

Thermal false vacuum decay around black holes is aspherical



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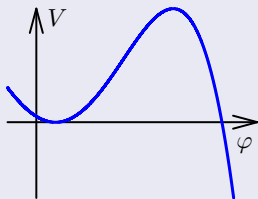
Based on: Dmitry Gorbunov, Dmitry Levkov, VM, [arXiv:soon](#)

QUARKS–2026,
Petrozavodsk, May 22

Introduction: false vacuum decay

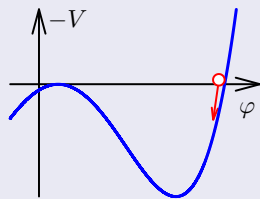
$$T = 0$$

False vacuum



Decay rate: $\Gamma \propto e^{-S_E[\varphi_b]}$,

Euclidean time: $\tau = it$



φ_b — **bounce** solution

- Example: **Higgs field** in Standard model

$$\lambda(\mu) < 0 \text{ at } \mu \gtrsim 10^9 \text{ GeV}$$

Bednyakov, Fedoruk, Kazakov '25

bounce with the lowest S_E dominates

- **Black holes** may **catalyze** the decay!

Hiscock '87; Tetradis '08

$$T_H = (4\pi r_s)^{-1}$$

Hawking '74

False vacuum decay at $T > 0$

- Euclidean time becomes **periodic**: $0 \leq \tau \leq \beta \equiv T^{-1}$
- Potential barrier E_{sph} = the energy of the **critical bubble** φ_{sph}

2 mechanisms of thermal decay

- Quantum tunneling under the barrier:

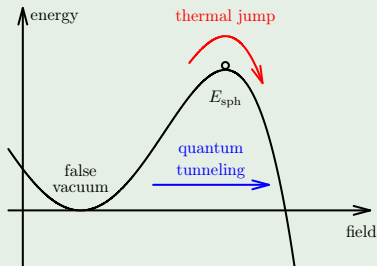
$$E < E_{\text{sph}} \implies P \propto e^{-S_E[\varphi_i]}$$

periodic instanton $\varphi_i(\tau, \mathbf{x})$

- Thermal jump **over** the barrier

$$E > E_{\text{sph}} \implies P \propto e^{-E_{\text{sph}}\beta}$$

critical bubble $\varphi_{\text{sph}}(\mathbf{x})$



Common assumption: least-action $\varphi_i, \varphi_{\text{sph}}$ are $O(3)$ -symmetric around **BH**

Are they?

Example model in flat space

$$V(\varphi) = \frac{m^2\varphi^2}{2} - \frac{g_4\varphi^4}{4}$$

Peculiarities:

- $T = 0, m = 0$:

scale invariance

$$\varphi(x_E) \rightarrow \gamma\varphi(\gamma x_E)$$

family of instantons:

$$\varphi_{FL} \propto \frac{a}{x_E^2 + a^2}$$

$$S_E = \frac{8\pi^2}{3g_4}$$

Fubini '76
Lipatov '77

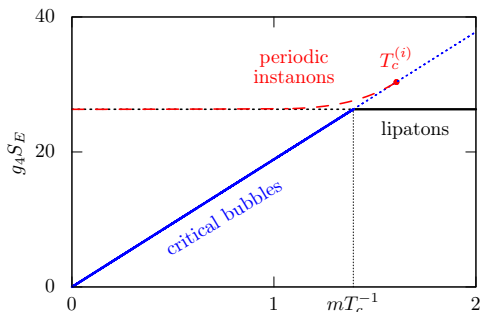
- $T = 0, m \neq 0$:

invariance is broken

$$a \rightarrow 0 \quad \text{— “lipaton”}$$

- $T \neq 0$:

- lipatons \rightarrow lipatonic chains \checkmark
- **finite-sized** periodic instantons \checkmark
but **always suppressed** Kuznetsov, Tinyakov '97



