

Leptogenesis via absorption by primordial black holes

Pozdnyakov N. A.

Novosibirsk State University

Quarks-2024, 24 May 2024

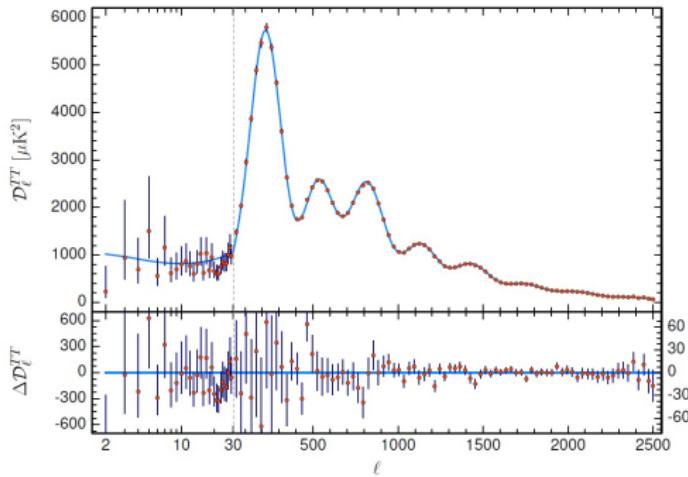
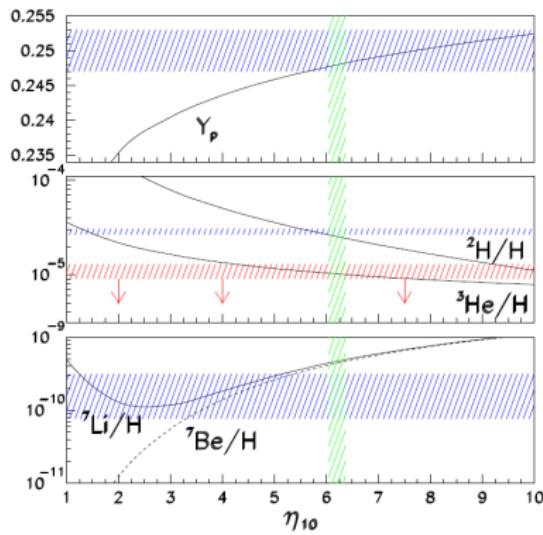
supported by RNF №23-42-00066

Outline

- ▶ Introduction
- ▶ Asymmetry through absorption
- ▶ Particle model
- ▶ On parameters

Baryon asymmetry of the Universe (BAU)

$$\eta_b \equiv \frac{n_b - n_{\bar{b}}}{n_\gamma} = (6.14 \pm 0.19) \times 10^{-10}. \text{ [PDG]} \quad (1)$$



[Planck 2018]

[F.Iocco et al, Phys. Rept. 472 (2009) 1]

Sakharov conditions

BAU appearance via elementary particles interactions requires

[A. Sakharov, JETP Lett. 5 (1967) 24]

- ▶ If $B_{in} = B_{fin}$: no asymmetry is generated \Rightarrow ***B-number non-conservation***
- ▶ If $\Gamma(I \rightarrow F_j) = \Gamma(\bar{I} \rightarrow \bar{F}_j)$: cancellation \Rightarrow ***C & CP symmetries violation (CPV)***
- ▶ $F_i \rightarrow F_j$ wash out *non-conserved B-number* \Rightarrow ***Deviation from thermal equilibrium***

Sakharov conditions

BAU appearance via elementary particles interactions requires

[A. Sakharov, JETP Lett. 5 (1967) 24]

- ▶ If $B_{in} = B_{fin}$: no asymmetry is generated \Rightarrow ***B-number non-conservation***
- ▶ If $\Gamma(I \rightarrow F_j) = \Gamma(\bar{I} \rightarrow \bar{F}_j)$: cancellation \Rightarrow **C & CP symmetries violation (CPV)**
- ▶ $F_i \rightarrow F_j$ wash out *non-conserved B-number* \Rightarrow **Deviation from thermal equilibrium**

Standard Model

- ▶ Conserves **B – L** number instead of **B** and **L** separately (plus $L_\mu - L_e$, $L_\tau - L_\mu$)
- ▶ Violates C & CP symmetries: $J \sim 0.02 \sin \delta$ (for ν -sector)
- ▶ Has electroweak phase transition of II kind (*in thermal equilibrium*)

Still, the SM allows to transfer part of ***L-number*** to ***B-number***

Baryogenesis models

- ▶ Leptogenesis: heavy Majorana neutrino N_i decay [[S. Davidson, E. Nardi Y. Nir Phys. Rept. 466 \(2008\) 105-177](#)] or oscillations [[E. Akhmedov, V. Rubakov, A. Smirnov Phys. Rev. Lett. 81 \(1998\) 1359-1362](#)]
- ▶ Electroweak baryogenesis: new scalar fields to make EW phase transition of I kind [[J. McDonald Phys. Lett. B 323 \(1994\) 339-346](#)]
- ▶ GUT-baryogenesis
 - $SO(10)$, etc.
 - $SU(5)$ and PBH [[D. Hooper, G. Krnjaic PRD 103 \(2021\) 4, 043504](#)]
- ▶ Hawking emission [[A. D. Dolgov, PRD 24 \(1981\) 4](#)]

Black holes in the early Universe (PBH). Why?

