

# Methods of Black Hole Shadow Modelling when Rotation is Taken into Account

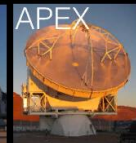
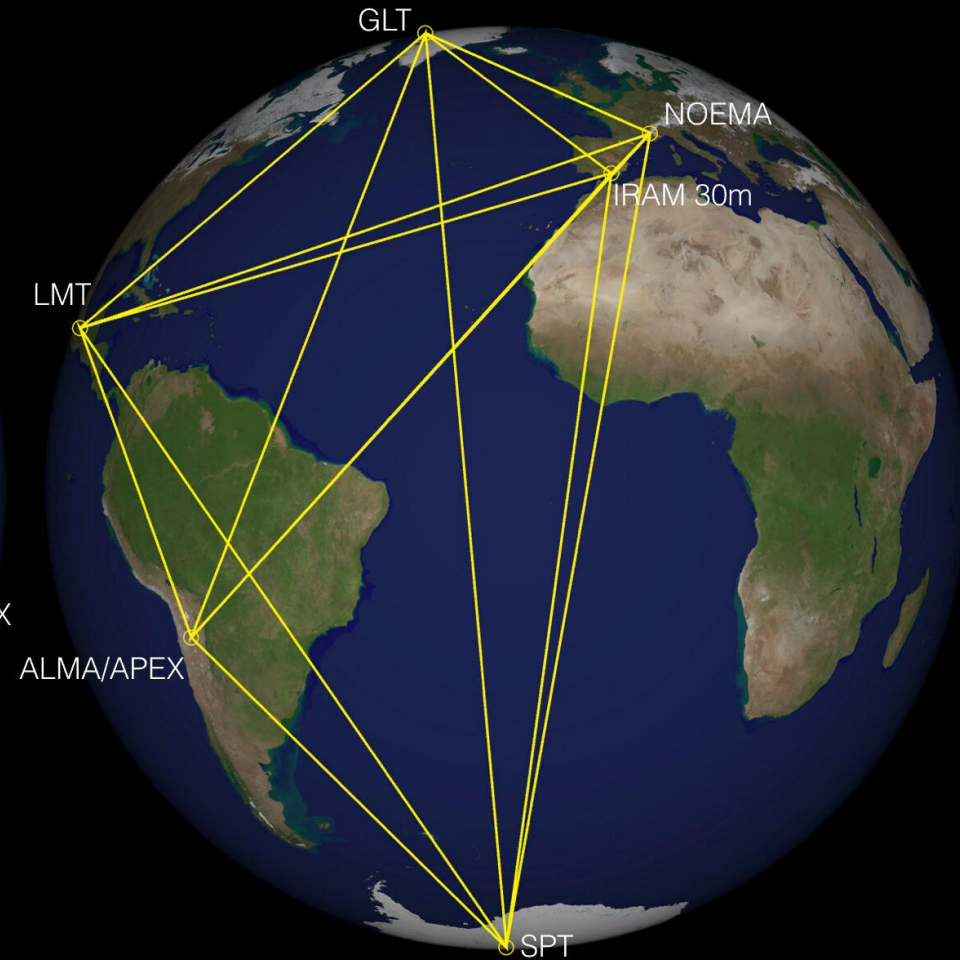
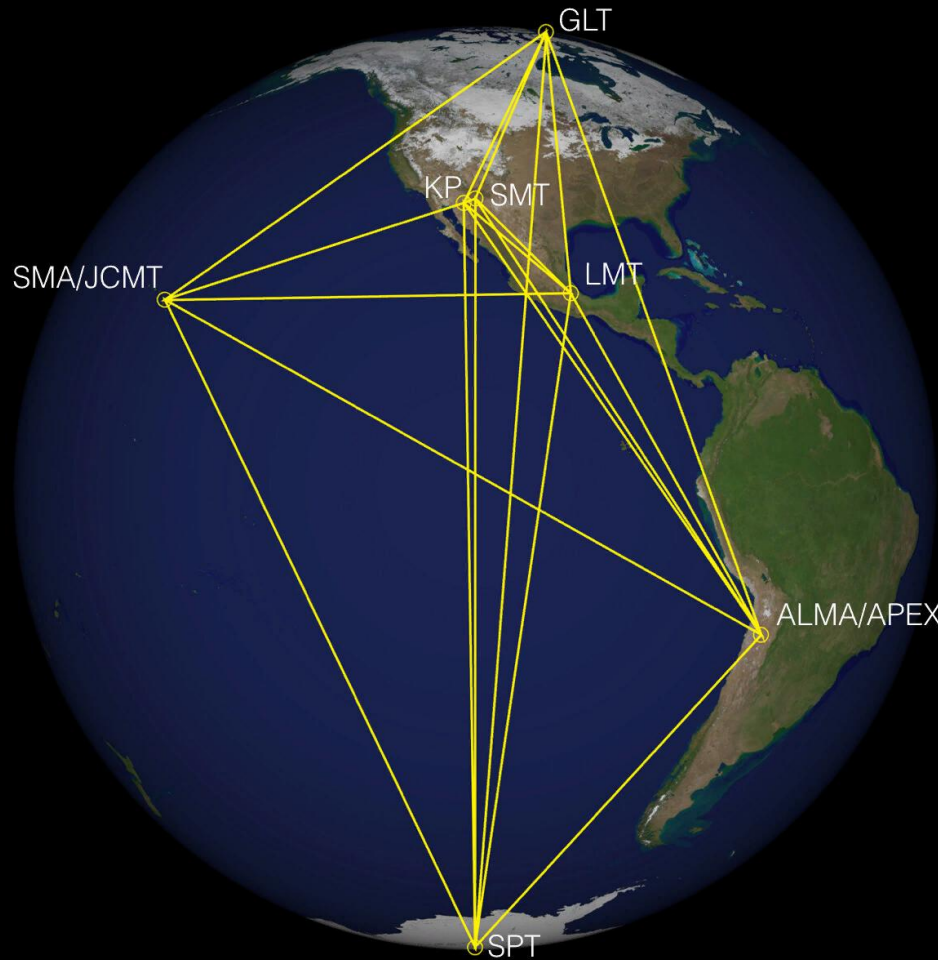
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*Lomonosov Moscow State University*



