

Scalar oscillons: from weakly nonlinear models to a generic effective approach

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Based on: D. G. Levkov, VM, [Phys. Rev. D 108, 063514 \(2023\)](#) • [arXiv:2306.06171](#)
D. G. Levkov, VM, E. Ya. Nugaev, A. G. Panin, [JHEP12\(2022\)079](#)

Oscillons: introduction

Scalar field theory

$$\partial_t^2 \varphi - \Delta \varphi = -V'(\varphi)$$

Generic lifetimes:

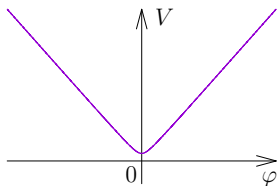
$$\tau \gtrsim 10^5 \text{ periods}$$

Example:

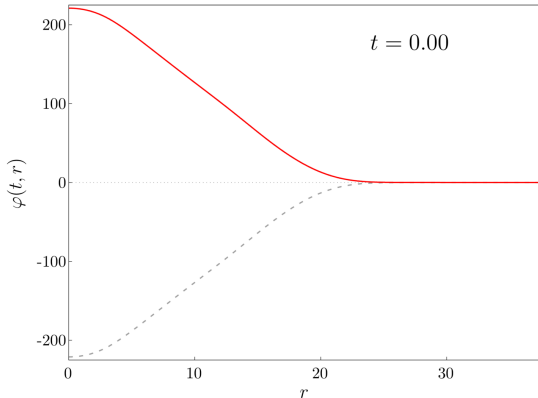
$$V(\varphi) = \sqrt{1 + \varphi^2}$$

(axion–monodromy model)

McAllister, Silverstein, Westphal '10



$d = 3$



Oscillons: introduction

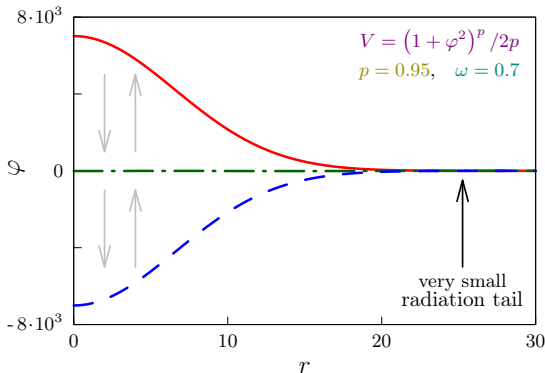
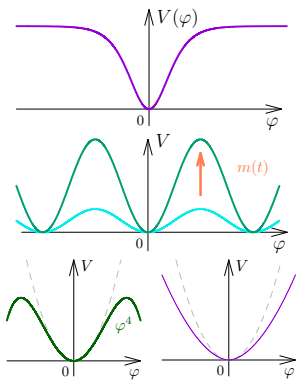
Scalar field theory

$$\partial_t^2 \varphi - \Delta \varphi = -V'(\varphi)$$

Generic lifetimes:

$$\gtrsim 10^5 \text{ periods}$$

Plethora of theories:



Oscillons in cosmology

- nucleate during generation of axion or ultra-light DM



Kolb, Tkachev '94

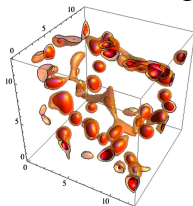
*Vaquero, Redondo,
Stadler '19*

*Buschmann, Foster,
Safdi '20*

- accompany cosmological phase transitions

Dymnikova, Kozel, Khlopov, Rubin '00
Gleiser, Graham, Stamatopoulos '10

- formed by inflaton field during preheating



*Amin, Easther, Finkel,
Flauger, Herzberg' 12*

*Hong, Kawasaki,
Yamazaki '18*

Why are oscillons so long-lived?

How to describe them?