James Webb discoveries of galaxies with $z \gtrsim 5$ strengthen models with PBH seeding galaxies formation.

Region collapses into PBH of $M \simeq m_{Pl}^2 t$ if

[A. Escrivá, C. Germani, R. Sheth, PRD 101 (2020) 4, 044022]

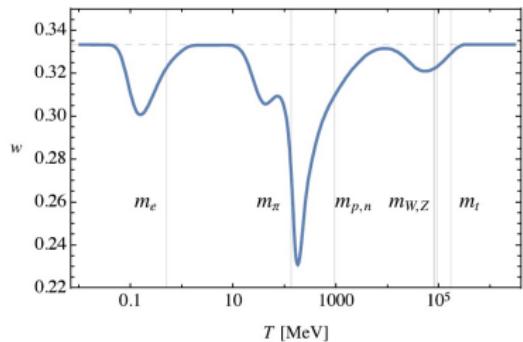
$$\frac{\delta\rho}{\rho} \geqslant \delta_c \sim 1/3 \text{ (0.4)}$$

Some mechanisms:

- ▶ Inflationary
- ▶ Bubbles collision
- ▶ Domain walls collapse
- ▶ Equation of state $\mathcal{P} = w\rho$ softening

Spectra:

- ▶ Monochromatic
- ▶ Log-normal
- ▶ Power law

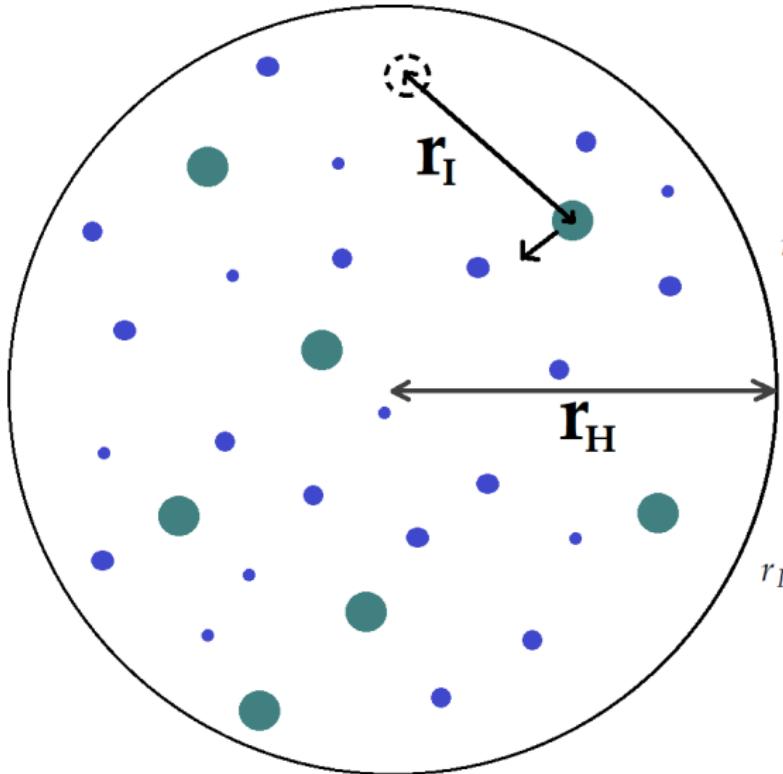


for further reading [B. Carr, F. Kühnel, SciPost Phys. Lect. Notes 48 (2022) 1]

Outline

- ▶ Introduction
- ▶ Asymmetry through absorption
- ▶ Particle model
- ▶ On parameters

