



Recent results and plans of the NA64 experiment at the CERN SPS.

Mikhail Kirsanov INR RAS (Moscow)

QUARKS online workshops-2021
June 2021

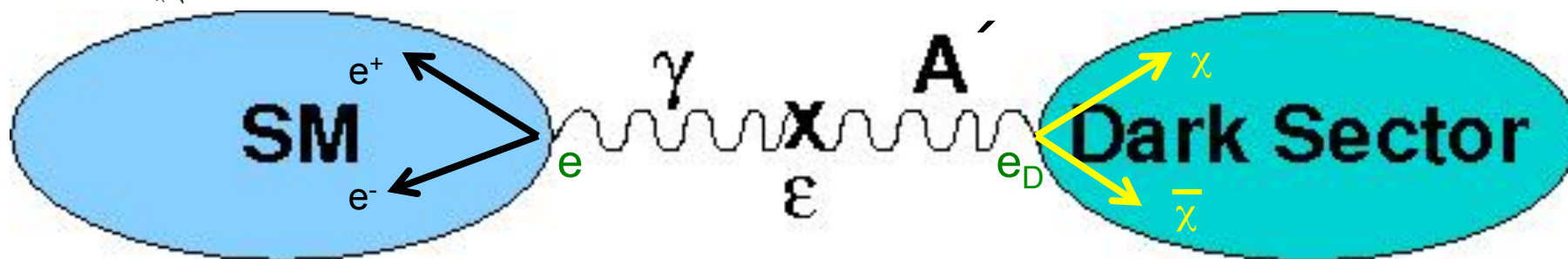


Outline

- Motivation
- The NA64 experiment
- Runs NA64
- Simulation of the Dark Matter production
- Analysis of the data
- Results on A' in invisible mode
- Plans for the invisible mode
- Visible mode: X-boson, motivation
- Event selection, efficiency, backgrounds
- Results on the X-boson search
- Conclusion, near and more distant plans of NA64

Vector portal to Dark Sector

Okun, Holdom' 86 .. $\alpha_D = e_D^2/4\pi$



- new massive boson A' (dark photon) which has kinetic mixing with ordinary photon: $\Delta L = \epsilon/2 F^{\mu\nu} A'_{\mu\nu}$
- Production: A' - bremsstrahlung $e^- Z \rightarrow e^- Z A'$, $\sigma \sim Z^2 \epsilon^2 / m_{A'}^2$
- Decays:
 - Visible: $A' \rightarrow e^+ e^-, \mu^+ \mu^-, \text{hadrons}, \dots$
 - Invisible: $A' \rightarrow \chi \chi$ if $m_{A'} > 2m_\chi$ assuming $\alpha_{DM} \sim \alpha \gg \epsilon$.
Can explain $(g-2)_\mu$, astrophys. observations
- Cross section for χ -DM annihilation: $\sigma v \sim [\alpha_{DM} \epsilon^2 (m_\chi / m_{A'})^4] \alpha / m_\chi^2$