# Event Horizon Telescope



# Black Hole Shadows (@EHT)

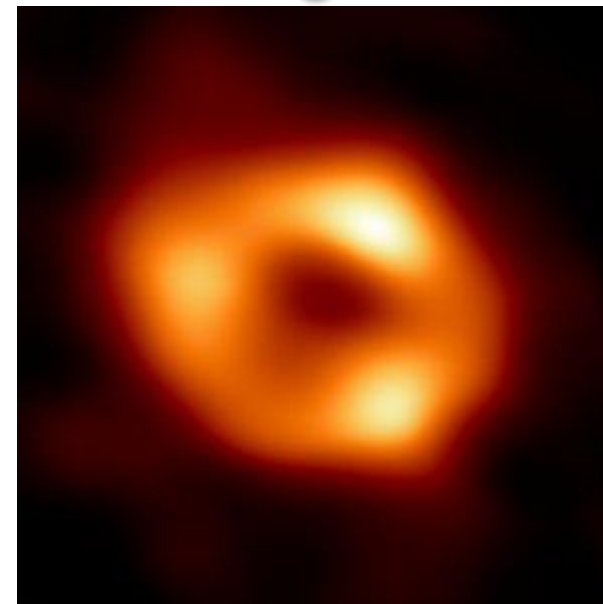
M 87\*



BH shadow size from 4.3M to 6.1M

K. Akiyama, et al., *Astrophys. J.* 875 (1) L5 (2019).

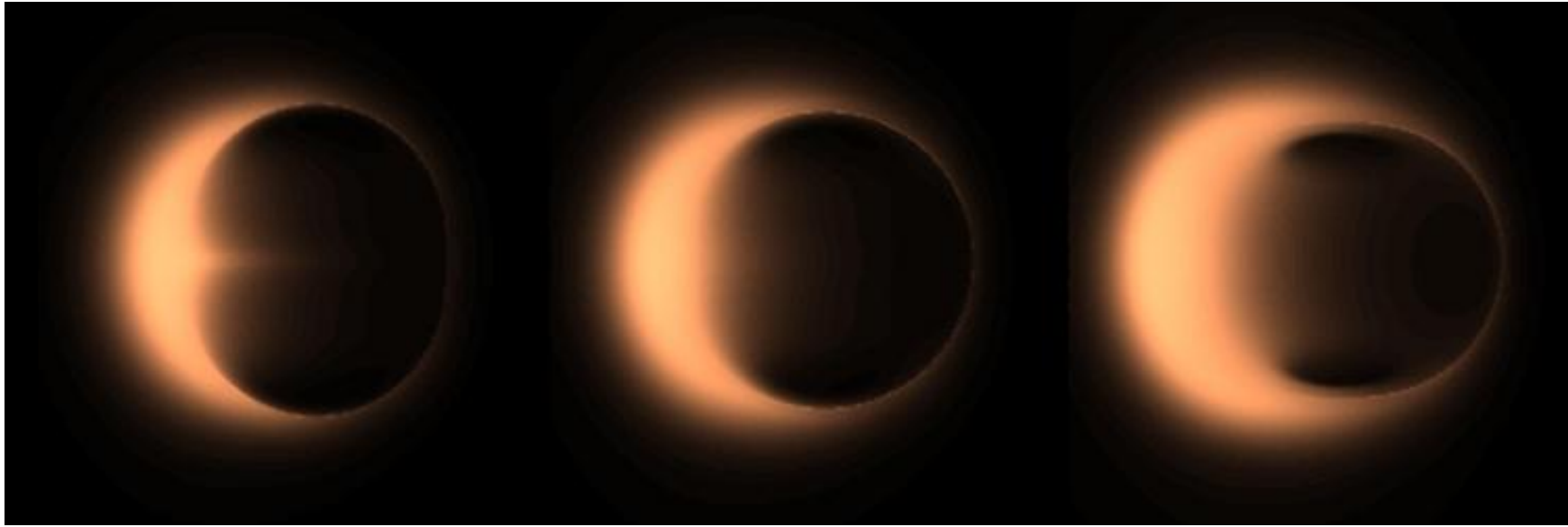
Sgr A\*



BH shadow size from 4.3M to 5.3M

The Event Horizon Telescope Collaboration, *The Astrophysical Journal Letters* 930 L17 (2022).

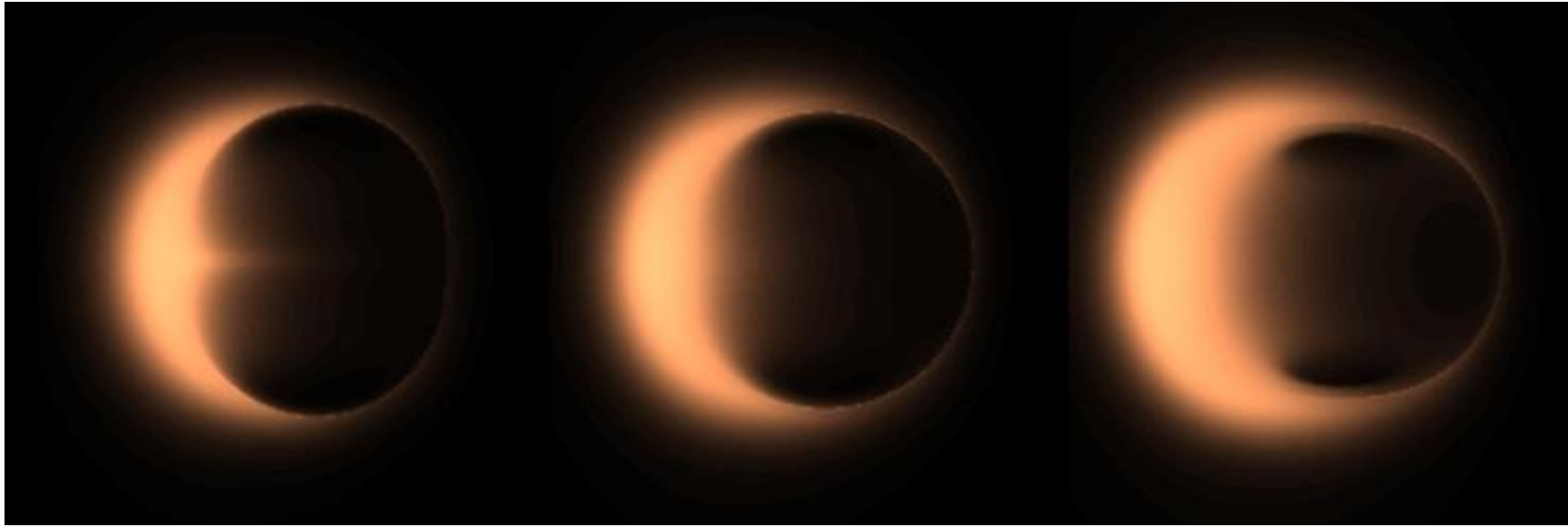
# Constraints on gravity models from black hole shadows



