



Modified gravity in nonsingular cosmology

Yong Cai (Zhengzhou University)

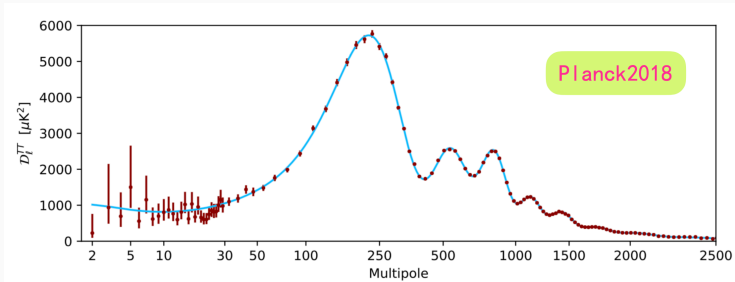
11 June, 2021

1. A motivation for studying nonsingular cosmology
2. Modified gravity in nonsingular cosmology
3. The EFT method
4. Summary

A motivation for studying nonsingular cosmology

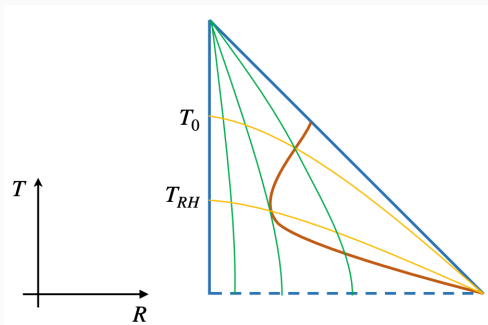
Inflation is so successful

- Inflation is a possible solution to the horizon, flatness, entropy, homogeneity, isotropy and primordial monopole problems.
- Inflation predicts nearly scale-invariant scalar perturbation, which is consistent with the CMB observations.
- Inflation sets the initial conditions of hot big bang expansion (RD, MD).
- ...



Inflation is so successful, **but...**

- Inflation **may not be the only** solution to the horizon, flatness, ..., problems. (ekpyrosis, genesis, ...)
- Inflation is **geodesically incomplete** in the past.
- The prediction of scale-invariant power spectrum of scalar perturbation depends on the **initial states** of perturbation modes. (“trans-Planckian” problem)



Trans-Planckian problem

- In unitary gauge, the quadratic action of scalar perturbation ζ is

$$S_{\zeta}^{(2)} = \int d^3x dt a^3 Q_s \left[\dot{\zeta}^2 - c_s^2 \frac{(\partial_i \zeta)^2}{a^2} \right].$$

$$u_k'' + \left(c_s^2 k^2 - \frac{z''}{z} \right) u_k = 0,$$

$$u_k = z \zeta_k, \quad z = a \sqrt{2Q_s}$$

- In the **slow-roll** inflation, $z''/z \sim a''/a \simeq (2 + \mathcal{O}(\epsilon))/\tau^2$, $c_s = 1$.
Thus the solution of Eq. (1) is

$$u_k(\tau) = \frac{\sqrt{-\pi\tau}}{2} \left[\alpha(k) H_{\nu}^{(1)}(-k\tau) + \beta(k) H_{\nu}^{(2)}(-k\tau) \right],$$

Wronskian condition: $|\alpha|^2 - |\beta|^2 = 1$.

Trans-Planckian problem

- Requiring the perturbation modes coincide with the Minkowski solution ($u_k \simeq \frac{1}{\sqrt{2k}} e^{-ik\tau}$) in the infinite past ($-k\tau \gg 1$) gives

$$|\alpha| = 1, \quad |\beta| = 0,$$

$$\Rightarrow u_k = \frac{1}{\sqrt{2k}} e^{-ik\tau} \left(1 - \frac{i}{k\tau} \right),$$

which corresponds to the Bunch-Davis (BD) vacuum.