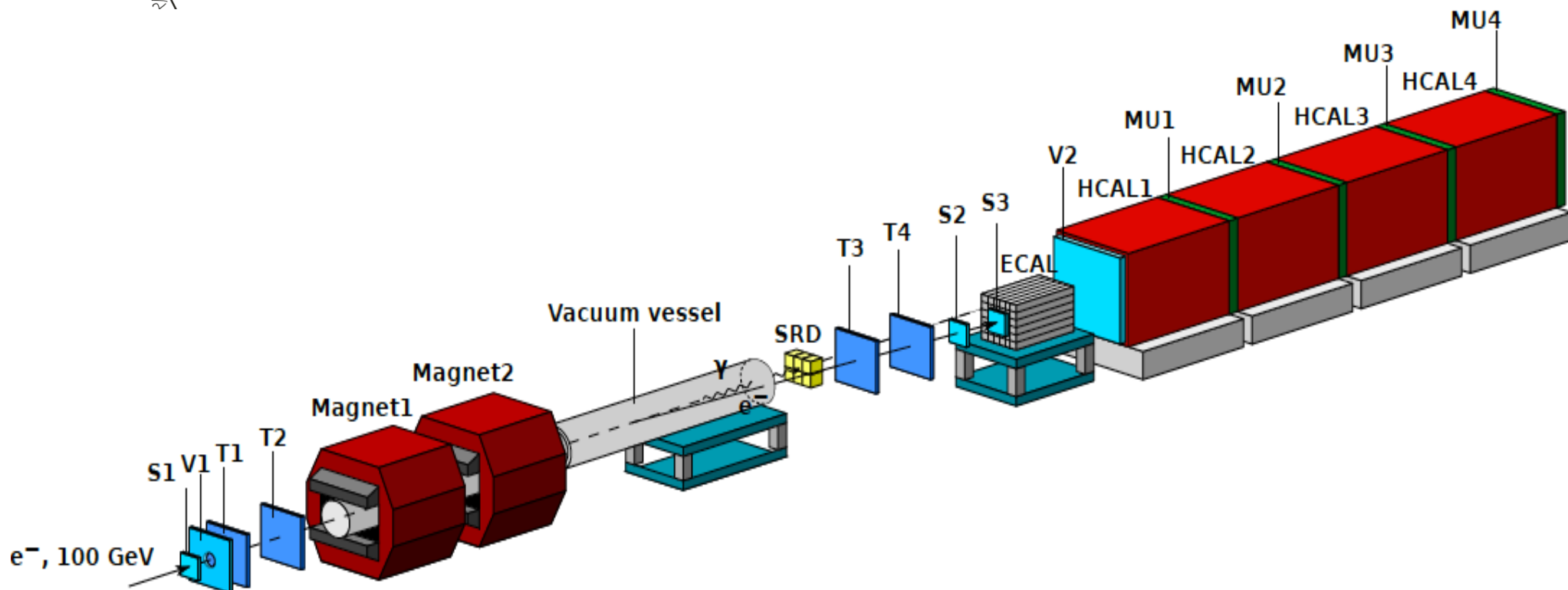


Thermal dark matter

- Assume that in the early Universe dark matter is in equilibrium with the SM matter. At some temperature the dark matter decouples
- DM density today tells us about the annihilation cross-section. Correct DM density corresponds to $\langle \sigma_{\text{an}} v \rangle \sim \text{O}(1) \text{ pbn}$
- The most popular models of dark matter χ :
 - Scalar dark matter
 - Majorana dark matter
 - Pseudo Dirac dark matter



NA64 experiment setup (invisible mode)