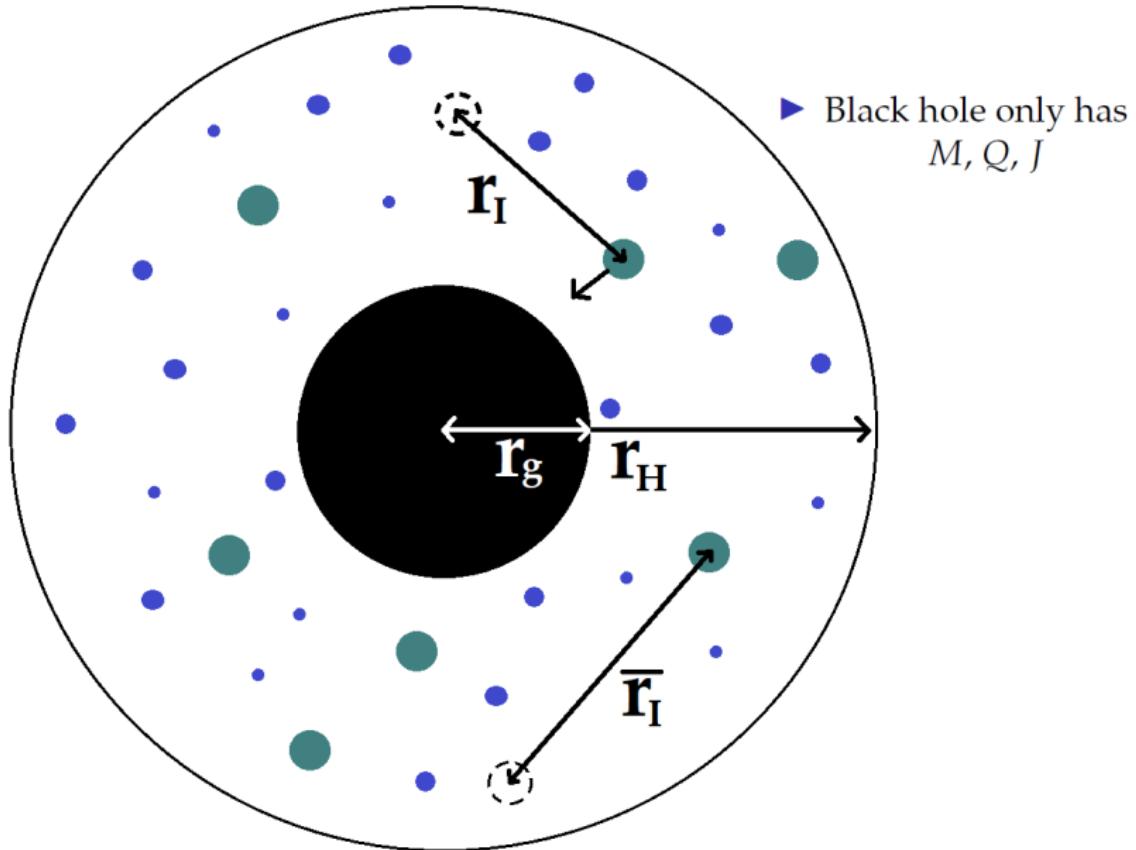
Idea



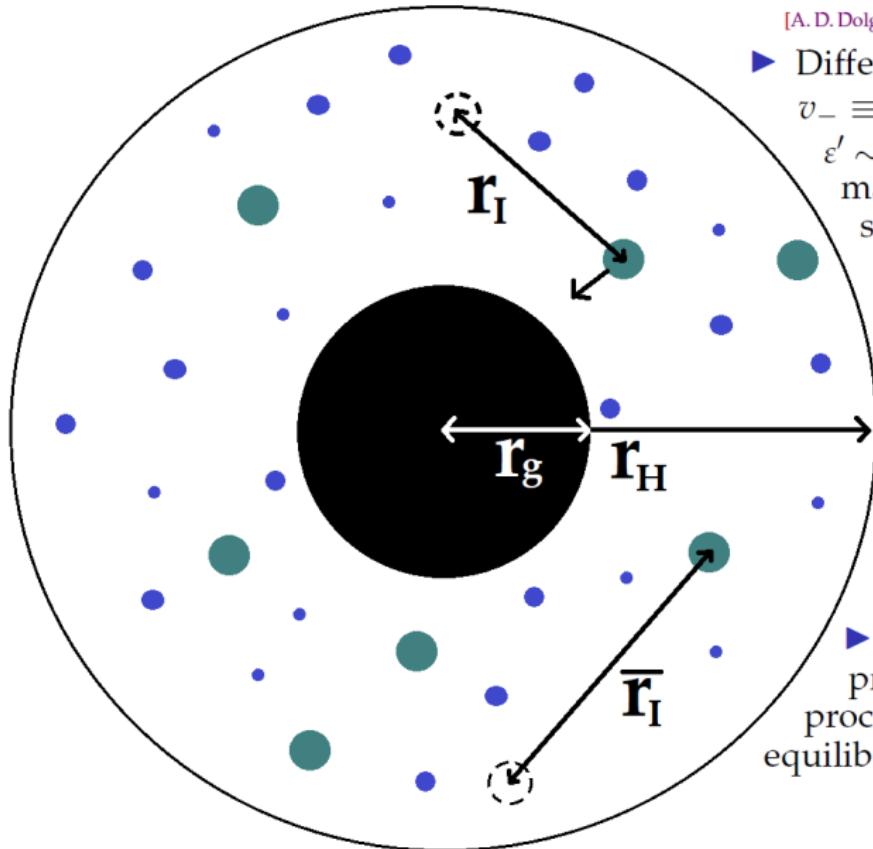
$$r_H = 1/H \sim m_{Pl}/T^2$$

$$r_I = (\langle \sigma v \rangle n)^{-1} \propto m^2/T^3$$

Idea



Idea



[A. D. Dolgov, NAP, arXiv:2009.04361]