- Setting the initial state of perturbation modes as the BD state is essential for inflation to predict the scale-invariant primordial perturbations. ($P_\zeta = \frac{k^3}{2\pi^2} |\zeta_k|^2$)
- It seems natural, since

$$u_k'' + \left(k^2 - \frac{z''}{z} \right) u_k = 0.$$

Trans-Planckian problem

- However, the wavelength of perturbation modes $\lambda \sim a$, we will inevitably have $\lambda \lesssim 1/\Lambda$ before some $\tau = \tau_\Lambda$, where $\Lambda \lesssim M_P$ is the cutoff scale below which the **higher-order derivative operators** are negligible.
- When $\lambda \simeq \mathcal{O}(1/\Lambda)$, the neglected higher-order derivative operators will be **no longer negligible**.

$$L/M_P^2 \sim \frac{\lambda_4}{\Lambda^2} (R^{(3)})^2 + \frac{\lambda_6}{\Lambda^4} (\nabla_i R^{(3)})^2 + \dots,$$

$$(R^{(3)})^2 \sim k^4 \zeta_k^2, \quad (\nabla_i R^{(3)})^2 \sim k^6 \zeta_k^2.$$

$$u_k'' + \left(\sim \sum_p \frac{k^{2p}}{\Lambda^{2p}} \right) k^2 u_k = 0, \quad \lambda \lesssim 1/\Lambda, \quad p \geq 0$$

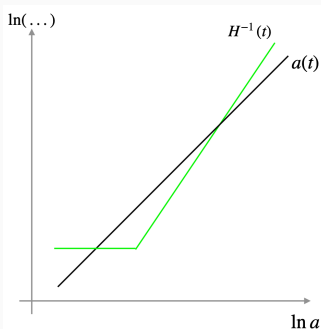
- Requiring the perturbation modes to coincide with the **Minkowski solution** is not sufficiently reasonable.

Trans-Planckian Censorship Conjecture (TCC)

- “a field theory consistent with a quantum theory of gravity does not lead to a cosmological expansion where any perturbation with length scale greater than the Hubble radius trace back to trans-Planckian scales at an earlier time” (A. Bedroia and C. Vafa, JHEP 09 (2020) 123)

The TCC suggests that inflation can only last for a **limited e-folding number**

$$N = \int_{t_i}^{t_f} H dt < \ln \frac{M_P}{H_f} .$$



Trans-Planckian Censorship Conjecture (TCC)

- Neglecting the higher-order operators, we have $|u_k| = 1/\sqrt{2k}$ for the **sub-horizon** perturbation modes (it is also approximately valid for $\lambda \lesssim 1/H$). On sub-horizon scale

$$|\zeta_k| \sim |u_k|/a \sim 1/a.$$

At the *beginning* of inflation, the spectrum of the sub-horizon perturbation ($\lambda \ll 1/H$) is

$$P_{\zeta}^{1/2}(t_i, k) = P_{\zeta}^{1/2}(t_f, k) \frac{a_f}{a_i} \sim \frac{H_f}{\sqrt{\epsilon_f} M_{\text{P}}} \frac{a_f}{a_i},$$

provided this perturbation mode crossed the horizon ($k \simeq a_f H_f$) at t_f .

- The validity of perturbation theory requires that $P_{\zeta}^{1/2}(t_i, k) < 1$, otherwise the spacetime will be distorted by lots of **black holes** on the sub-Hubble scale.

$$P_{\zeta}^{1/2}(t_i, k) < 1 \Rightarrow \boxed{\int_{t_i}^{t_f} H dt < \ln \frac{\sqrt{\epsilon_f} M_{\text{P}}}{H_f}}.$$

Trans-Planckian Censorship Conjecture (TCC)

- A similar analysis for the **tensor perturbation** gives **exactly the same constraint** as that of the TCC.
- The TCC might suggest the existence of a **pre-inflationary** or **alternative** evolution.

