound for tensor and scalar perturbations are, respectively,

$$c_T^2 = \frac{\mathcal{F}_T}{\mathcal{G}_T}, \quad c_S^2 = \frac{\mathcal{F}_S}{\mathcal{G}_S}$$

These coefficients are combinations of Lagrangian functions and have non-trivial relations

$$\begin{aligned} \mathcal{G}_S &= \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T, & \mathcal{G}_S &= \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T, \\ \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, & \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, \\ \xi &= \frac{a \mathcal{G}_T^2}{\Theta}. & \xi &= \frac{a(\mathcal{G}_T + \mathcal{D}\dot{\pi} + \mathcal{F}_T \Delta) \mathcal{G}_T}{\Theta}. \end{aligned}$$

Perturbations above FLRW background: simplest MMG - generalized cuscuton

$$S = \int dt d^3x a^3 \left[\frac{\mathcal{G}_T}{8} \left(\dot{h}_{ik}^T \right)^2 - \frac{\mathcal{F}_T}{8a^2} (\partial_i h_{kl}^T)^2 + \mathcal{G}_S \dot{\zeta}^2 - \mathcal{F}_S \frac{(\nabla \zeta)^2}{a^2} \right]$$

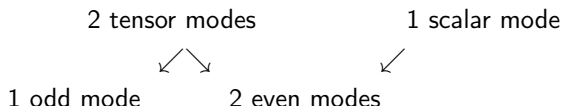
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These coefficients are combinations of Lagrangian functions and have non-trivial relations

$$\begin{aligned} \mathcal{G}_S &= \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T, & \mathcal{G}_S &= 0 \\ \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, & \Rightarrow \quad \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, \\ \xi &= \frac{a \mathcal{G}_T^2}{\Theta}. & \xi &= \frac{a \mathcal{G}_T^2}{\Theta}. \end{aligned}$$

Perturbations above spherically symmetric background



$$S_{\text{odd}}^{(2)} = \int dt dr \left[\mathcal{A} \dot{q}^2 - \mathcal{B} (q')^2 + \mathcal{C} \dot{q}(q') - \frac{l(l+1)}{j^2} \cdot \mathcal{H} q^2 - V(r) q^2 \right]$$

$$S_{\text{even}}^{(2)} = \int dt dr \left[\mathcal{K}_{ij} \dot{v}^i \dot{v}^j - \mathcal{G}_{ij} v^{i'} v^{j'} + \mathcal{Q}_{ij} \dot{v}^i v^{j'} + \dots \text{less derivative terms} \dots \right]$$

$i, j = 1..2$

Scalar-Vector-Tensor theories

- Scalar-tensor theory + Vector interactions

Basis for U(1) gauge vector-ST vertexes:

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full degeneracy, additional constraint \Rightarrow ???

Scalar-Vector-Tensor theories: another approach

KK reduction of Horndeski/DHOST/MMG theory

$$g_{mn} = \begin{pmatrix} g_{\mu\nu} - \phi^2 A_\mu A_\nu & \phi^2 A_\mu \\ \phi^2 A_\nu & -\phi^2 \end{pmatrix}$$

•

$$R^5 \longrightarrow R^4 \times S^1$$

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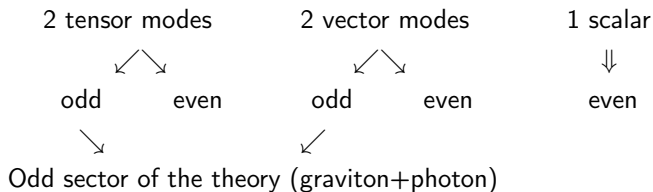
Degenerate SVT: Perturbations above FLRW background

$$S = \int dt d^3x a^3 \left[\frac{\mathcal{G}_T}{8} (\dot{h}_{ik}^T)^2 - \frac{\mathcal{F}_T}{8a^2} (\partial_i h_{kl}^T)^2 + \right. \\ \left. + \mathcal{G}_S \dot{\zeta}^2 - \mathcal{F}_S \frac{(\nabla \zeta)^2}{a^2} + \mathcal{G}_V \dot{A}_i^2 - \mathcal{F}_V \frac{(\partial_j A_i)^2}{a^2} \right]$$

The speeds of sound for tensor, scalar and vector perturbations are, respectively,

$$c_T^2 = \frac{\mathcal{F}_T}{\mathcal{G}_T}, \quad c_S^2 = \frac{\mathcal{F}_S}{\mathcal{G}_S}, \quad c^2 = c_V^2 = \frac{\mathcal{F}_V}{\mathcal{G}_V}$$