Large oscillons, weak nonlinearities

Large oscillons: $R \gg m^{-1}$

- Small repulsion from $\Delta\varphi$

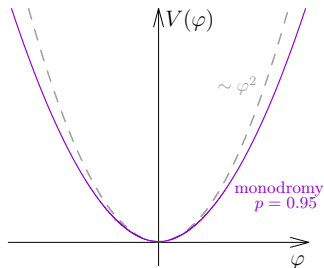
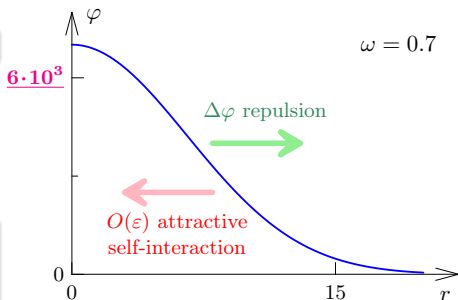


Weak attraction expected

Example: monodromy potentials

$$V(\varphi) = \frac{1}{2p} (1 + \varphi^2)^p, \quad p \lesssim 1$$

- Attractive nonlinearity $\varepsilon \equiv 1 - p$
- Large radius: $R^{-2} \sim O(\varepsilon)$.
- Lifetime: up to 10^{14} periods!
Ollé, Pujolàs, Rompineve '20
- **Very strong** fields: how to account for **small nonlinearities**?



Isolating small nonlinearity at strong fields

$$\partial_t^2 \varphi - \Delta \varphi = -V'(\varphi)$$

- Zero-order approximation: still a **parabola**, but **not expansion around the vacuum**

$$-V'(\varphi) = -\mu^2 \varphi - \delta V'(\varphi)$$

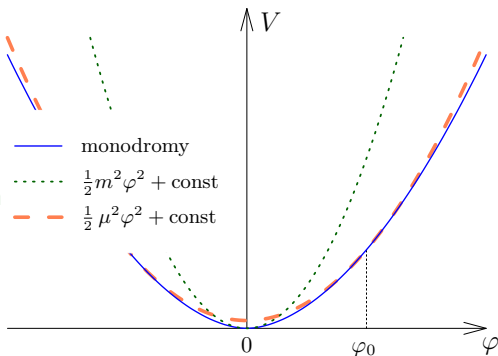
$$\delta V \equiv V - \mu^2 \varphi^2 / 2$$

- Wise choice of $\mu \neq m$ to make $\delta V'$ small:

$$\mu^2 = V'(\varphi_0) / \varphi_0$$

for some **scale** $\varphi_0 \sim \varphi$

- In the end: **scale** φ_0 — tuned to the oscillon amplitude.



Example: monodromy potential

$$\begin{aligned} V'(\varphi) &= (1 + \varphi^2)^{-\epsilon} \cdot \varphi \\ &= \underbrace{(1 + \varphi_0^2)^{-\epsilon}}_{\mu^2} \cdot \varphi + \delta V' \end{aligned}$$

Effective Field Theory (EFT): slowly changing variables

- Oscillons: $\delta V' \sim \Delta\varphi \sim O(\varepsilon)$



- Zero-order approximation:

$$\partial_t^2 \varphi - \cancel{\Delta\varphi} = -\mu^2 \varphi - \cancel{\delta V'}$$

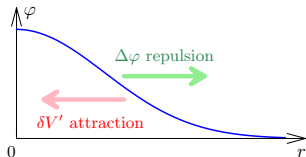
linear oscillator



Action-angle: $\varphi = \sqrt{2I/\mu} \cos \theta$

$$\pi_\varphi \equiv \partial_t \varphi = -\sqrt{2I\mu} \sin \theta$$

Solution: $I(t) = \text{const}, \theta = \mu t.$



- Leading order: restore $\Delta\varphi$ and δV

$I(t, \mathbf{x}), \theta(t, \mathbf{x})$ now depend on \mathbf{x} but **slowly**.