~50 researchers from 12 institutes

Proposed in 2014, first test runs in 2015

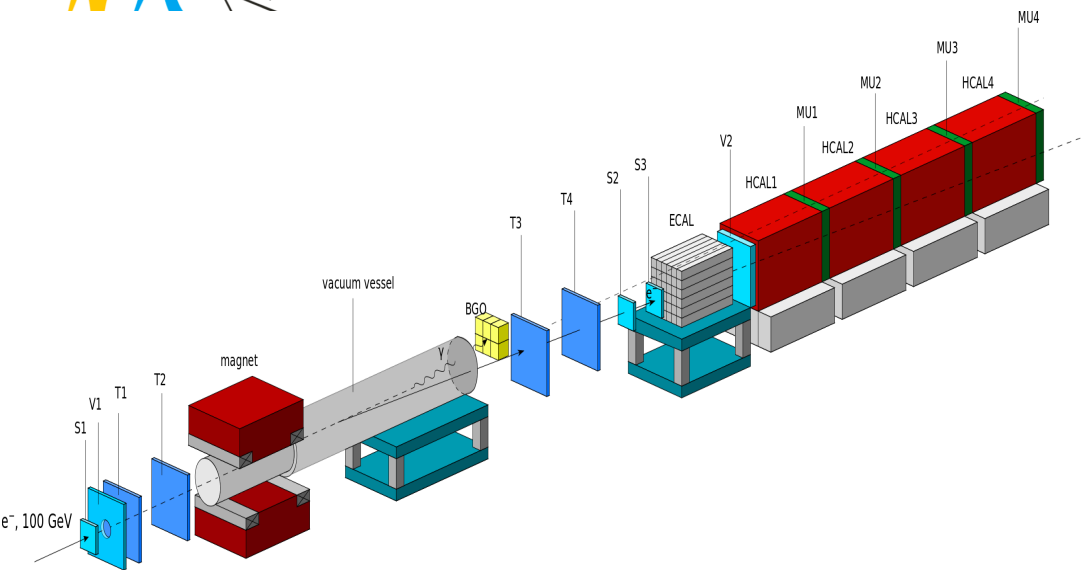


NA64 experiment setup





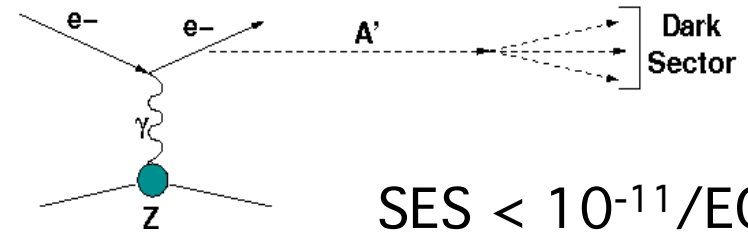
Search for $A' \rightarrow$ invisible decays at CERN SPS



S.Andreas et al., arXiv: 1312.3309
S.G., PRD(2014)

Main components :

- clean 100 GeV e- beam
- e- tagging system: tracker+SRD
- hermetic ECAL+HCAL



$$SES < 10^{-11}/EOT$$

Signature:

- in: 100 GeV e- track
- out: $E_{ECAL} < E_0$ shower in ECAL
- no energy in Veto and HCAL

Background:

- ◆ μ, π, K decays in flight
- ◆ upstream interactions
- ◆ Tail < 50 GeV in the e- beam
- ◆ Energy leak from ECAL+HCAL