Perturbations above spherically symmetric background



Applications of ST and SVT theories

Motivation to study them, solutions

- early Universe cosmology
- compact objects and other solutions
- modern Universe cosmology

Early Universe (pre-Big Bang)

± Horndeski

+ beyond Horndeski and DHOST

+ MMG

- SVT

Early Universe

- Different "successful" models of the early Universe:
 - different new models of Inflation
 - bouncing cosmologies
 - genesis models
 - various combinations

Early Universe

- Different "successful" models of the early Universe:
 - different new models of Inflation
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 - genesis models
 - various combinations
- By "successful" we mean not only stable (healthy), but also satisfying experimental data
 - amplitude and tilt of the scalar spectrum
 - amplitude of tensor spectrum (r -ratio)
 - anisotropies and non-gaussianities

Early Universe: first challenge

Null Energy Condition \iff

$$T_{\mu\nu}k^\mu k^\nu \geq 0$$

Friedmann equations

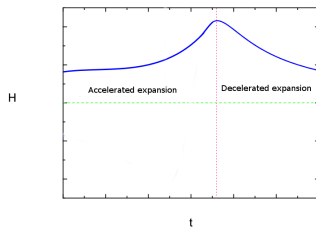
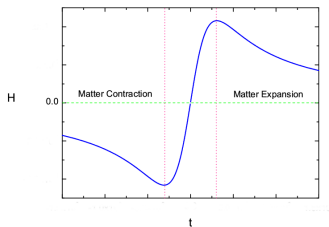
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Null Convergence Condition

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Penrose theorem

no singularity \Rightarrow NEC-violation



Cosmological bounce and genesis solutions require NEC-violation

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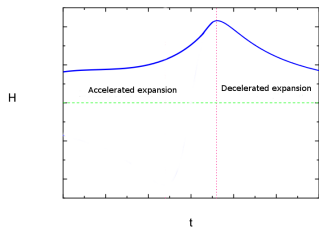
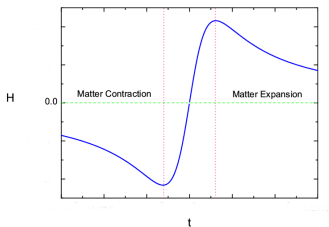
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Cosmological bounce and genesis solutions require NEC-violation
As well as wormhole-like solutions

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stability requirement: $\mathcal{G}_S, \mathcal{G}_T > 0$, $\mathcal{F}_S, \mathcal{F}_T > 0$.

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- **Horndeski theory**

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- **Horndeski theory** and beyond

Early Universe: second challenge

- Another issue that arises in Horndeski cosmology is apparent no-go theorem

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If one tries to construct globally stable globally non-singular solution

$$\mathcal{G}_S = \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T,$$
$$\mathcal{F}_S = \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T,$$
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 - **consider beyond Horndeski or DHOST theory**

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- Another issue that arises in Horndeski cosmology is apparent no-go theorem

If one tries to construct globally stable globally non-singular solution

$$\begin{aligned} \mathcal{G}_S &= \frac{\Sigma \mathcal{G}_T^2}{\Theta^2} + 3\mathcal{G}_T, & \mathcal{G}_S &= 0 \\ \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, & \mathcal{F}_S &= \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T, \\ \xi &= \frac{a\mathcal{G}_T^2}{\Theta}. & \xi &= \frac{a\mathcal{G}_T^2}{\Theta}. \end{aligned} \quad \Rightarrow$$

- This issue was also resolved in many different ways
 - geodesically incompleteness
 - strong gravity in the past
 - $\theta = 0$
 - different geometry or formalism (torsion, Palatini)
 - **consider beyond Horndeski or DHOST theory**
 - **consider cuscuton or generalized cuscuton**
(talk on Wednesday by V.Volkova)

Compact objects and other solutions

- + Horndeski
- + beyond Horndeski and DHOST
- + MMG
- ± SVT

Compact objects and other solutions

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-
- No-go for wormhole solutions in Horndeski theory
 - "almost" resolved in beyond Horndeski
 - Many different "successful" black hole solutions (with or without hair)

Modern Universe cosmology

- + Horndeski
- + beyond Horndeski and DHOST
- + MMG
- ++ SVT

Modern Universe cosmology

- Dark matter
 - "mimetic" dark matter
 - heavy vector or scalar dark matter
 - or even light scalar dark matter
- Dark energy
 - quintessence and other models
 - phantom crossing \Leftrightarrow NEC
 - selftuning models
- Modifications to hot stage

- Usually the scalar-tensor part works as background theory
 - isotropy
 - simplicity

- Why Vectors then?
 - vector particles
 - (electro) magnetic fields
 - modified photon