Pic is taken from <https://www.eso.org/public/images/shadow-evt/>

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2M}{r}\right)} + r^2(d\theta^2 + \sin^2 \theta d\phi^2)$$

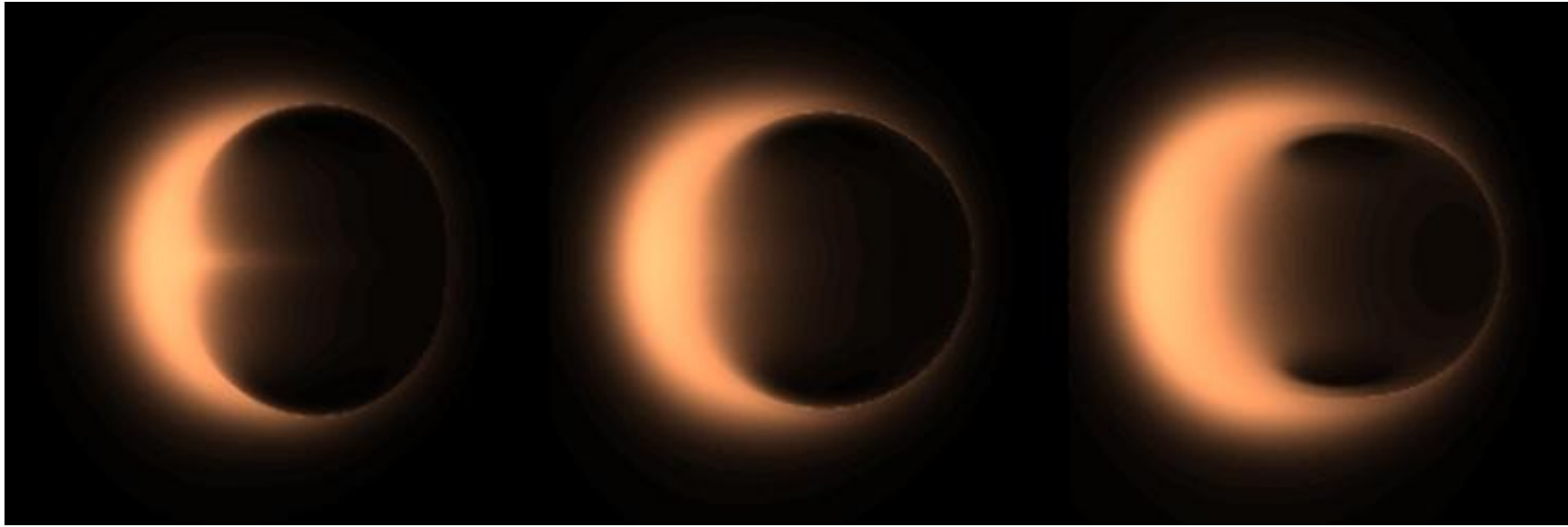
# Constraints on gravity models from black hole shadows



Pic is taken from <https://www.eso.org/public/images/shadow-evt/>

$$ds^2 = -\left(1 - \frac{2M}{r} + \frac{Q^2}{r^2}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2M}{r} + \frac{Q^2}{r^2}\right)} + r^2(d\theta^2 + \sin^2 \theta d\phi^2)$$

# Constraints on gravity models from black hole shadows



Pic is taken from <https://www.eso.org/public/images/shadow-evt/>

$$ds^2 = \left(1 - \frac{2M}{r} + \frac{Q^2}{r^2}\right) dt^2 - \frac{dr^2}{\left(1 - \frac{2M}{r} + \frac{Q^2}{r^2}\right)} - r^2 d\Omega^2$$

$$ds^2 = \frac{\Delta}{\rho^2} (dt - a \sin^2 \theta d\tilde{\varphi})^2 - \frac{\sin^2 \theta}{\rho^2} ((r^2 + a^2) d\tilde{\varphi} - a dt)^2 - \frac{\rho^2}{\Delta} dr^2 - \rho^2 d\theta^2$$

# Idea:

**The general form of spherically-symmetric metrics:**

$$ds^2 = -A(r)dt^2 + B(r)dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

**Equation of motion:**  $\left(\frac{d\hat{r}}{d\tau}\right)^2 + \frac{L^2}{B(\hat{r})\hat{r}^2} = \frac{E^2}{A(\hat{r})B(\hat{r})}, \quad \frac{d\phi}{d\tau} = \frac{L}{\hat{r}^2}, \quad D = L/E$

**Introduce:**  $u(r) = \left(\frac{d\hat{r}}{d\phi}\right)^2 = \frac{\hat{r}^4}{D^2 A(\hat{r})B(\hat{r})} - \frac{\hat{r}^2}{B(\hat{r})},$

**To calculate the shadow size one has to find maximal root of**

$$u(r) = 0, \quad \frac{du(r)}{dr} = 0, \quad \frac{d^2u(r)}{d^2r} > 0.$$

# Results for some extended gravity models

## Horndesky Model

$$A(r) = 1 - \frac{2M}{r} - \frac{2C_7}{7r^7}$$

$$B(r)^{-1} = 1 - \frac{2M}{r} - \frac{C_7}{r^7}$$

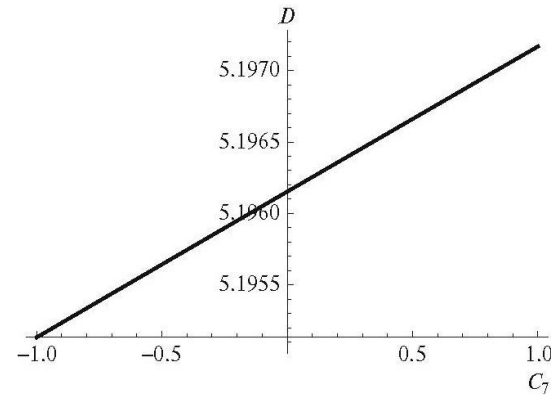


Fig. 3. The dependence of shadow size ( $D$ ) versus the combination of model constants  $C_7$  for Horndesky theory coupled with Gauss-Bonnet invariant (in the units of  $M$ ,  $M=1$ ).