- ▶ Different flow velocity

$$v_- \equiv v_X - v_{\bar{X}} \simeq \varepsilon' v_{av}$$

$$\varepsilon' \sim f^2 - \text{CPV}$$

magnitude in
scatterings

- ▶ v_- leads to different numbers of captured particles and antiparticles

- ▶ Asymmetry production *can* proceed in thermal equilibrium

Motion in the early Universe

Dimensionless variables

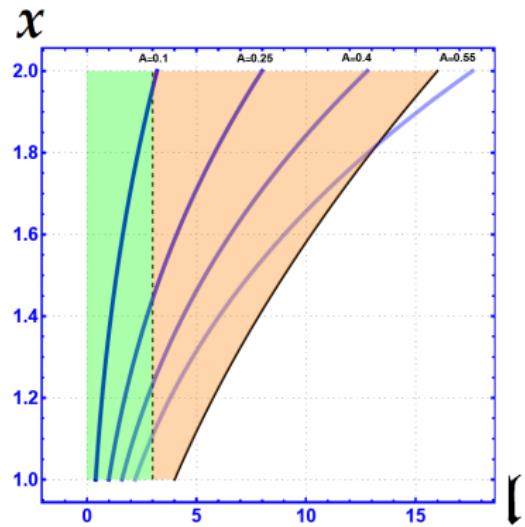
$$t = \frac{x^2}{2m_*}, \quad r = l r_g(x=1)$$

$$x \equiv m_1/T, \quad m_* \equiv \frac{m_1^2}{m_{Pl}^*}.^{(i)}$$

$$r_H = \frac{1}{H} \rightarrow l_H \sim (x/x_a)^2$$

$$r_I = \frac{1}{\langle \sigma v \rangle n_{rel}} \rightarrow l_I \sim \mathcal{A} x^3 / x_a^2$$

$x_a < 1$ – PBH appearance time,



$$\mathcal{A} \equiv 10^{-15} \left(\frac{0.1}{f} \right)^4 \frac{m_1}{\text{GeV}}$$

- ▶ $r_I < r_{acc} \simeq 2GM/c_{s,\infty}^2$ – Bondi accretion (green)
- ▶ $r_{acc} < r_I < r_H$ – free fall (orange)

⁽ⁱ⁾ $m_{Pl}^* = m_{Pl} \sqrt{90/8\pi^3 g_*(T)} \approx 7 \times 10^{17} \text{ GeV}$

Expansion influence [A. D. Dolgov, NAP, PRD 104 8 (2021) 083524]

Universe expansion adds [R. Nandra, A. Lasenby, M. Hobson, MNRAS 422 (2012) 2931]

$$\ddot{r} + \gamma \dot{r} + \left[qH^2 r \right] + \frac{r_g}{2r^2} = 0, \text{ (ii)} \quad r_g \ll r \ll r_H \equiv 1/H, \quad (2)$$

$$\gamma \equiv \langle \sigma v \rangle n_{rel} = 1/r_I$$

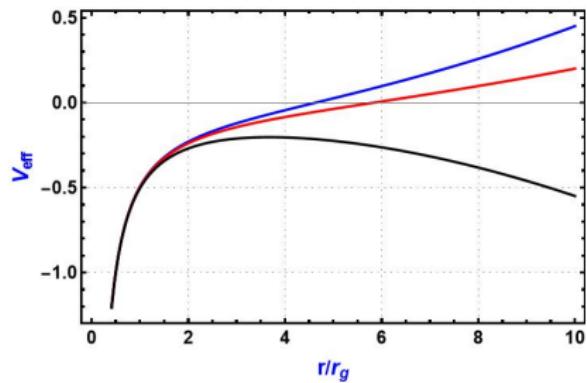
under $H \sim \text{Const}$ assumption

$$r = r_e \cos^{2/3} \left(\frac{3Ht}{2} \right), \quad r_e^3 = r_H^2 r_g$$

take into account $\gamma \dot{r}$: $r_e \rightarrow r'_e = r_e(1 + \gamma t)$

Generated number per PBH

$$N_B = 4\pi r_e^3 \epsilon' \gamma t_H n_X \text{ and asymmetry}$$
$$\eta_b \sim 0.03 \epsilon f^6 T_a m_{Pl} / m_X^2$$



Potential for (2) with $r_g/r_H = 0.1$

⁽ⁱⁱ⁾ $q \equiv -\ddot{a}a/\dot{a}^2 = 1$ for relativistic dominated Universe

Solution applicability: $\delta H/H \approx H t_{cap} \ll 1 \rightarrow (r/r_e)^{3/2} \ll 1$.