Summary of the NA64 runs

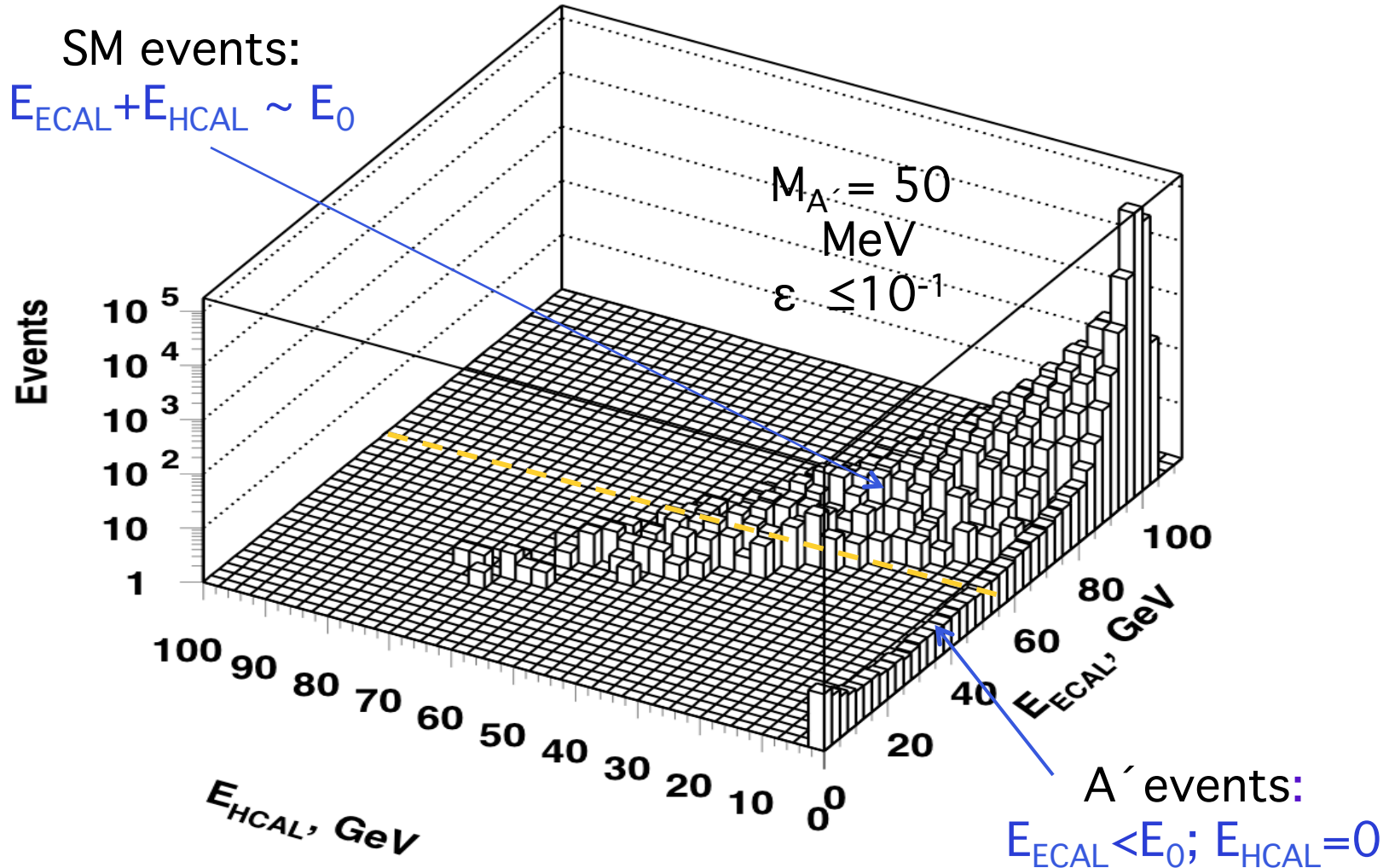
- **Invisible mode** configuration, first run 12.10-09.11 2016
 - Subrun1 2016 EOT $\sim 2 \times 10^{10}$, S_0 rate $1.5 \div 2.2 \times 10^6$;
 - Subrun2 2016 EOT $\sim 1.5 \times 10^{10}$, S_0 rate $2.4 \div 3.2 \times 10^6$;
 - Subrun3 2016 EOT $\sim 1.0 \times 10^{10}$, S_0 rate $4.6 \div 5.0 \times 10^6$; **~ 0.6 day**
 - Run 2017 EOT $\sim 5.4 \times 10^{10}$, S_0 rate $4 \div 6 \times 10^6$
 - Run 2018 EOT $\sim 1.9 \times 10^{11}$, S_0 rate $6 \div 8 \times 10^6$
 - **Total number $\sim 2.89 \times 10^{11}$ eot**

- **Visible mode** configuration first run 22.09-01.10 2017
 - Subrun 1 WCAL 40X0 EOT $\sim 2.4 \times 10^{10}$, S_0 rate $\sim 3 \times 10^6$
 - Subrun 2 WCAL 30X0 EOT $\sim 3 \times 10^{10}$, S_0 rate $4-5 \times 10^6$
 - Run 2018 S4 in WCAL EOT $\sim 3 \times 10^{10}$, beam 150 GeV
 - **Total EOT $\sim 8.4 \times 10^{10}$**



Simulation of $eZ \rightarrow eZA'$; $A' \rightarrow \text{invisible}$ @ BG

A' emission in the process of e-m shower development.
 $\sigma(eZ \rightarrow eZA')$ (Bjorken et al. 2009)