## Loop quantum gravity

$$A(r) = \left(1 - \frac{2Mr^2}{r^3 + 2Ml^2}\right) \left(1 - \frac{\alpha\beta M}{\alpha r^3 + \beta M}\right),$$

$$B(r)^{-1} = 1 - \frac{2Mr^2}{r^3 + 2Ml^2},$$

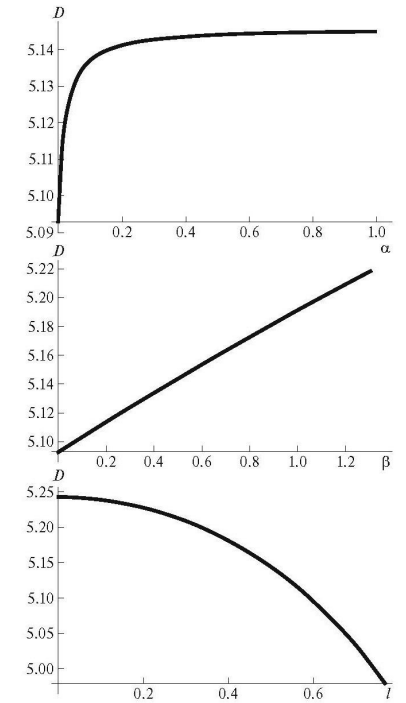


Fig. 4. The dependence of shadow size  $D$  upon the time delay  $\alpha$  when  $l = 0.5M$  and  $\beta = 0.5$  (top image), upon the 1-loop quantum corrections  $\beta$  when  $l = 0.5M$ ,  $\alpha = 0.5$  (central image), upon the central energy density  $l$  when  $\alpha = 0.5$ ,  $\beta = 0.5$  (bottom image) for BH in modified Hayward metric in the units of  $M$ ,  $M=1$ .



# Results for some extended gravity models

## Conformal gravity

$$A(r) = 1 - \frac{2M}{r} + \frac{Q_s^2}{r^2} + \frac{Q_s^2 \left( -M^2 + Q_s^2 + \frac{6}{m_2^2} \right)}{3r^4} + \dots,$$

$$B(r)^{-1} = 1 - \frac{2M}{r} + \frac{Q_s^2}{r^2} + \frac{2Q_s^2 \left( -M^2 + Q_s^2 + \frac{6}{m_2^2} \right)}{3r^4} + \dots,$$

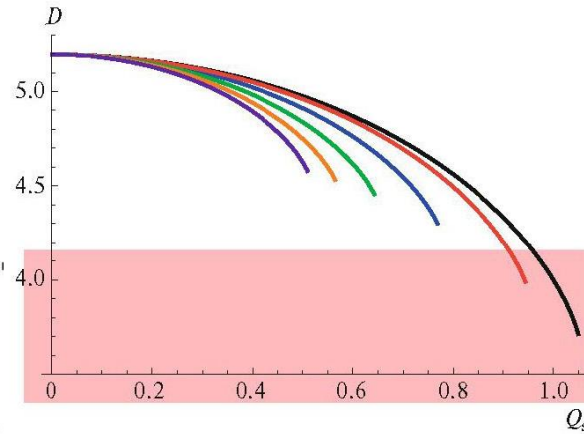


Fig. 5. The dependence of the shadow size  $D$  against the scalar charge  $Q_s$  for in new massive conformal gravity with different values of massive spin-2 mode  $m_2$  (in the units of  $M$ ,  $M = 1$ ). Black line corresponds to  $m_2 \rightarrow \infty$ , red one corresponds to  $m_2 = 2$ , blue one corresponds to  $m_2 = 1$ , green one corresponds to  $m_2 = 0.707$ , orange one corresponds to  $m_2 = 0.577$ , purple one corresponds to  $m_2 = 0.5$ .

## Bumblebee model

$$A(r) = \left( 1 - \frac{2M}{r} \right),$$

$$B(r) = \frac{1+l}{1 - \frac{2M}{r}},$$

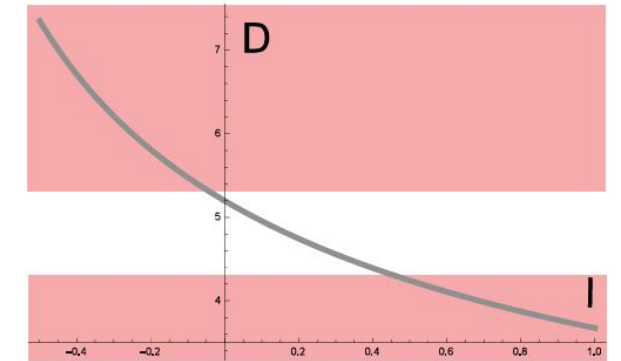


Рис. 1. The dependence of the shadow size  $D$  upon parameter  $l$  in alternative bumblebee generalization with Schwarzschild approximation (in the units of  $M$ ,  $M = 1$ ).

# Results for some extended gravity models

## f(Q) gravity

$$A(r) = 1 - \frac{2M_{\text{ren}}}{r} - \alpha \frac{32}{r^2},$$

$$B(r)^{-1} = 1 - \frac{2M_{\text{ren}}}{r} - \alpha \frac{96}{r^2},$$

$$2M_{\text{ren}} = 2M - \alpha \left( \frac{32}{3M} + c_1 \right),$$

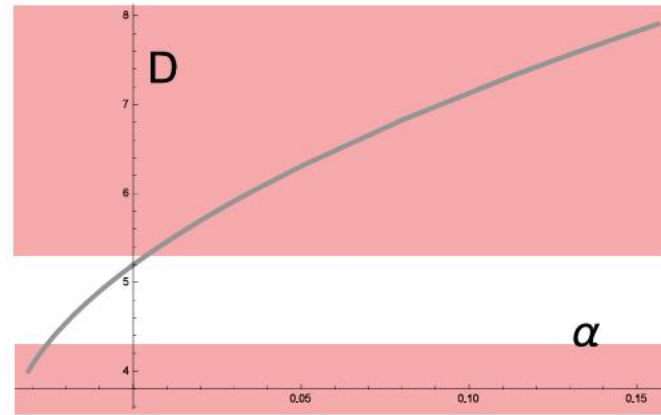


Рис. 2. The dependence of the shadow size  $D$  upon parameter  $\alpha$  in  $f(Q)$  gravity in  $M_{\text{ren}}$  units.