Minkowski in past-complete pre-inflation

The **Raychaudhuri equation** for the null geodesics

$$\frac{d\theta}{d\eta} \leq -\frac{\theta^2}{2} - R_{\mu\nu} k^\mu k^\nu .$$

The **null convergence condition** (NCC)

$$R_{\mu\nu} k^\mu k^\nu \geq 0 \quad \Rightarrow \quad d\theta/d\eta + \theta^2/2 < 0 .$$

Back along η the null rays will **converge** (past-incompletion of inflation), which indicates that the wavelengths of perturbation modes will be blueshifted, hence $\lambda \lesssim 1/\Lambda$ is inevitable.

Thus, only if the pre-inflationary era is past-complete,¹ it is possible to set the initial state of perturbations in the infinite past as the Minkowski vacuum.

¹Necessary condition but not sufficient.

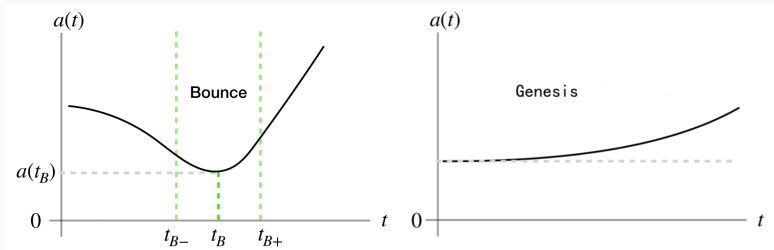
Minkowski in past-complete pre-inflation

The past-completion requires that the converging null rays must be reversed (i.e., $d\theta/d\eta > 0$) **at least for some period**. Hence, the **NCC (or NEC) must be violated**, i.e.,

$$R_{\mu\nu} k^\mu k^\nu < 0,$$

or equivalently, $\dot{H} > 0$, since $R_{\mu\nu} k^\mu k^\nu = -2\dot{H}(k^0)^2$ for the spatially flat FLRW metric.

Two scenarios

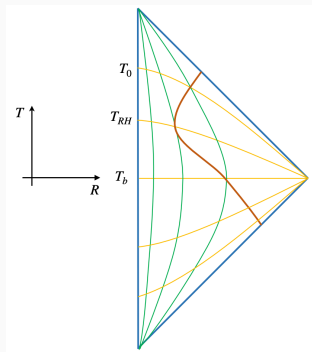


In the infinite past, we have an asymptotic Minkowski spacetime.

Minkowski in past-complete pre-inflation

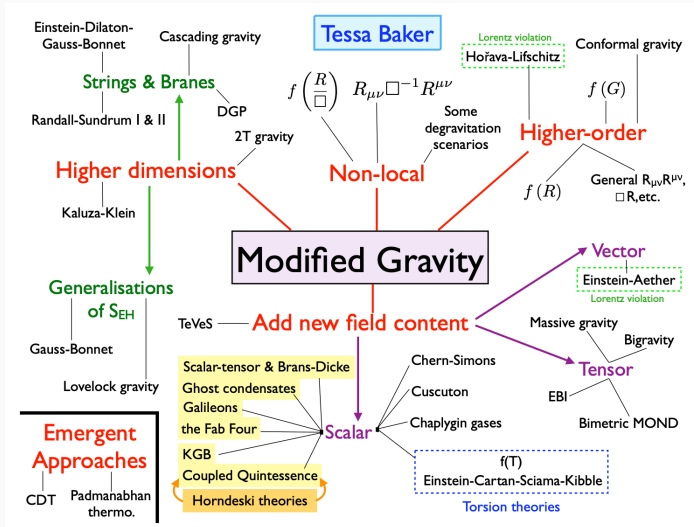
- The k/Λ -suppressed **higher-order derivative operators are negligible**.
- Such scenarios are **consistent with the TCC**, since the sub-Planckian perturbation modes may never have the opportunity to cross their horizon.
- All perturbation modes are in **a flat Minkowski space** in the infinite past. A past-complete pre-inflationary evolution may **automatically prepare the initial state** required for the inflationary perturbations at the CMB window.