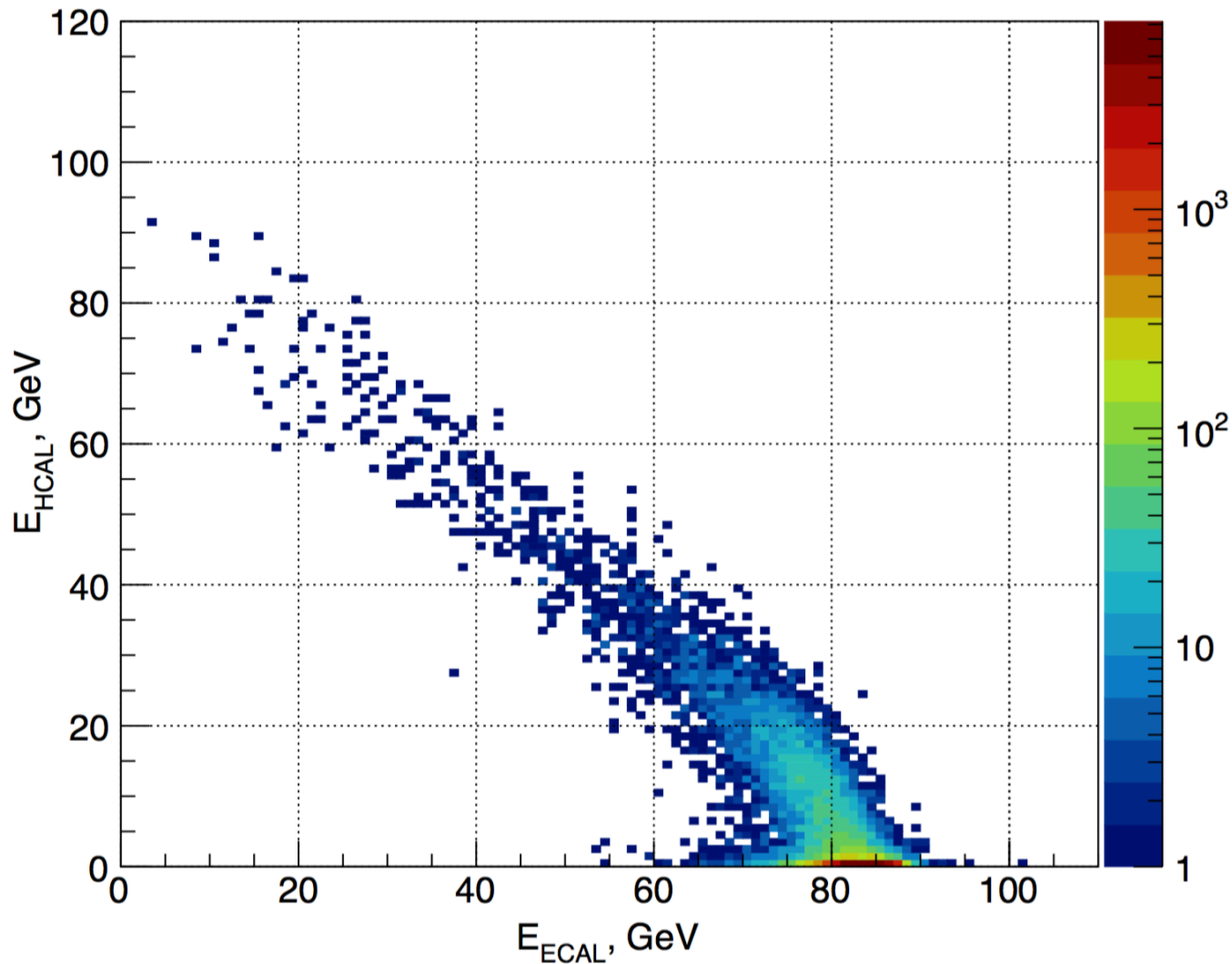
DM processes simulation: DMG4

- Fully Geant4 compatible package **DMG4** is developed [arXiv:2101.12192 \[hep-ph\]](https://arxiv.org/abs/2101.12192). Can be used in any full simulation program based on the Geant4 toolkit
- Bremsstrahlung processes off electrons and muons (like $eZ \rightarrow eZA'$), gamma conversion to ALP, annihilation processes (like $e^+e^- \rightarrow A' \rightarrow \chi\chi$) can be simulated
- DM messengers: vector (A'), axial vector, scalar, pseudoscalar
- Invisible and visible (to SM particles) decays
- For the total cross section we use the full matrix element calculations (ETL) ([arXiv:1712.05706 \[hep-ph\]](https://arxiv.org/abs/1712.05706)) through the K-factors applied to the IWW cross sections. These K-factors can be as small as 1/15 for electrons at $M_A \sim 1$ GeV
- We continue to develop the package (more exact WW differential cross sections, other processes etc.)