Modern Universe challenges

- There is are additional phenomenological restrictions, when we study modern Universe (models of dark energy and dark matter)

GW170817

- Speed of gravitational waves is very close to the speed of light

$$c_T \approx c$$

$$\left| \frac{c_T}{c} - 1 \right| < 10^{-15}$$

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- (SVT) Electromagnetic waves do not decay too

$$\mathcal{L} \supset \zeta \zeta A_i \quad \text{vertex is suppressed}$$

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5),$$

$$\mathcal{L}_2 = F(\pi, X),$$

$$\mathcal{L}_3 = K(\pi, X) \square \pi,$$

$$\mathcal{L}_4 = G_4(\pi, X) R + G_{4X}(\pi, X) \left[(\square \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu} \right],$$

$$\mathcal{L}_5 = G_5(\pi, X) G^{\mu\nu} \pi_{;\mu\nu} - \frac{1}{6} G_{5X} \left[(\square \pi)^3 - 3 \square \pi \pi_{;\mu\nu} \pi^{;\mu\nu} + 2 \pi_{;\mu\nu} \pi^{;\mu\rho} \pi_{;\rho}{}^\nu \right],$$

$$S_T = \int d\eta d^3x a^4 \left[\frac{1}{2a^2} \left(\mathcal{G}_T (\dot{h}_{ij})^2 - \mathcal{F}_T (\partial_k h_{ij})^2 \right) \right]$$

$$\mathcal{G}_T = 2 [G_4 - 2XG_{4,X} - X (H\dot{\pi} G_{5X} - G_{5\phi})]$$

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Viable ST models after GW 170817

- General relativity
- quintessence/k-essence
- Brans-Dicke/ $f(R)$
- Kinetic Gravity Braiding
- Derivative Conformal
- Disformal Tuning
- quartic Galileons
- Fab Four
- quadratic DHOST
- quintic Galileons
- cubic DHOST
- $f(\phi)$ Gauss-Bonnet

Viable SVT models after GW 170817

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Subclass of Fab Four allows self tuning with simultaneous Vainshtein screening of Dark Energy

No decay for gravitational waves

$$\mathcal{L}_{ssh} = a^3 \left[\frac{c_1}{a^2} h_{ij} \dot{\zeta}_{,i} \dot{\zeta}_{,j} + \frac{c_2}{a^2} \dot{h}_{ij} \dot{\zeta}_{,i} \dot{\zeta}_{,j} + c_3 \dot{h}_{ij} \dot{\zeta}_{,i} \dot{\psi}_{,j} + \frac{c_4}{a^2} \partial^2 h_{ij} \dot{\zeta}_{,i} \dot{\psi}_{,j} \right. \\ \left. + \frac{c_5}{a^4} \partial^2 h_{ij} \dot{\zeta}_{,i} \dot{\zeta}_{,j} + c_6 \partial^2 h_{ij} \dot{\psi}_{,i} \dot{\psi}_{,j} + \frac{c_7}{a^2} \dot{h}_{ij} \dot{\zeta}_{,i} \dot{\zeta}_{,j} + c_8 \dot{h}_{ij} \dot{\zeta}_{,i} \dot{\psi}_{,j} \right],$$

where $\partial^2 h_{ij} \equiv h_{ij,kk}$, $\psi \equiv \partial^{-2} \dot{\zeta}$ and the coefficients read

$$\begin{aligned} c_1 &= \mathcal{F}_S, & c_5 &= \frac{\mathcal{G}_T}{4\tilde{\Theta}^2} (\mathcal{G}_T + \mathcal{D} + \mathcal{F}_T \Delta_1)^2, \\ c_2 &= -\frac{\mathcal{G}_T}{2\tilde{\Theta}} (\mathcal{G}_T + \mathcal{D} + \mathcal{F}_T \Delta_1), & c_6 &= \frac{\mathcal{G}_S^2}{4\mathcal{G}_T}, \\ c_3 &= \frac{3}{2} \mathcal{G}_S, & c_7 &= \frac{\mathcal{G}_T (\Gamma - 3\mathcal{G}_T \Delta_1)}{2\tilde{\Theta}^2} (\mathcal{G}_T + \mathcal{D} + \mathcal{F}_T \Delta_1), \\ c_4 &= -\frac{\mathcal{G}_S}{2\tilde{\Theta}} (\mathcal{G}_T + \mathcal{D} + \mathcal{F}_T \Delta_1), & c_8 &= -\frac{\mathcal{G}_S (\Gamma - 3\mathcal{G}_T \Delta_1)}{2\tilde{\Theta}}. \end{aligned}$$

No decay constraint solved in **SVT**

trivial gravity in **ST**

Conclusion and outlook

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