- Classical field action:

$$\mathcal{S} = \int dt d^3 \mathbf{x} \left[\underbrace{\pi_\varphi \partial_t \varphi - \mu^2 \varphi^2 / 2}_{I \partial_t \theta - \mu I} - \underbrace{(\partial_i \varphi)^2 / 2 - \delta V}_{\text{subleading}} \right]$$

Effective Field Theory (EFT): averaging perturbations

$$\mathcal{S} = \int dt d^3\mathbf{x} \left[\underbrace{\pi_\varphi \partial_t \varphi - \mu^2 \varphi^2 / 2}_{l \partial_t \theta - \mu l} - \underbrace{(\partial_i \varphi)^2 / 2 - \delta V}_{\text{subleading}} \right]$$

Averaging over period : $t \longrightarrow \theta$

- $\partial_t l, \partial_t \theta$ — slow varying \implies moved **out** of the averages.

$$(\partial_i \varphi)^2 \longrightarrow \langle (\partial_i \varphi)^2 \rangle \stackrel{t \rightarrow \theta}{=} \int_0^{2\pi} \frac{d\theta}{2\pi} (\partial_i \varphi)^2 \approx \frac{(\partial_t l)^2}{4l\mu} + \frac{l}{\mu} (\partial_t \theta)^2 + \cancel{\langle \partial_l \Phi \partial_\theta \Phi \rangle \partial_t l \partial_t \theta}$$

$$\varphi = \sqrt{2l/\mu} \cos \theta$$

symmetry $\theta \rightarrow -\theta$

$$\delta V \longrightarrow \langle \delta V \rangle = \int_0^{2\pi} \frac{d\theta}{2\pi} \delta V(l, \theta)$$

Example: monodromy potential

$$\delta V = \frac{1}{2p} (1 + \varphi^2)^p - \frac{\mu^2 \varphi^2}{2} \implies \langle \delta V \rangle = \frac{1}{2p} \left(\underset{\text{|||}}{\mathcal{A}_p(\varsigma)} - p\mu l \right)$$

$$\varsigma = 2l/\mu \quad \langle (1 + \varsigma \cos^2 \theta)^p \rangle = (1 + \varsigma)^{p/2} P_p \left(\frac{1 + \varsigma/2}{\sqrt{1 + \varsigma}} \right)$$

Example: monodromy oscillons

Effective action in the leading order

$$\mathcal{S}_{\text{eff}} = \int dt d^3\mathbf{x} \left[I \partial_t \theta - \mu I - \frac{(\partial_i I)^2}{8I\mu} - \frac{I(\partial_i \theta)^2}{2\mu} - \frac{\mathcal{A}_p(\zeta)}{2p} + \frac{\mu I}{2} \right]$$

- Action depends on φ_0 as $O(\varepsilon^2)$
After second-order corrections — $O(\varepsilon^3)$
- Final step: make “scale” φ_0 and “mass” μ running:

$$\varphi = \sqrt{2I/\mu} \cos \theta \implies \varphi_0^2 = 2I/\mu(\varphi_0^2) \implies \mu = \mu(I)$$

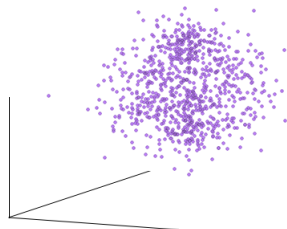
or simply $\varphi_0 = \sqrt{2I}$ as planned

- Global symmetry: $\theta \rightarrow \theta + \alpha$



- Conserved charge: $N = \int d^3\mathbf{x} I(t, \mathbf{x})$

+
attraction



Oscillons as nontopological solitons

- Stationary ansatz:

$$l(t, \mathbf{x}) = \psi^2(\mathbf{x}), \quad \theta(t, \mathbf{x}) = \omega t$$

or **minimize** energy E at **fixed** charge N .

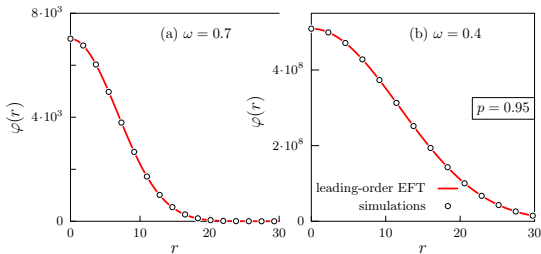
$$\frac{dE}{dN} = \omega$$

Monodromy oscillons profile equation

$$\omega\psi = \mu\psi - \frac{\Delta\psi}{2\mu} + \psi(\partial_i\psi)^2 \frac{\partial_l\mu}{2\mu^2} + (\partial_\zeta\mathcal{A}_p/\mu^2 p - 1/2)(\mu - \psi^2\partial_l\mu)\psi$$