Spherical critical bubbles around the black hole

- Schwarzschild black hole (isotropic coordinates)

$$ds_E^2 = \left(\frac{1-R_s/R}{1+R_s/R} \right)^2 d\tau^2 + (1 + R_s/R)^4 [dR^2 + R^2 d\Omega]$$

$$r(R) = R(1 + R_s/R)^2, \quad R_s = r_s/4$$

- Hartle-Hawking vacuum:

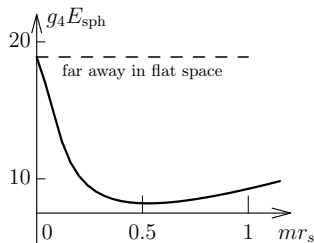
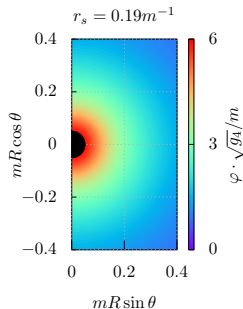
BH in thermal equilibrium $\beta = T_H^{-1} = 4\pi r_s$

- $r_s \ll m^{-1} \iff$ very hot black hole
thermal jumps dominate

- Spherical critical bubble:

$$\partial_R^2 \varphi_s + \frac{2R}{R^2 - R_s^2} \partial_R \varphi_s = \left(1 + \frac{R_s}{R}\right)^4 V'(\varphi_s)$$

$$\text{boundary condition: } \partial_R \varphi(R_s) = 0$$



Spherical bubbles lose their dominance

Dominant bounce solution **should have only one negative mode**

Callan, Coleman '77

- Eigenmodes $\xi = \xi_\ell(R)P_\ell(\cos\theta)$ over spherical bubbles satisfy

$$\left[-\partial_R^2 - \frac{2R}{R^2 - R_s^2} \partial_R + \frac{\ell(\ell+1)}{R^2} + \left(1 + \frac{R_s}{R}\right)^4 V''(\varphi_s) \right] \xi_\ell = \mu_\ell \xi_\ell$$

$$R_s = \frac{r_s}{4}$$

- For $r_s \lesssim 0.194m^{-1}$: only $\ell = 0$ is negative

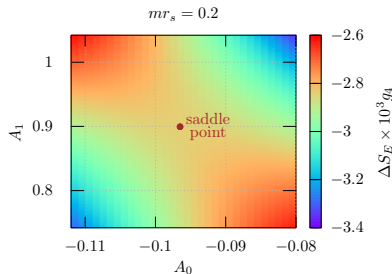
- For $r_s \gtrsim 0.194m^{-1}$:

$\ell = 1$ i.e. **dipole mode**
becomes negative

- Search for a new saddle point as

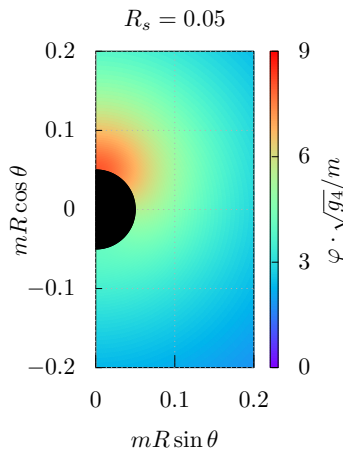
$$\varphi_s(R) + A_0 \xi_0(R) + A_1 \xi_1(R) \cos\theta$$

...and find it!

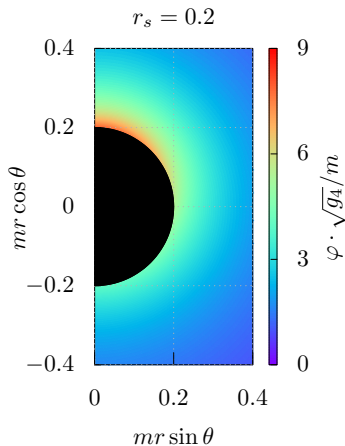


Aspherical critical bubbles

Isotropic coordinates:



Schwarzschild coordinates:



$$r = R \left(1 + \frac{R_s}{R}\right)^2$$

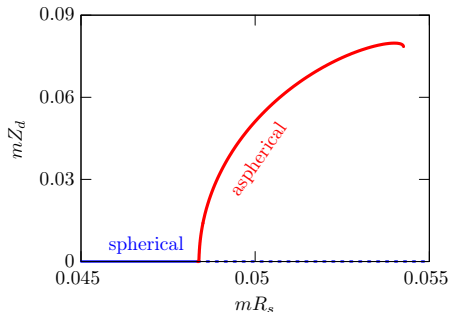
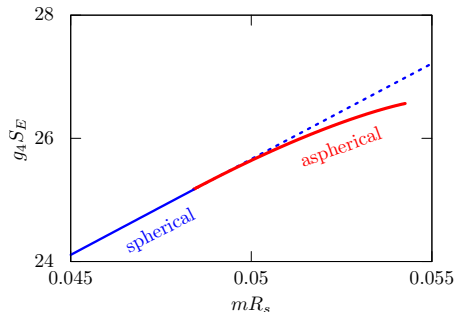
isotropic:
 $z = R \cos \theta$

Asphericity: $Z_d = \frac{\int d^3 \mathbf{x} \sqrt{g_E} \cdot \mathbf{z} \cdot \mathcal{P}[\varphi]}{\int d^3 \mathbf{x} \sqrt{g_E} \cdot \mathcal{P}[\varphi]}$ — “center of mass”, $\mathcal{P}[\varphi] > 0$

e.g. $\mathcal{P}[\varphi] = \varphi^4$

Aspherical critical bubbles for larger, cooler black holes

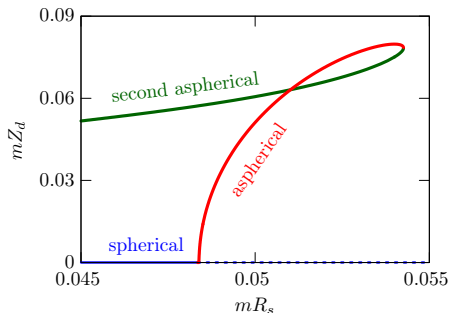
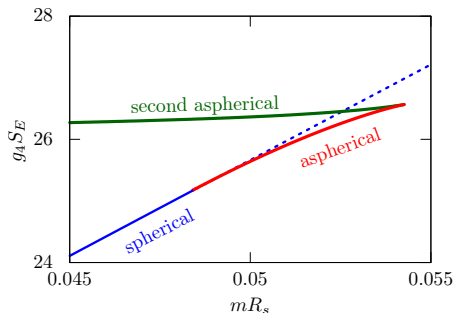
“Center of mass”: $Z_d = \frac{\int d^3 \mathbf{x} \sqrt{g_E} \cdot z \cdot \mathcal{P}[\varphi]}{\int d^3 \mathbf{x} \sqrt{g_E} \cdot \mathcal{P}[\varphi]}$, $\mathcal{P}[\varphi] = \varphi^4$



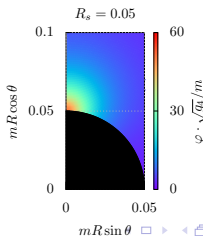
- Why do aspherical bubbles disappear at large r_s ?

Aspherical critical bubbles for larger, cooler black holes

“Center of mass”: $Z_d = \frac{\int d^3\mathbf{x} \sqrt{g_E} \cdot z \cdot \mathcal{P}[\varphi]}{\int d^3\mathbf{x} \sqrt{g_E} \cdot \mathcal{P}[\varphi]}$, $\mathcal{P}[\varphi] = \varphi^4$



- Why do aspherical bubbles disappear at large r_s ?
- They **bifurcate** with the second, suppressed branch of solutions!