## Scalar Gauss-Bonnet gravity

$$A = -f(r) \left[ 1 + \frac{\zeta}{3r^3 f(r)} h(r) \right],$$

$$B = \frac{1}{f(r)} \left[ 1 - \frac{\zeta}{r^3 f(r)} k(r) \right],$$

where

$$h(r) := 1 + \frac{26}{r} + \frac{66}{5r^2} + \frac{96}{5r^3} - \frac{80}{r^4},$$

$$k(r) := 1 + \frac{1}{r} + \frac{52}{3r^2} + \frac{2}{r^3} + \frac{16}{5r^4} - \frac{368}{3r^5},$$

$$f(r) := 1 - \frac{2}{r},$$

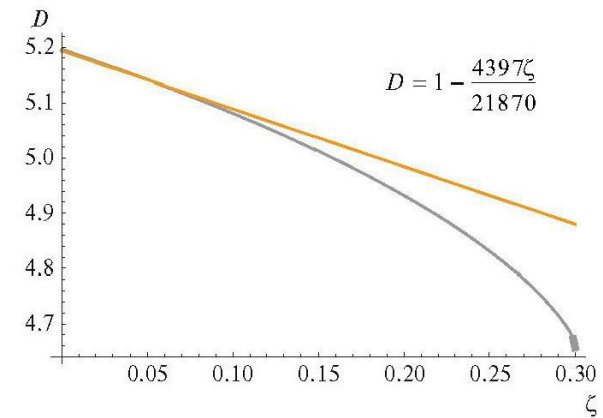


Fig. 8. The lower curve is the dependence of the shadow size  $D$  upon parameter  $\zeta$  in scalar Gauss-Bonnet gravity (in the units of  $M$ ,  $M = 1$ ). The top line is the first order approximation.

# Constraints for these extended gravity models

The results in Horndesky with Gauss-Bonnet invariant, LQG, Bumbleby and Gauss-Bonnet scalar models are in complete agreement with the M87\* observations. For most of considered examples the the model predictions are not pass the boundary established by the existing observational data.

In conformal gravity big values of  $m_2$  and  $Q_s$  must be excluded (for example if  $m_2 = 2$  then  $Q_s < 0.9$ ).

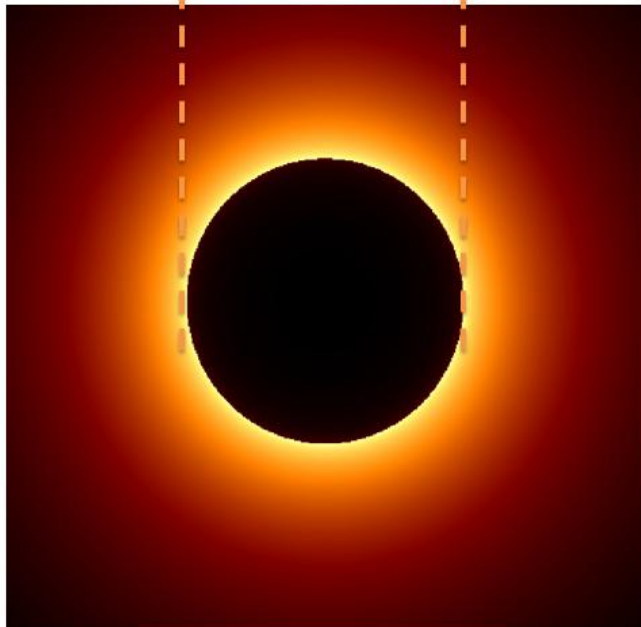
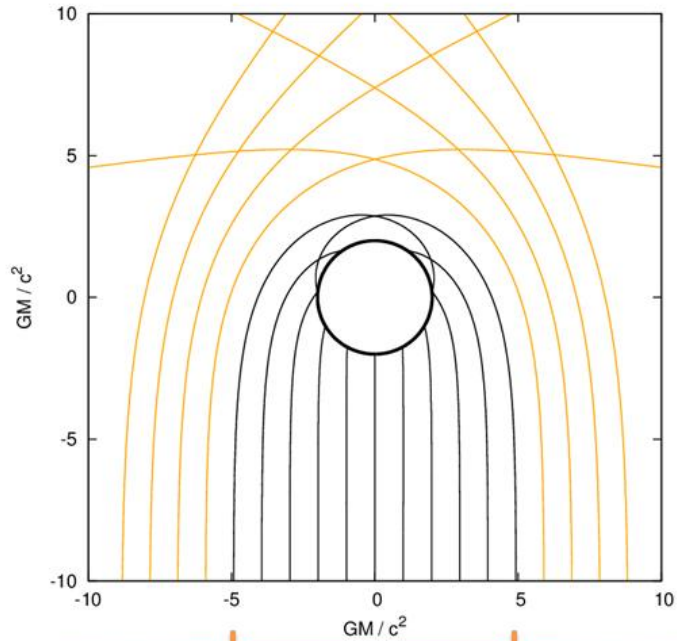
In STEGR  $f(Q)$  gravity M87 observations constraint  $\alpha$  as  $-0.025 < \alpha < 0.04$ .

In alternative Bumblebee generalization with Schwarzschild approximation one obtains that  $-0.3 < l < 0.45$ .

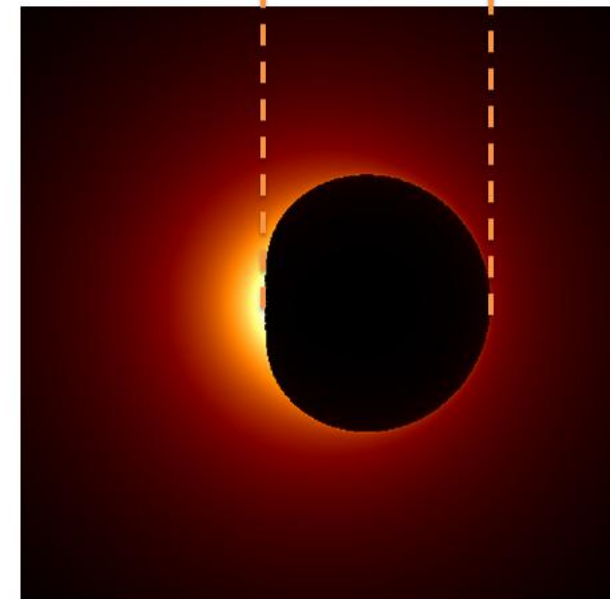
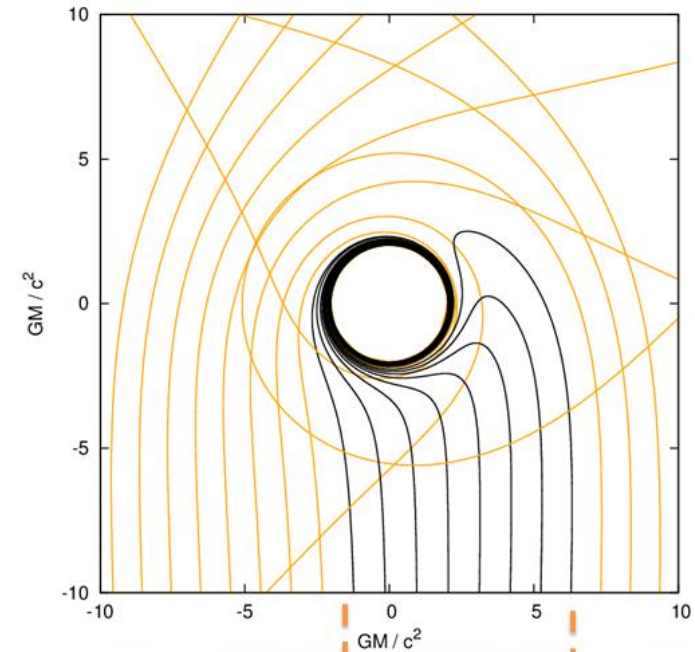
These results demonstrates the maximum that could be distinguished when a BH rotation is not taken into account.