(Y. Cai, Y.-S. Piao, Sci. China Phys. Mech. Astron. 63 no. 11, (2020) 110411, arXiv:1909.12719.)



Modified gravity in nonsingular cosmology

Modified gravity



Credit: [arXiv: 1512.05356](https://arxiv.org/abs/1512.05356)

Modified gravity in nonsingular cosmology

- The study of inflationary cosmology suggests that we should pay attention not only to the evolution of **background** but also to the evolution of **perturbations** in the study of nonsingular cosmology.
- We will pay special attention to **scalar-tensor theories**.
- In unitary gauge, the quadratic action of scalar perturbation ζ is

$$S_{\zeta}^{(2)} = \int d^4x a^3 Q_s \left[\dot{\zeta}^2 - c_s^2 \frac{(\partial\zeta)^2}{a^2} \right],$$

$$Q_s > 0, \quad c_s^2 > 0.$$

$$Q_s < 0 \quad \rightarrow \quad \text{ghost instability,}$$

$$c_s^2 < 0 \quad \rightarrow \quad \text{gradient instability.}$$

Modified gravity in nonsingular cosmology

$$S = \int d^4x \sqrt{-g} \left[\frac{M_p^2}{2} R + P(\phi, X) \right], \quad X = \nabla_\mu \phi \nabla^\mu \phi = -\dot{\phi}^2$$
$$S_\zeta^{(2)} = \int d^4x a^3 Q_s \left[\dot{\zeta}^2 - c_s^2 \frac{(\partial \zeta)^2}{a^2} \right],$$

$$Q_s = c_1 = \epsilon M_p^2 + 2 \frac{\dot{\phi}^4}{H^2} P_{XX}, \quad c_2 = M_p^2, \quad c_3 = \frac{a}{H} M_p^2, \quad \gamma = H.$$

$$c_s^2 = \frac{2\dot{H}M_p^2}{2\dot{H}M_p^2 - 4\dot{\phi}^4 P_{XX}} = 1 + \frac{2\dot{\phi}^4 P_{XX}}{\dot{H}M_p^2 - 2\dot{\phi}^4 P_{XX}} = \frac{\epsilon}{Q_s} M_p^2.$$

Thus we may have $Q_s > 0$, but we will have $c_s^2 < 0$ during the period of NEC violation ($\epsilon < 0$).

Modified gravity in nonsingular cosmology

$$S = \int d^4x \sqrt{-g} \left[\frac{M_p^2}{2} R + P(\phi, X) + G(\phi, X) \square \phi \right], \quad X = \nabla_\mu \phi \nabla^\mu \phi$$

We have

$$Q_s = c_1 = \frac{1}{4\gamma^2 M_p^2} \left[12H\dot{\phi}^3 G_X M_p^2 - 24H\dot{\phi}^5 G_{XX} M_p^2 - 4\dot{\phi}^4 M_p^2 (G_{\phi X} - 2P_{XX}) \right. \\ \left. + 4\dot{\phi}^2 G_X M_p^2 \ddot{\phi} + 12\dot{\phi}^6 G_X^2 - 4\dot{H} M_p^4 \right]$$

$$c_2 = M_p^2, \quad c_3 = \frac{a}{\gamma} M_p^2, \quad \gamma = H - \frac{\dot{\phi}^3 G_X}{M_p^2}.$$

$$c_s^2 = \frac{H\gamma - \gamma^2 - \dot{\gamma}}{\gamma^2 Q_s} M_p^2$$

NEC \neq instabilities

D. A. Easson, I. Sawicki and A. Vikman, JCAP 1111, 021 (2011); A. Ijjas and P. J. Steinhardt, Phys. Rev. Lett. 117, no. 12, 121304 (2016).