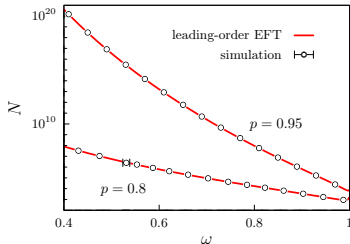
- Field values restored:

$$\varphi(t, \mathbf{x}) = \sqrt{2l/\mu(l)} \cos \omega t$$



- Exact** adiabatic invariant:

$$N = \int d^3\mathbf{x} \int_t^{t+T} \frac{dt}{2\pi} (\partial_t\varphi)^2$$



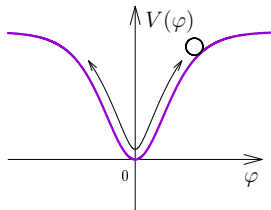
Generalization to arbitrary potentials

- No small nonlinearity, but still **consider large-sized oscillons**



pursue **gradient** expansion

- Zero order approx.: $\partial_t^2 \varphi - \Delta \varphi = -V'(\varphi) \implies$ **Nonlinear oscillator**



- Action-angle variables in full nonlinearity**

$$\varphi = \Phi(I, \theta), \quad \dot{\varphi} = \Pi(I, \theta)$$

- Hamiltonian: $h = \dot{\varphi}^2/2 + V(\varphi) \equiv h(I)$

- Classical solution: $I = \text{const},$
 $\theta = \Omega t + \text{const},$

$$\Omega = \frac{\partial h}{\partial I}$$

- Single** subleading term in the classical action:

$$\mathcal{S} = \int dt d^d \mathbf{x} \left(\underbrace{\frac{1}{2} \dot{\varphi}^2 - V(\varphi)}_{I \partial_t \theta - h} - \underbrace{\frac{1}{2} (\partial_i \varphi)^2}_{\text{subleading}} \right)$$

- Averaging over period

$$(\partial_i \varphi)^2 \longrightarrow \langle (\partial_i \varphi)^2 \rangle = \frac{1}{2\pi} \int_0^{2\pi} (\partial_i \Phi(I, \theta))^2 d\theta$$

Generalization to arbitrary potentials

- Slow-varying $\partial_i I$, $\partial_i \theta$ are moved out of the average

$$\langle (\partial_i \varphi)^2 \rangle \approx \frac{(\partial_i I)^2}{\mu_I(I)} + \frac{(\partial_i \theta)^2}{\mu_\theta(I)} + \langle \cancel{\partial_i \Phi \partial_\theta \Phi} \partial_i I \partial_i \theta \rangle$$

$$\mu_I \equiv \langle (\partial_i \Phi)^2 \rangle^{-1}, \quad \mu_\theta \equiv \langle (\partial_\theta \Phi)^2 \rangle^{-1}$$

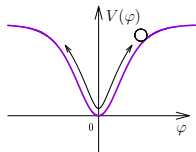
Leading-order effective action for generic potential

$$\mathcal{S}_{\text{eff}} = \int dt d^d \mathbf{x} \left(I \partial_t \theta - h(I) - \frac{(\partial_i I)^2}{2\mu_I(I)} - \frac{(\partial_i \theta)^2}{2\mu_\theta(I)} \right)$$

- Oscillon profile equation

$$\Omega = \partial h / \partial I$$

$$-\frac{2\psi^2}{\mu_I} \Delta \psi - (\partial_i \psi)^2 \frac{d}{d\psi} (\psi^2 / \mu_I) + \Omega \psi = \omega \psi$$



- Existence conditions :

Coleman '85

$$\Omega(l_0) < m$$

$$h(l_0)/l_0 < m$$

$$l_0 \equiv I(0)$$

Longevity and stability: EFT and beyond

- EFT applicability \iff oscillon longevity

$$-\frac{2\psi^2}{\mu_1} \Delta\psi - (\partial_i\psi)^2 \frac{d}{d\psi} (\psi^2/\mu_1) + \Omega\psi = \omega\psi$$