The upper bound on the size of the shadow for Sgr A\* 5.3M appeared to be lower than for the case of M87\* 6.1M, becoming comparable with the calculated size of the BH shadow in GR (about 5.2M). This fact makes possible to improve constraints on the alternative bumblebee metric ( $-0.05 < l < 0.45$ ) from below and  $f(Q)$  gravity ( $-0.025 < \alpha < 0.005$ ) from above.

## Schwarzschild BH shadow



## Kerr BH shadow



The most probable values of the rotation parameter and inclination of the rotation plane in BH:

M87\*:

$$a = 0.9375^{[1]}$$

Sgr A\*:

$$a = 0.5 \text{ or } a = 0.94$$

$$\text{and } \theta = \pi/6^{[2]}$$

[2] Cui, Y.; others, Nature 621, 711–715, (2023).

[1] Akiyama, K.; others, Astrophys. J. Lett. 930, L13, (2022).

# BH Shadow for Kerr black hole

## Hamilton-Jacobi equation

$$g^{\mu\nu} \frac{\partial S}{\partial x^\mu} \frac{\partial S}{\partial x^\nu} = 0. \quad \mathcal{R} = 0, \quad \frac{d\mathcal{R}}{dr} = 0.$$

$$E = -p_t \quad L_z = p_\phi$$

$$S = -Et + L_z\phi + S_r(r) + S_\theta(\theta),$$

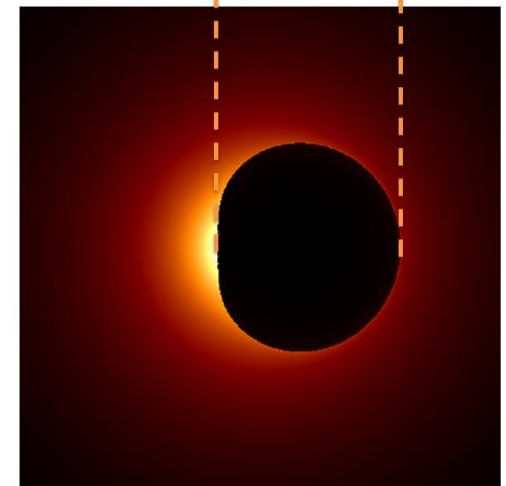
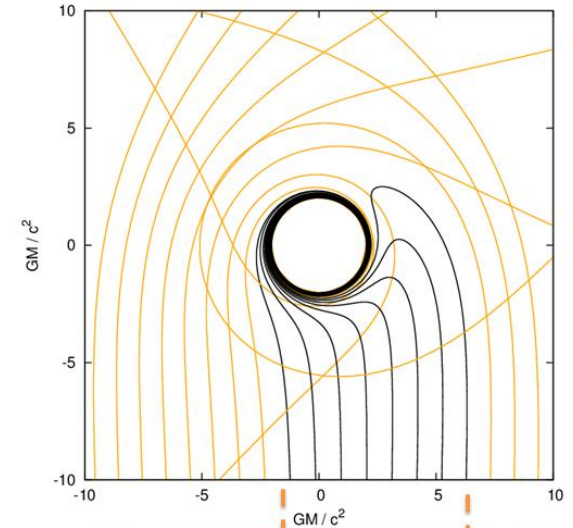
$$\mathcal{R}(r) = (\omega + a^2 - a\lambda)^2 - (f_r^{-1}r^2 + a^2) \left[ \eta + (a - \lambda)^2 \right]$$

$$\lambda = \frac{K + a^2}{a} - \frac{2K' (FH + a^2)}{a (HF)'},$$

$$\eta = \frac{4(a^2 + FH)}{(HF)'^2} K'^2 - \frac{1}{a^2} \left[ K - \frac{2(FH + a^2)}{(HF)'} K' \right].$$

$$x' = -\frac{\lambda}{\sin \theta_0},$$

$$y' = \pm \sqrt{\eta + a^2 \cos^2 \theta_0 - \frac{\lambda^2}{\tan^2 \theta_0}},$$



# BH Shadow for Kerr black hole

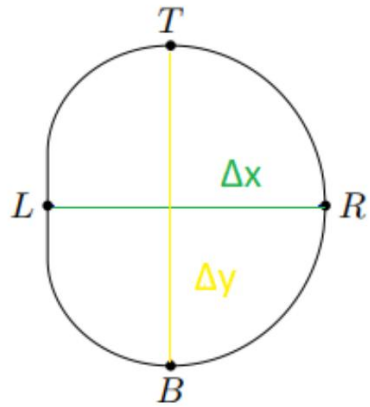
Coordinates of the BH shadow on a plane perpendicular to the observer's line of sight

$$x' = -\frac{\lambda}{\sin \theta_0},$$

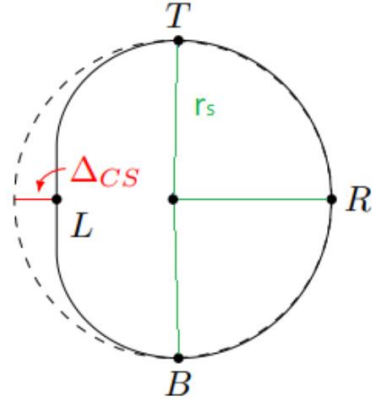
$$y' = \pm \sqrt{\eta + a^2 \cos^2 \theta_0 - \frac{\lambda^2}{\tan^2 \theta_0}},$$