Dimensionless equation

$$x^2 l'' + \left(\frac{1}{A} - 2x \right) l' + l + \left(\frac{x}{x_a} \right)^4 \frac{l_{BH}}{2l^2} = 0.$$

by $x = \xi^\alpha$, (iii) $l = \xi^{(3\alpha+1)/2} w$

can be simplified to

$$w'' = C \frac{\xi^{-\frac{1}{2}(1+\alpha)}}{w^2},$$

Emden-Fowler

$$C \equiv -(10\xi_a^{4\alpha})^{-1}$$

(iii) $\alpha = 1/\sqrt{5}$

Solution applicability: $\delta H/H \approx H t_{cap} \ll 1 \rightarrow (r/r_e)^{3/2} \ll 1$.

Consider $\delta N = 4\pi r_0^2 \delta r n_1 = 4\pi r_g^3 n_1(T) l_0^2 \frac{\delta l}{\delta x} \delta x$.

At x time particles captured from $l_0 = 1/f(x)$ if $l = l_0 f(x)$. Rates are

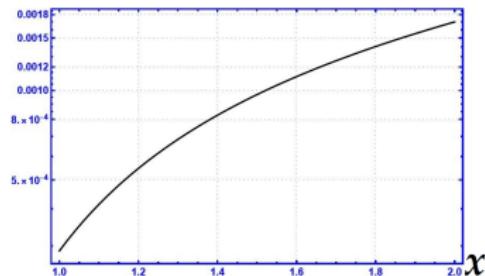
$$x^2 l'' + \left(\frac{1}{A} - 2x \right) l' + l + \left(\frac{x}{x_a} \right)^4 \frac{l_{BH}}{2l^2} = 0. \quad \Gamma_{abs1}(x) \equiv \frac{N'}{n_1(x)} = 4\pi r_g^3 l_0^2 v(x, l_0) = 4\pi r_g^3 \frac{f'(x)}{f^3(x)}$$

by $x = \xi^\alpha$, (iii) $l = \xi^{(3\alpha+1)/2} w$
can be simplified to

$$w'' = C \frac{\xi^{-\frac{1}{2}(1+\alpha)}}{w^2},$$

Emden-Fowler

$$C \equiv -(10\xi_a^{4\alpha})^{-1}$$



For monochromatic population

$$\Gamma_{abs} = \Gamma_{abs1} n_{PBH} = 3.33 \epsilon \left(\frac{x_a}{x} \right)^3 \frac{f'(x)}{f^3(x)}$$

(iii) $\alpha = 1/\sqrt{5}$

Particles accretion

Hydrodynamic equations

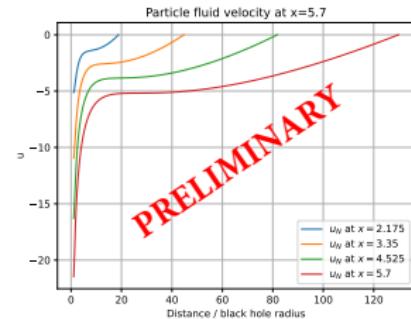
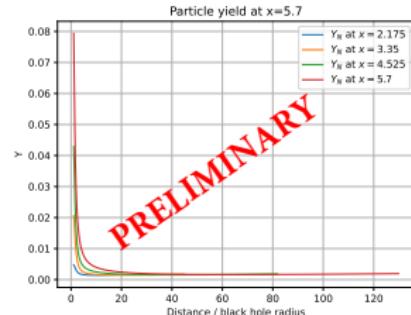
$$\frac{\partial n}{\partial t} + \operatorname{div}\{n\mathbf{v}\} = -\Gamma_{ann}(n\bar{n} - n_{eq}^2),$$
$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v}, \nabla)\mathbf{v} = -\nabla p - F_{grav} - F_{cosm} - \gamma v$$

for $Y \equiv n/s^{(iv)}$, $u \equiv \partial l/\partial x$:

$$Y'_x + (uY)'_l = \frac{3Y}{x} - \frac{2uY}{l} - \frac{sx\Gamma_{ann}}{m_*} (Y\bar{Y} - Y_{eq}^2),$$

$$u'_x + uu'_l + \frac{\tilde{c}_s^2}{Y} Y'_l = \frac{u}{x} - \frac{\gamma x}{m_*} u - \frac{l}{x^2} - \frac{x^2}{x_a^4} \frac{l_{BH}}{2l^2}.$$

Newtonian limit holds for $x \lesssim 2\pi x_a^{2/3}$



^(iv)Entropy density $s \equiv (\rho + \mathcal{P})/T = \frac{2\pi^2}{45} g^* T^3$