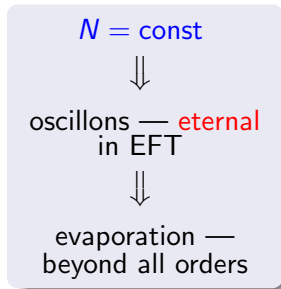
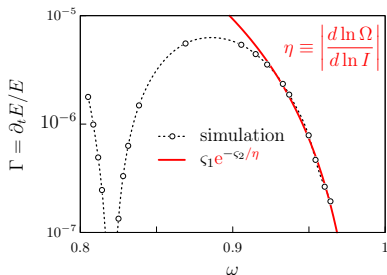


$$\Omega = \partial h / \partial I$$

$$\omega - \Omega \sim (mR)^{-2} \implies$$

$$\left| \frac{d^2 h}{dI^2} \right| = \left| \frac{d\Omega}{dI} \right| \ll \frac{\Omega}{I}$$

potential is close to quadratic!

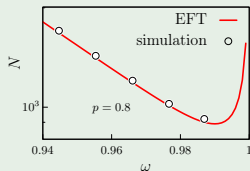


Linear stability

Stable only if

$$dN(\omega)/d\omega < 0$$

Vakhitov-Kolokolov
criterion



Higher-order corrections

- **Goal:** Develop asymptotic expansion in $\varepsilon \sim R^{-2}$:

$$\mathcal{S}_{\text{eff}} = \underbrace{\mathcal{S}_{\text{eff}}^{(1)}}_{\varepsilon^0 + \varepsilon^1} + \overbrace{\mathcal{S}_{\text{eff}}^{(2)} + \mathcal{S}_{\text{eff}}^{(3)} + \dots}^{\text{corrections}}$$

ε^2 ε^3

- Field corrections:

$$I = \underbrace{\bar{I}}_{\text{slow}} + \underbrace{\delta I}_{\text{fast}}, \quad \theta = \underbrace{\bar{\theta}}_{\text{slow}} + \underbrace{\delta \theta}_{\text{fast}}$$
$$\langle \delta I \rangle = \langle \delta \theta \rangle = 0, \quad \delta I \ll I, \quad \delta \theta \ll \theta$$

- Eqs. for $\delta I, \delta \theta$:

$$\partial_t \delta I = \partial_{\theta} \varphi(\Delta \varphi - \delta V')$$

$$\partial_t \delta \theta = -\partial_I \varphi(\Delta \varphi - \delta V') + \left\langle \partial_I \varphi(\Delta \varphi - \delta V') \right\rangle$$

- Solve order-by-order in $\delta I, \delta \theta \implies$ plug $\delta I(\bar{I}, \bar{\theta}), \delta \theta(\bar{I}, \bar{\theta})$ into action
+ stationary ansatz: $\bar{I} = \psi^2(\mathbf{x}), \bar{\theta} = \omega t$

Higher-order corrections

Second-order effective action

$$\mathcal{S}_{\text{eff}} = \mathcal{S}_{\text{eff}}^{(1)} + \mathcal{S}_{\text{eff}}^{(2)}$$

$O(\varepsilon^3)$ – sensitive
to φ_0

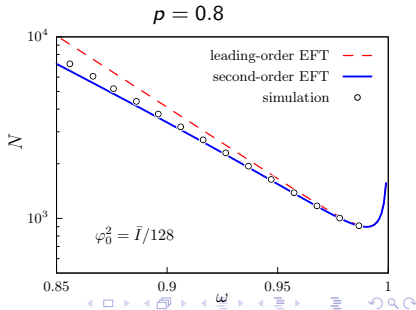
$$\mathcal{S}_{\text{eff}}^{(2)} = \int dt d^3\mathbf{x} \left\{ \frac{1}{2\mu^2} (\Delta\psi + \mu^2\psi)^2 - \mathcal{C}_{1,p} (\Delta\psi + \mu^2\psi) + \mathcal{C}_{0,p} \right\}$$