Branches of critical bubbles

Find saddle points with **fixed** Z_d :

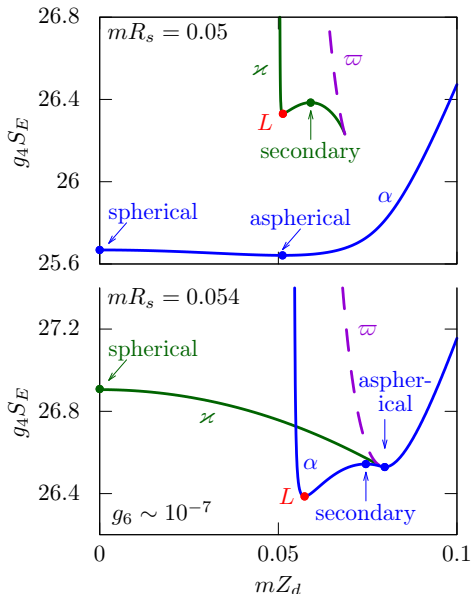
$$S \rightarrow S - \lambda \beta \mathcal{C}[\varphi]$$

$$\mathcal{C}[\varphi] = \int d^3 \mathbf{x} \sqrt{g_E} (z - Z_d) \mathcal{P}[\varphi]$$

Takeaway:

- **Two** separate branches
- Another minimum connected to secondary bubble, **welcome L**
- **L beats** asymmetric bubble at $r_s \approx 0.207 m^{-1}$
- **Crossover** between branches at $r_s \approx 0.215 m^{-1}$

What is L ? A lipaton!



Lipaton on the horizon is also a critical bubble

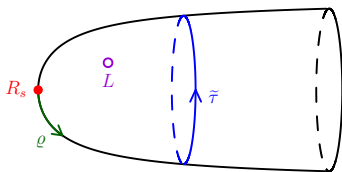
- Euclidean space is **regular** on the event horizon!
- Regular coordinates:

$$\varrho = (R - R_s)\sqrt{R_s/R}$$

also: $\eta = \theta R_s, \quad \tilde{\tau} = \tau/8R_s$

⇓

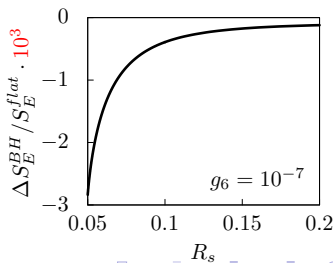
$$\frac{ds_E^2}{16} = \frac{\varrho^2 d\tilde{\tau}^2}{\nu_\varrho} + \nu_\varrho \left[d\varrho^2 + \nu_\varrho \left(d\eta^2 + R_s^2 \sin^2 \frac{\eta^2}{R_s^2} d\phi^2 \right) \right], \quad \nu_\varrho = 1 + \frac{\varrho^2}{4R_s^2}$$



- τ becomes angle on the horizon \implies lipaton does not depend on it
- Plain theory: $S_E = 8\pi^2/3g_4$
lipatons exist everywhere, size $a \rightarrow 0$.
- Regularized theory $+g_6\varphi^6$:

- lipaton acquires finite size $a \sim g_6^{1/4} > 0$
- dominant contribution — on the horizon:

$$S_E^{BH} < S_E^{flat}, \quad \Delta S_E^{BH} \propto \left(\frac{a}{R_s}\right)^4$$



Results

- Spherical critical bubbles:

$$r_s \lesssim 0.194 m^{-1}$$



$$T_H \gtrsim 0.41 m,$$

- Aspherical critical bubbles:

$$0.194 m^{-1} \lesssim r_s \lesssim 0.207 m^{-1}$$



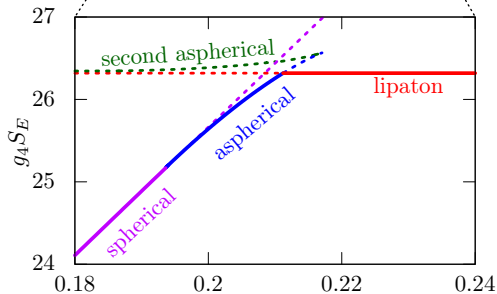
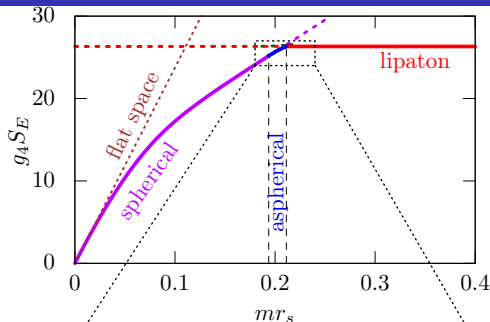
$$0.384 m \lesssim T_H \lesssim 0.41 m$$