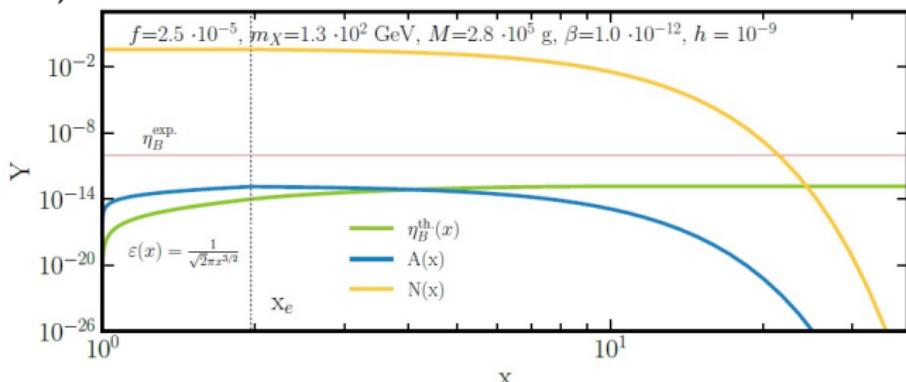
Outline

- ▶ Introduction
- ▶ Asymmetry through absorption
- ▶ Particle model
- ▶ On parameters

Appropriate model [A. Ambrosone et al, PRD 105 4 (2022) 045001]

$$\mathcal{L}_{int} = -g_{\bar{a}X}\phi^\dagger \bar{a}X - g_{\bar{c}X}\phi^\dagger \bar{c}X - g_{\bar{b}Y}\phi^\dagger \bar{b}Y \\ - g_{\bar{Y}X}\psi \bar{Y}X - g_{\bar{b}a}\psi \bar{b}a - g_{\bar{b}c}\psi \bar{b}c + \text{h.c.} \quad (3)$$

with heavy fermions X, Y, b , scalar fields ϕ, ψ , and SM particles a, c



x_e – PBH evaporation time,

$$N \equiv \frac{n_X + n_{\bar{X}}}{2s}$$

$$A \equiv \frac{n_X - n_{\bar{X}}}{s}$$

Sterile neutrinos $N_{1,2}$ are more convenient choice

CPV in Yukawa model

arises from interference



leading to [ACFMM, PRD 105 4 (2022) 045001]

$$\varepsilon' \equiv \frac{\text{Im}\{g_{c1}g_{12}^*g_{b2}^*g_{bc}\}}{|g_{a1}|^2} \text{Im}\{\mathcal{I}\} \simeq \frac{f^2}{\sqrt{2\pi}x^{3/2}}, \quad (4)$$

kinematic factor \mathcal{I} includes integration over loop.

There are other possibilities such as $\phi\bar{a} \rightarrow \phi\bar{c}$

Outline

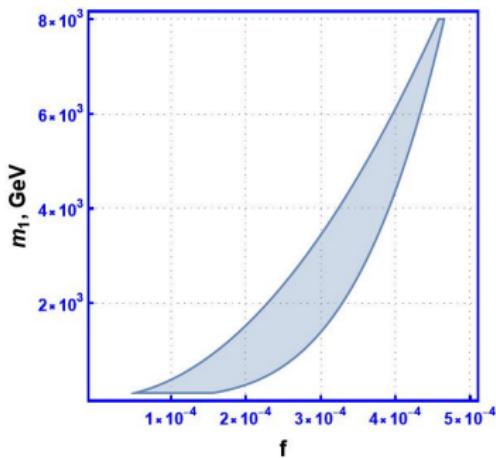
- ▶ Introduction
- ▶ Asymmetry through absorption
- ▶ Particle model
- ▶ On parameters

Parameter space

- ▶ Relatively stable particles are considered

Parameter space

- ▶ Relatively stable particles are considered



- ▶ Annihilation processes freeze-out when $\Gamma_{ann} \ll H$:

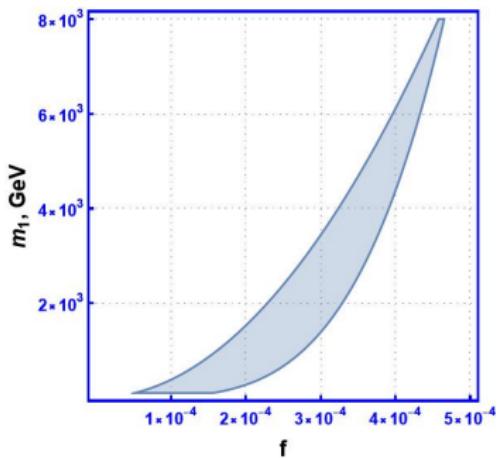
$$g_1 \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.04} \right)^4 < x_f \sim 1$$

- ▶ To connect asymmetry to SM sector

$$g_* \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.13} \right)^4 > x_{EW} \equiv \frac{m_1}{T_{EW}}$$

Parameter space

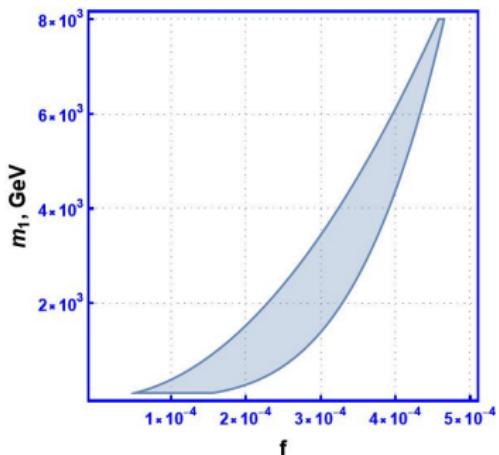
- ▶ Relatively stable particles are considered