“no-go” theorem

- “no-go” theorem for cubic Galileon: healthy nonsingular cosmological models based on the cubic Galileon does not exist.
[M. Libanov, S. Mironov and V. Rubakov, JCAP 1608, 08, 037 \(2016\)](#)
- “no-go” theorem for full Horndeski theory.
[T. Kobayashi, Phys. Rev. D 94, no. 4, 043511 \(2016\)](#)
- loophole: strong gravity in the past [Yulia Ageeva's talk](#)
- Horndeski \Rightarrow beyond Horndeski \Rightarrow Degenerate Higher-Order Scalar-Tensor (DHOST) theories

The EFT method

The EFT method

The EFT of cosmological perturbation (inflation, dark energy, ...) in unitary gauge

$$\begin{aligned} S = & \int d^4x \sqrt{-g} \left[\frac{M_p^2}{2} f(t) R - \Lambda(t) - c(t) g^{00} \right. \\ & + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} - m_4^2(t) \left(\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu} \right) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \\ & - \tilde{m}_4^2(t) \delta K^2 + \frac{\tilde{m}_5(t)}{2} R^{(3)} \delta K + \frac{\tilde{\lambda}(t)}{2} (R^{(3)})^2 + \dots \\ & \left. - \frac{\tilde{\lambda}(t)}{M_p^2} \nabla_i R^{(3)} \nabla^i R^{(3)} + \dots \right], \end{aligned}$$

C. Cheung, P. Creminelli, A. L. Fitzpatrick, J. Kaplan and L. Senatore, JHEP 0803, 014 (2008);

G. Gubitosi, F. Piazza and F. Vernizzi, JCAP 1302, 032 (2013);

J. Gleyzes, D. Langlois, F. Piazza and F. Vernizzi, JCAP 1308, 025 (2013)

The EFT method

$$\begin{aligned}
 S = & \int d^4x \sqrt{-g} \left[\frac{M_p^2}{2} \dot{f}(t) R - \Lambda(t) - c(t) g^{00} \right. \\
 & + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} - m_4^2(t) (\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu}) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \\
 & - \tilde{m}_4^2(t) \delta K^2 + \frac{\tilde{m}_5(t)}{2} R^{(3)} \delta K + \frac{\bar{\lambda}(t)}{2} (R^{(3)})^2 + \dots \\
 & \left. - \frac{\tilde{\lambda}(t)}{M_p^2} \nabla_i R^{(3)} \nabla^i R^{(3)} + \dots \right],
 \end{aligned}$$

$$\begin{aligned}
 ds^2 &= -N^2 dt^2 + h_{ij} (dx^i + N^i dt) (dx^j + N^j dt), \\
 R &= R^{(3)} - K^2 + K_{\mu\nu} K^{\mu\nu} + 2 \nabla_\mu (K n^\mu - n^\nu \nabla_\nu n^\mu) \\
 \delta g^{00} &= g^{00} + 1, \quad \delta K_{\mu\nu} = K_{\mu\nu} - h_{\mu\nu} H \\
 \delta K^{\mu\nu} &= K^{\mu\nu} - h^{\mu\nu} H, \quad \delta K = \delta K_\mu^\mu = K_\mu^\mu - 3H
 \end{aligned}$$

Background equation:

$$\begin{aligned}
 3M_p^2 \left[\dot{f}(t) H^2 + \dot{f}(t) H \right] &= c(t) + \Lambda(t), \\
 -M_p^2 \left[2\dot{f}(t) \dot{H} + 3\dot{f}(t) H^2 + 2\dot{f}(t) H + \ddot{f}(t) \right] &= c(t) - \Lambda(t)
 \end{aligned}$$

The EFT method

$$\begin{aligned}
 S = \int d^4x \sqrt{-g} & \left[\frac{M_p^2}{2} f(t) R - \Lambda(t) - c(t) g^{00} \right. \\
 & + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} - m_4^2(t) \left(\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu} \right) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \\
 & - \bar{m}_4^2(t) \delta K^2 + \frac{\bar{m}_5(t)}{2} R^{(3)} \delta K + \frac{\bar{\lambda}(t)}{2} (R^{(3)})^2 + \dots \\
 & \left. - \frac{\tilde{\lambda}(t)}{M_p^2} \nabla_i R^{(3)} \nabla^i R^{(3)} + \dots \right],
 \end{aligned}$$