- Lipatons:

$$r_s \gtrsim 0.207 m^{-1}$$



$$T_H \lesssim 0.384 m$$



Conclusions and perspectives

- False vacuum decay in the presence of a black hole may proceed aspherically
- In scalar model with $\frac{m^2\varphi^2}{2} - \frac{g_4\varphi^4}{4}$ aspherical decay dominates at $r_s \gtrsim 0.194m^{-1}$
- Perspectives: application to electroweak sector of Standard model
 - The scale invariance of massless $-\lambda\varphi^4$ theory is also broken!..
 - ..but by running coupling constant $\lambda(\varphi)$, not mass term!
 - RG equations were solved numerically \implies fitting?
 - Thermal corrections to the Higgs should be accounted for

THANK YOU FOR YOUR
ATTENTION

Backup: Negative modes quantity for large black holes

$$R \gg m^{-1} \implies R \approx R_s \text{ on the solution, } \rho \equiv R - R_s \ll R_s$$

$$\partial_R^2 \varphi_s + \frac{2R \cdot \partial_R \varphi_s}{R^2 - R_s^2} = \left(1 + \frac{R_s}{R}\right)^4 V'(\varphi_s) \implies \underbrace{\partial_\rho^2 \varphi + \frac{\partial_\rho \varphi}{\rho}}_{\text{critical bubble in 2D flat space}} = 16V'(\varphi)$$

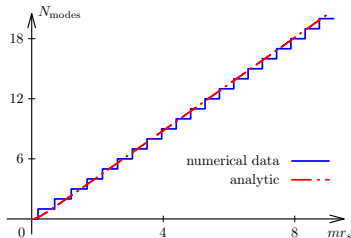
$$\left[-\partial_R^2 - \frac{2R}{R^2 - R_s^2} \partial_R + \frac{\ell(\ell+1)}{R^2} + \left(1 + \frac{R_s}{R}\right)^4 V''(\varphi_s) \right] \xi_\ell = \mu_\ell \xi_\ell$$

$$\Downarrow$$
$$-\partial_\rho^2 \xi_\ell - \frac{\partial_\rho \xi_\ell}{\rho} + 16V''(\varphi_s) \xi_\ell = \underbrace{\left[\mu_\ell - \frac{\ell(\ell+1)}{R_s^2} \right]}_{\mu_{2D} < 0} \xi_\ell$$

Number of negative modes:

$$\mu_\ell = \mu_{2D} + \frac{\ell(\ell+1)}{R_s^2} < 0$$

$$N_{\text{modes}} = \sqrt{|\mu_{2D}| R_s^2 + \frac{1}{4}} - \frac{1}{2}$$



Backup: Regularized lipatons

$$\delta V_{\text{reg}} = \frac{g_6 \varphi^6}{6}, \quad \text{with} \quad g_6 = \gamma_6 \cdot (g_4/m)^2$$

- Correction to the action in flat spacetime:

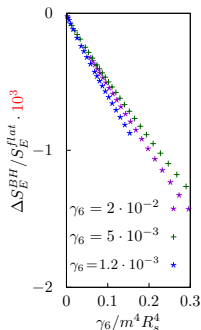
$$\delta S = \frac{8\pi^2}{g_4} (ma)^2 \left[\ln \frac{2}{ma} - \frac{1}{2} - \gamma_E \right] + \frac{64\pi^2}{15} \frac{g_6}{g_4^3} \frac{1}{a^2}$$

- Lipaton size a minimizes δS :

$$(ma)^4 \left[\ln \frac{2}{ma} - 1 - \gamma_E \right] = \frac{8}{15} \gamma_6$$

- Schwarzschild BH, event horizon:

$$\Delta S_E^{BH} \propto (a/R_s)^4$$



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