- ▶ Annihilation processes freeze-out when $\Gamma_{ann} \ll H$:
$$g_1 \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.04} \right)^4 < x_f \sim 1$$
- ▶ To connect asymmetry to SM sector
$$g_* \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.13} \right)^4 > x_{EW} \equiv \frac{m_1}{T_{EW}}$$
- ▶ Effective capture $r_g > \lambda_p$: PBH appearance time, $x_a > \sqrt{2m_1/m_{Pl}^*}$

Parameter space

- ▶ Relatively stable particles are considered



- ▶ Annihilation processes freeze-out when $\Gamma_{ann} \ll H$:

$$g_1 \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.04} \right)^4 < x_f \sim 1$$

- ▶ To connect asymmetry to SM sector

$$g_* \frac{3 \cdot 10^{13} \text{ GeV}}{m_1} \left(\frac{f}{0.13} \right)^4 > x_{EW} \equiv \frac{m_1}{T_{EW}}$$

- ▶ Effective capture $r_g > \lambda_p$: PBH appearance time, $x_a > \sqrt{2m_1/m_{Pl}^*}$

- ▶ Single PBH accretion

$$x \lesssim \left(\frac{\pi^4}{90\zeta(3)\epsilon} \right)^{1/3} x_a$$

Estimation

$$\partial_t f_1 - H p \partial_p f_1 = \mathcal{I}_{coll},$$

$$\partial_t f_{\bar{1}} - H p \partial_p f_{\bar{1}} = \mathcal{I}'_{coll}.$$

Normalize BAU on entropy density, $s = \frac{2\pi^2}{45} g_* T^3$,

$$\Delta_{Y_B} = (8.75 \pm 0.23) \times 10^{-11}, \quad (5)$$

and also number density, $Y \equiv n_1/s$, $\bar{Y} \equiv n_{\bar{1}}/s$. In $(t, p) \rightarrow (x, y \equiv p/T)$ integrated equations are

$$\partial_x Y = -\Gamma_{abs} Y - \Gamma_{ann} (Y^2 - Y_{eq}^2),$$

$$\partial_x \bar{Y} = -\Gamma_{abs} \bar{Y} - \Gamma_{ann} (\bar{Y}^2 - Y_{eq}^2),$$

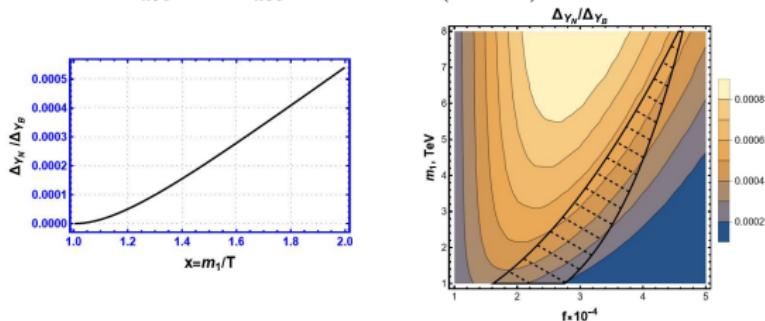
with $\Gamma_{ann} = s x f^4 / m_* m_1^2 \propto 1/x^2$

Asymmetry evolution $\Delta_{Y_N} \equiv Y - \bar{Y}$

$$\frac{dY_{av}}{dx} = -\Gamma_{abs} Y_{av} - \Gamma_{ann} (Y_{av}^2 - Y_{eq}^2),$$

$$\frac{d\Delta_{Y_N}}{dx} = \delta\Gamma_{abs} Y_{av} - \Gamma_{abs} \Delta_{Y_N} - 2\Gamma_{ann} \Delta_{Y_N} Y_{av}.$$

with $\delta\Gamma_{abs} \sim \epsilon' \Gamma_{abs}$ and $Y_{av} \equiv (Y + \bar{Y})/2$



for $x_a = 0.1$, $\epsilon = \rho_{PBH} / \rho_{rel} \sim 10^{-4}$

Intermediate conclusions

- ▶ Asymmetry production in absorption by PBH does not require $B/L/B - L$ number non-conservation at the level of elementary particles interactions
- ▶ Accretion in the early Universe is magnified by decelerated expansion. Seemingly, equation of motion cannot be exactly solved
- ▶ Fluid velocity difference of fermions in Yukawa couplings is proportional to $f^2/x^{3/2}$