Note. $\sim \varepsilon^2$ contribution,
includes 4 spatial derivatives

$\mathcal{C}_{i,p}(\psi^2/\mu)$ — form factors

- $\bar{\theta} \rightarrow \bar{\theta} + \alpha$ — still a global symmetry
- Test: **Detune** the “scale” φ_0 to show 2nd order improvement:

$$\cancel{\varphi_0^2 = 2\bar{I}} \implies \varphi_0^2 = \bar{I}/128$$

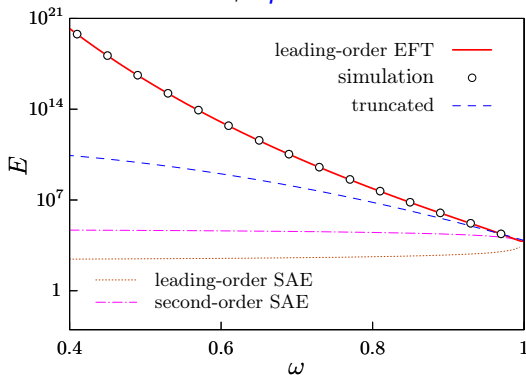


Monodromy: small-amplitude vs. EFT vs. $\varphi^2 \ln \varphi^2$

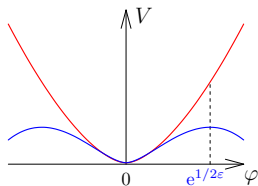
- Small-amplitude expansion: $|\varphi| \ll 1, R \gg m^{-1}$
- Monodromy potential: expansion in ε at $|\varphi| \gg 1$

$$V = \underbrace{\frac{\varphi^2}{2} [1 + \varepsilon - \varepsilon \ln \varphi^2]}_{\text{admits exactly periodic solutions}} + O(\varphi^{-2}) + O(\varepsilon^2 \ln^2 |\varphi|).$$

$$d = 3; \quad p = 0.95$$

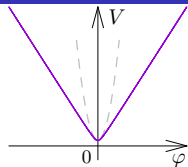
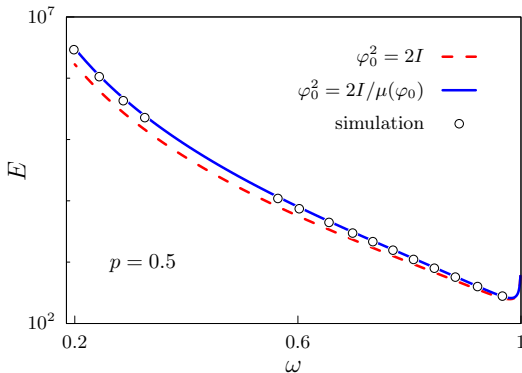


ε -expansion
breaks down at
 $\varepsilon \ln |\varphi| \gtrsim 1$.



Axion-monodromy potential: $V(\varphi) = \sqrt{1 + \varphi^2}$

- Significantly nonlinear: $p = 0.5$.
- How does that affect the EFT precisi?



$$\delta N/N \lesssim 0.4$$



$$\delta N/N \lesssim 0.1$$

- Proper choice of φ_0 scale cures the method!
- **Does not** mean the EFT series converge well: $\varepsilon = 0.5$.

EFT.

- **Large oscillons** — held together by **weak nonlinearity**
- Parameter of the expansion: $(mR)^{-2} \sim O(\varepsilon)$
- Global $U(1)$ **symmetry** \implies **oscillons**
- Conditions for existence of long-lived oscillons:

$$V(\varphi) \left\{ \begin{array}{l} \text{attractive} \\ \text{nearly quadratic potential} \end{array} \right.$$

- $\left\{ \begin{array}{l} \text{“running mass” } \mu \\ \text{expansion in } \Delta\varphi \text{ and } \delta V' \end{array} \right. \longrightarrow \text{great precision!}$

Perspective.

- **Decay** of oscillons — **nonperturbative** in EFT?

- $\left\{ \begin{array}{l} \text{large } R \iff \text{small } p \\ \text{considering slow dynamics} \iff \text{integrating out high frequencies} \end{array} \right. \longrightarrow \text{EFT applications in QFT?}$

THANK YOU FOR
YOUR ATTENTION!

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