Cubic Galileon: $f = 1$, $m_4^2 = \tilde{m}_4^2 = \bar{m}_4^2 = \bar{m}_5 = \bar{\lambda} = \tilde{\lambda} = 0$

Horndeski: $m_4^2 = \tilde{m}_4^2$, $\bar{m}_4^2 = \bar{m}_5 = \bar{\lambda} = \tilde{\lambda} = 0$

beyond Horndeski: $m_4^2(t) \neq \tilde{m}_4^2(t)$, $\bar{m}_4^2 = \bar{m}_5 = \bar{\lambda} = \tilde{\lambda} = 0$

The EFT method

The quadratic action of **tensor perturbation** is

$$S_{\gamma}^{(2)} = \frac{M_p^2}{8} \int d^4x a^3 Q_T \left[\dot{\gamma}_{ij}^2 - c_T^2 \frac{(\partial_k \gamma_{ij})^2}{a^2} \right],$$

where

$$Q_T = f + 2 \left(\frac{m_4}{M_p} \right)^2 > 0, \quad c_T^2 = \frac{f}{Q_T} > 0.$$

The quadratic action of **scalar perturbation** ζ is

$$S_{\zeta}^{(2)} = \int d^4x a^3 \left[c_1 \dot{\zeta}^2 - \left(\frac{\dot{c}_3}{a} - c_2 \right) \frac{(\partial \zeta)^2}{a^2} + \frac{c_4}{a^4} (\partial^2 \zeta)^2 - \frac{16\tilde{\lambda}(t)}{M_p^2 a^6} (\partial^3 \zeta)^2 \right],$$

$c_{1,3,4}$ are complicated, while $c_2 = M_p^2 f(t)$.

$$Q_s = c_1, \quad c_s^2 = \left(\frac{\dot{c}_3}{a} - c_2 \right) / c_1$$

Stability of the scalar perturbation requires:

$$c_1 > 0, \quad \dot{c}_3 - a c_2 > 0.$$

“No-go”

- $c_1 > 0$, $\dot{c}_3 - ac_2 > 0$

$$c_3|_{t_f} - c_3|_{t_i} > \int_{t_i}^{t_f} ac_2 dt = M_p^2 \int_{t_i}^{t_f} af(t) dt .$$

The RHS corresponds to the affine parameter of the graviton geodesics, which should be divergent. **Thus c_3 must cross 0 at sometime t with $t_i < t < t_f$.**

- For the **Horndeski theory** ($m_4^2(t) = \tilde{m}_4^2(t)$),

$$c_3 = \frac{aM_p^2}{\gamma} Q_T^2 ,$$

$$\gamma = H \left(1 + \frac{2m_4^2}{M_p^2} \right) - (1/2)m_3^3/M_p^2, \quad Q_T = f + 2 \left(\frac{m_4}{M_p} \right)^2$$

There is “no-go” or strong gravity.

M. Libanov, S. Mironov and V. Rubakov, JCAP 1608, 08, 037 (2016)

T. Kobayashi, Phys. Rev. D 94, no. 4, 043511 (2016)

Avoiding “No-go” in beyond Horndeski theory

- In **beyond Horndeski** theory, $m_4^2(t) \neq \tilde{m}_4^2(t)$. Considering the EFT operator $\frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00}$, e.g.,

$$S_{eff} = \int d^4x \sqrt{-g} \left[\frac{M_p^2}{2} R - \Lambda(t) - c(t) g^{00} + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \right],$$

where we have set $f(t) = 1$.