$$\lambda = \frac{K + a^2}{a} - \frac{2K' (FH + a^2)}{a (HF)'},$$

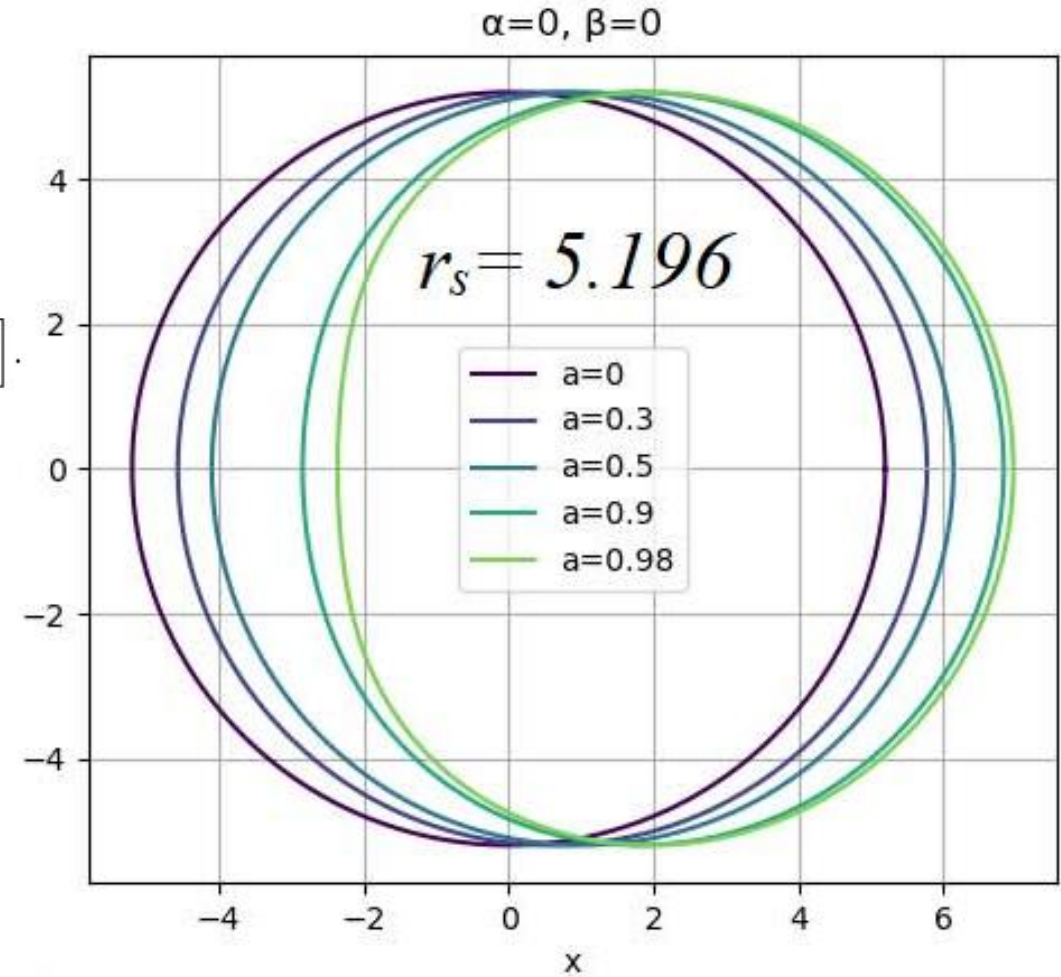
$$\eta = \frac{4(a^2 + FH) K'^2}{(HF)'^2} - \frac{1}{a^2} \left[ K - \frac{2(FH + a^2)}{(HF)'} K' \right].$$



$$D = \frac{x_{min} + x_{max}}{2},$$



$$\delta_{cs} = \Delta_{cs} / r_s,$$



СА, А.А Байдерин, А.В. Немтинова, О.И. Зенин, ЖЭТФ 165, 508 (2024)

K. Hioki and Kei-ichi Maeda, Phys. Rev. D **80**, 024042 (2009).

# Approved Newman-Janis method

$$ds^2 = -G(r)dt^2 + \frac{1}{F(r)}dr^2 + H(r)d\Omega^2 \quad \longrightarrow$$

$$g_{tt} = -\frac{FH + a^2 \cos^2 \theta}{(K + a^2 \cos^2 \theta)^2} \Psi,$$

$$g_{t\phi} = -a \sin^2 \theta \frac{K - FH}{(K + a^2 \cos^2 \theta)^2} \Psi,$$

$$g_{\theta\theta} = \Psi,$$

$$g_{rr} = \frac{\Psi}{FH + a^2},$$

$$g_{\phi\phi} = \Psi \sin^2 \theta \left( 1 + a^2 \sin^2 \theta \frac{2K - FH + a^2 \cos^2 \theta}{(K + a^2 \cos^2 \theta)^2} \right),$$

$$K = H(r) \sqrt{\frac{F(r)}{G(r)}}.$$

+ additional equations on  $\Psi$

$$\lim_{a \rightarrow 0} \Psi(r, y^2, a) = H(r)$$

$$(K + a^2 y^2)^2 (3\Psi_r \Psi_{y^2} - 2\Psi \Psi_{r,y^2}) = 3a^2 K_r \Psi^2, \Psi [K_r^2 + K(2 - K_{rr}) - a^2 y^2 (2 + K_{rr})] + (K + a^2 y^2) [(4y^2 \Psi_{y^2} - K_r \Psi_r)] = 0.$$