Supplementary materials

BAU & BBN

Saha equation for $Y_D \equiv n_D/n_b$ (for $p + n \rightleftharpoons {}^2\text{H} + \gamma$)

$$\begin{aligned}\Delta_D &\equiv m_p + m_n - m_D = 2.22 \text{ MeV.} \\ \Delta &\equiv m_n - m_p = 1.3 \text{ MeV.}\end{aligned}$$

$$Y_D = \sqrt{\frac{8}{\pi}} \zeta(3) \left(\frac{m_D T}{m_p^2} \right)^{3/2} \eta_b e^{\Delta_D/T}$$

$$\begin{aligned}Y_D / Y_n(T_D) &= 1 \text{ for } \eta_b \sim 10^{-9} \Rightarrow \\ T_D &\simeq 65 \text{ KeV } (T_N \simeq 75 \text{ KeV}).\end{aligned}$$

Neutron-proton relation at freeze-out, $T_f \simeq 0.8 \text{ MeV}$,

$$\kappa_{np}(T_f) \equiv n_n(T_f)/n_p(T_f) = e^{-\Delta/T_f} = \textcolor{blue}{0.18}.$$

Due to n -decay with $\tau \sim 880 \text{ s}$:

$$\kappa_{np}(T_N) = \frac{\kappa_{np}(T_f) e^{-t/\tau_n}}{1 + \kappa_{np}(1 - e^{-t_N/\tau_n})} \sim 0.14$$

and

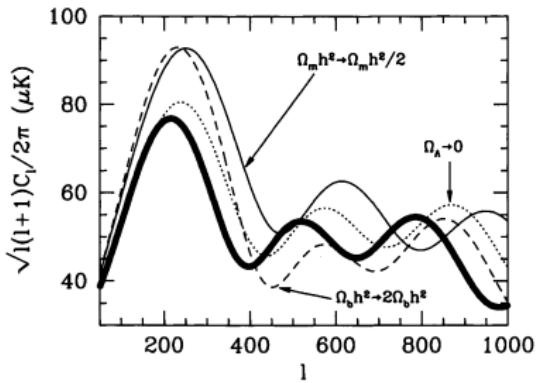
$$Y_{^4\text{He}} = \frac{2}{\kappa_{np}^{-1}(T_N) + 1} \simeq 0.25$$

BAU & CMB

Equation on temperature fluctuation (see for instance [S. Davidson, 2008]) $\Theta \equiv \Delta T/T$

$$\ddot{\Theta} + c_s^2 k^2 \Theta = F, \quad c_s = [3(1 + 3\Omega_B/4\Omega_\gamma)]^{-1/2}$$

enhances spectrum odd peaks



[S. Dodelson, Modern Cosmology (2003)]

PBHs spectra

Monochromatic spectrum (suitable if $\Delta M \sim M$)

$$\frac{dn_{PBH}}{dM} = \delta(M - M_0) \quad (6)$$

Log-normal spectrum (smooth symmetric peak from for example SR inflation)

$$\frac{dn}{dM} = \mu^2 e^{-\gamma \ln(M/M_0)} \quad (7)$$

Power spectrum (from scale-invariant fluctuations)

$$\frac{dn}{dM} \propto M^{-\alpha}, \quad \alpha = \frac{2(1+2w)}{1+w} \quad (8)$$

Scattering & CPT-theorem

CPT + Optical theorem $\Rightarrow \Gamma_{p_1, \sigma_1, n_1; p_2, \sigma_2, n_2 \dots} = \Gamma_{p_1, -\sigma_1, n_1^c; p_2, -\sigma_2, n_2^c \dots}$ where Γ_{\dots} – is transition probability from a given initial state into complete set of final states:

- ▶ $\sigma(X + a \rightarrow \text{all}) = \sigma(\bar{X} + \bar{a} \rightarrow \text{all})$. If there are states like $Y + b$ in «all» then, in general, $\sigma(X + a \rightarrow X + \text{all}) \neq \sigma(\bar{X} + \bar{a} \rightarrow \bar{X} + \text{all})$.

Thus to make difference in

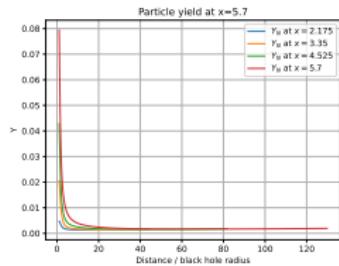
$$\sum_{a,c} \sigma(X + a \rightarrow X + c) \neq \sum_{a,c} \sigma(\bar{X} + a \rightarrow \bar{X} + c)$$

one needs:

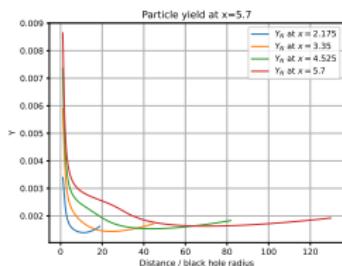
- ① Final states without X particle
- ② At least two different scattering channels for X

Some details on numerical solution

Solution



Solution (without free-fall)



Bondi solution