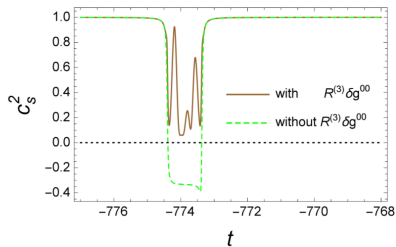
$$c_3 = \frac{a M_p^2}{\gamma} \left(1 + \frac{2 \tilde{m}_4^2}{M_p^2} \right), \quad \gamma = H - (1/2) m_3^3 / M_p^2$$

$$m_4^2(t) = 0, \quad Q_T = 1.$$

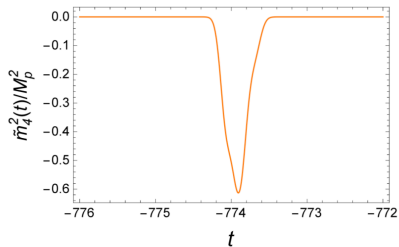
If at that time we have $2\tilde{m}_4^2/M_p^2$ cross -1 , the c_3 will cross 0 naturally without the divergence of γ . Hence, **the “no-go” can be avoided.**

Y. Cai, Y. Wan, H. G. Li, T. Qiu and Y. S. Piao, JHEP 1701, 090 (2017),
arXiv:1610.03400; P. Creminelli, D. Pirtskhalava, L. Santoni and E. Trincherini, JCAP 1611,
11, 047 (2016), arXiv:1610.04207.

Avoiding “No-go” in beyond Horndeski theory



(a) c_s^2



(b) \tilde{m}_4^2/M_p^2

Y. Cai, Y. Wan, H. G. Li, T. Qiu and Y. S. Piao, JHEP 1701, 090 (2017), [arXiv:1610.03400](https://arxiv.org/abs/1610.03400)

Avoiding “No-go” in beyond Horndeski theory

An example of the EFT of nonsingular cosmology can be given as

$$S = \int d^4x \sqrt{-g} \left(\frac{M_p^2}{2} R + P(\phi, X) \right) + S_{\delta g^{00} R^{(3)}},$$

$$\text{where } S_{\delta g^{00} R^{(3)}} = \int d^4x \sqrt{-g} L_{\delta g^{00} R^{(3)}},$$

$$\begin{aligned} L_{\delta g^{00} R^{(3)}} &= \frac{f_1(\phi)}{2} \delta g^{00} R^{(3)} \\ &= \frac{f}{2} R - \frac{X}{2} \int f_{\phi\phi} d\ln X - \left(f_\phi + \int \frac{f_\phi}{2} d\ln X \right) \square\phi \\ &\quad + \frac{f}{2X} \left[\phi_{\mu\nu} \phi^{\mu\nu} - (\square\phi)^2 \right] - \frac{f - 2Xf_X}{X^2} \left[\phi^\mu \phi_{\mu\rho} \phi^{\rho\nu} \phi_\nu - (\square\phi) \phi^\mu \phi_{\mu\nu} \phi^\nu \right] \end{aligned}$$

$$\text{where } f(\phi, X) = f_1 (1 + X/f_2).$$

The covariant lagrangian belongs to the **beyond Horndeski theory**.

Y. Cai and Y. S. Piao, JHEP 1709, 027 (2017), arXiv:1705.03401; R. Koleyatov, S. Mironov, N.

Sukhov and V. Volkova, JCAP 1708, no. 08, 038 (2017), arXiv:1705.06626.

Summary

Summary

- Due to the past-incompleteness of inflation, physics beyond inflation should be considered to set the initial states for the inflationary perturbations.
- In nonsingular cosmology, the spacetime may be Minkowskian in the infinite past. Hence, it is natural to pick out the Minkowski vacuum state as the initial state of perturbations.
- The EFT method is powerful for studying instability problems of perturbations in nonsingular cosmology.
- These instability problems of perturbations in nonsingular cosmology can be avoided in beyond Horndeski theory or more general theories (e.g., DHOST).

Thanks!