# Horndesky theory

$$ds^2 = - \left(1 - \frac{2M}{r} - \frac{8\alpha_5\eta}{5r^3}\right) dt^2 + \frac{1}{1 - \frac{2M}{r} - \frac{8\alpha_5\eta}{5r^3}} dr^2 + r^2 d\Omega^2.$$

$$G(r) = F(r) = 1 - \frac{2M}{r} - \frac{8\alpha_5\eta}{5r^3} + O\left(\frac{1}{r^4}\right)$$

$$H(r) = K(r) = r^2, \quad \Psi_n = r^2 + a^2 y^2.$$

$$g_{tt} = - \left(1 - \frac{2Mr}{\rho^2} - \frac{8\alpha_5\eta}{5r}\right),$$

$$g_{t\phi} = - \frac{2a \sin^2 \theta}{5r\rho^2} (4\alpha_5\eta + 9Mr^2),$$

$$g_{rr} = \rho^2 \left(-\frac{8\alpha_5\eta}{5r} + a^2 - 2Mr + r^2\right)^{-1},$$

$$g_{\theta\theta} = \rho^2,$$

$$g_{\phi\phi} = \frac{\sin^2 \theta}{\rho^2} \left( r^4 + 2ar^2 \cos^2 \theta + a^4 \cos^4 \theta \right. \\ \left. + \frac{8a^2\alpha_5\eta \sin^2 \theta}{5r} + 2aMr \sin^2 \theta + a^2 r^2 \sin^2 \theta \right. \\ \left. + a^4 \cos^2 \theta \sin^2 \theta \right),$$



# Bumblebee model

$$ds^2 = - \left(1 - \frac{2M}{r}\right) dt^2 + \frac{1+l}{1 - \frac{2M}{r}} dr^2 + r^2 d\Omega^2,$$

$$g_{tt} = \frac{r^{-1+\sqrt{1+l}} AB}{\sqrt{1+l} CD},$$

$$g_{t\phi} = - \frac{ar^{-l+\sqrt{1+l}} EB \sin^2 \theta}{(1+l) CD},$$

$$g_{rr} = - \frac{(1+l)r^{-l+\sqrt{1+l}} B}{CG},$$

$$g_{\theta\theta} = r^{1+\sqrt{1+l}} + \frac{a^2(-4 + 8\sqrt{1+l})r^{-l+\sqrt{1+l}} \cos^2 \theta}{8 - 2(1 + \sqrt{1+l})},$$

$$g_{\phi\phi} = \frac{r^{-l+\sqrt{1+l}} \sin^2 \theta (B + 5a^2 \cos^2 \theta)}{(1+l) CD}$$

$$\times (D(1+l) - Ka^2 \cos^2 \theta),$$

$$G(r) = 1 - \frac{2M}{r}, \quad F(r) = \frac{G(r)}{1+l},$$

$$H(r) = r^{\sqrt{1+l}+1}, \quad K(r) = r^2 \sqrt{1+l}^{-1},$$

$$\Psi_n = H(r) + a^2 y^2 r^{\sqrt{1+l}-1} \frac{8(1+l)^{1/2} - 4}{8 - 2(1 + (1+l)^{1/2})}.$$

$$A = (2Mr^{1+l} - r^{1+\sqrt{1+l}} - a^2 \cos^2 \theta - a^2 l \cos^2 \theta),$$

$$B = -3r^2 + \sqrt{1+l}r^2 - 3a^2 \cos^2 \theta - 4a^2 \sqrt{1+l} \cos^2 \theta,$$

$$C = -3 + \sqrt{1+l},$$

$$D = r^2 + a^2 \sqrt{1+l} \cos^2 \theta,$$

$$E = -r^2 - lr^2 - 2\sqrt{1+l}Mr^{\sqrt{1+l}} + \sqrt{1+l}r^{1+\sqrt{1+l}},$$

$$G = a^2 + a^2 l - 2Mr^{1+l} + r^{1-\sqrt{1+l}},$$

$$F = -2Mr^{\sqrt{1+l}} + r^{1+\sqrt{1+l}} - a^2 l \cos^2 \theta,$$

$$K = \sqrt{1+l}F - r - 2lr^2 - D.$$

# Scalar Gauss-Bonnet Gravity

$$ds^2 = - f_s \left(1 + \frac{\xi}{3r^3 f_s}\right) dt^2 + \frac{\left(1 - \frac{\xi}{r^3 f_s}\right)}{f_s} dr^2 + \left(r^2 + \frac{\xi}{3r} + \frac{2\xi M}{3r^2}\right) d\Omega^2,$$

$$G(r) = f_s \left(1 + \frac{\xi}{3r^3 f_s}\right) + o\left(\frac{1}{r^3}\right),$$

$$F(r) = \frac{f_s}{\left(1 - \frac{\xi}{r^3 f_s}\right)} + o\left(\frac{1}{r^3}\right),$$

$$H(r) = 2 \frac{K}{K_r} r,$$

$$K(r) = r^2 + \frac{\xi}{3r} + \frac{2\xi M}{3r^2} + o(1/r^3),$$

$$\Psi_c = 2 \frac{K}{K_r} r + a^2 \frac{H^2 (8K - K_r^2) y^2}{K^2 (8H - H_r K_r)},$$

$$f_s = 1 - \frac{2M}{r}.$$

$$\frac{1}{K_r} = \frac{1}{2r} + o(1/r^3).$$

$$g_{tt} = \frac{r^2 (E + F \cos^2 \theta)}{AB},$$

$$g_{t\phi} = -\frac{aCD \sin^2 \theta}{AB},$$

$$g_{rr} = -\frac{B}{r^2 (E + F)},$$

$$g_{\theta\theta} = \frac{B}{3r^2},$$

$$g_{\phi\phi} = \frac{1 + Q + 9a^4 r^4 A \cos^4 \theta + 6a^2 r^2 G \sin^2 \theta}{3r^2 AB} + \frac{9a^4 r^4 A \cos^2 \theta \sin^2 \theta}{3r^2 AB}.$$

$$A = \xi + 2Mr^2 - r^3,$$

$$B = 2\xi M + \xi r + 3r^4 + 3a^2 r^2 \cos^2 \theta,$$

$$C = 2\xi M + \xi r + 3r^4,$$

$$D = A + 16M^2 r^2 - 16Mr^4 + 4r^5,$$

$$E = 32\xi M^3 r - 16\xi M^2 r^2 - 8\xi M r^3 + 4\xi r^4 + 48M^2 r^5 - 48Mr^6 + 12r^7,$$

$$F = -3a^2 \xi - 6a^2 M r^2 + 3a^2 r^3,$$

$$G = 16\xi M^3 r^5 + 2\xi r^6 + 24M^2 r^7 - 24Mr^8 + 6r^9,$$

$$K = 2\xi^2 M + \xi^2 r + 4\xi M^2 r^2 + 2\xi r^4 + 6Mr^6 - 3r^7,$$

$$Q = 4\xi^3 M (M + r) + \xi^2 r^2 (\xi + 2M^3 + 4M^2 r + 10Mr^2 + 5r^3) + 3\xi r^6 (8M^2 + r^2) + 9r^{10} (2M - r).$$