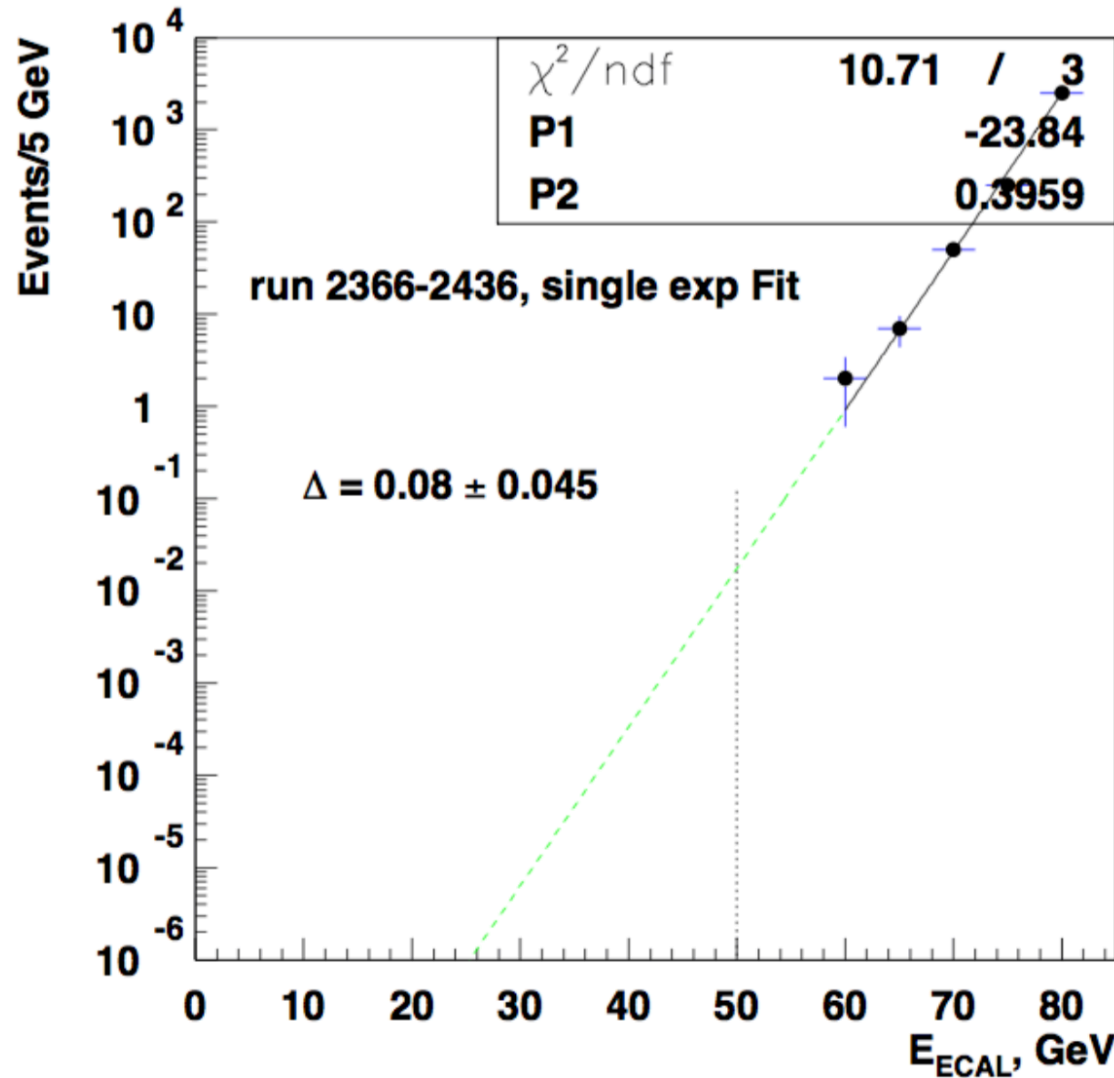


Background, combined data 2016 - 2018

2.86×10^{11} EOT



Background: example of extrapolation

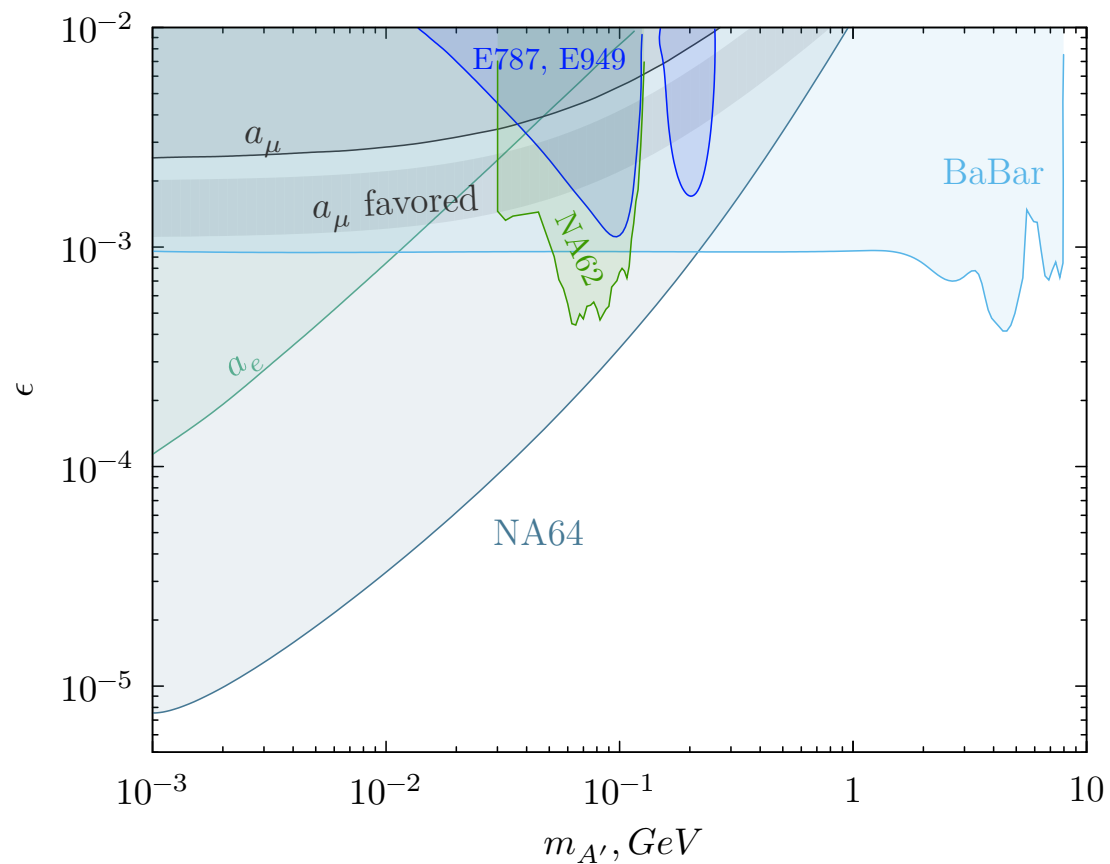


Background source	Background number, n_b
punchthrough γ 's, cracks, holes	< 0.01
loss of dimuons	0.024 ± 0.007
$\mu \rightarrow e\nu\nu$, π , $K \rightarrow e\nu$, K_{e3} decays	0.02 ± 0.01
e^- interactions in the beam line	0.43 ± 0.16
μ, π, K interactions in the target	0.044 ± 0.014
accidental SR tag and μ, π, K decays	< 0.01
Total n_b	0.53 ± 0.17

BG from the beam elements is suppressed by multiplicity cuts in MM and Straw tubes



Results



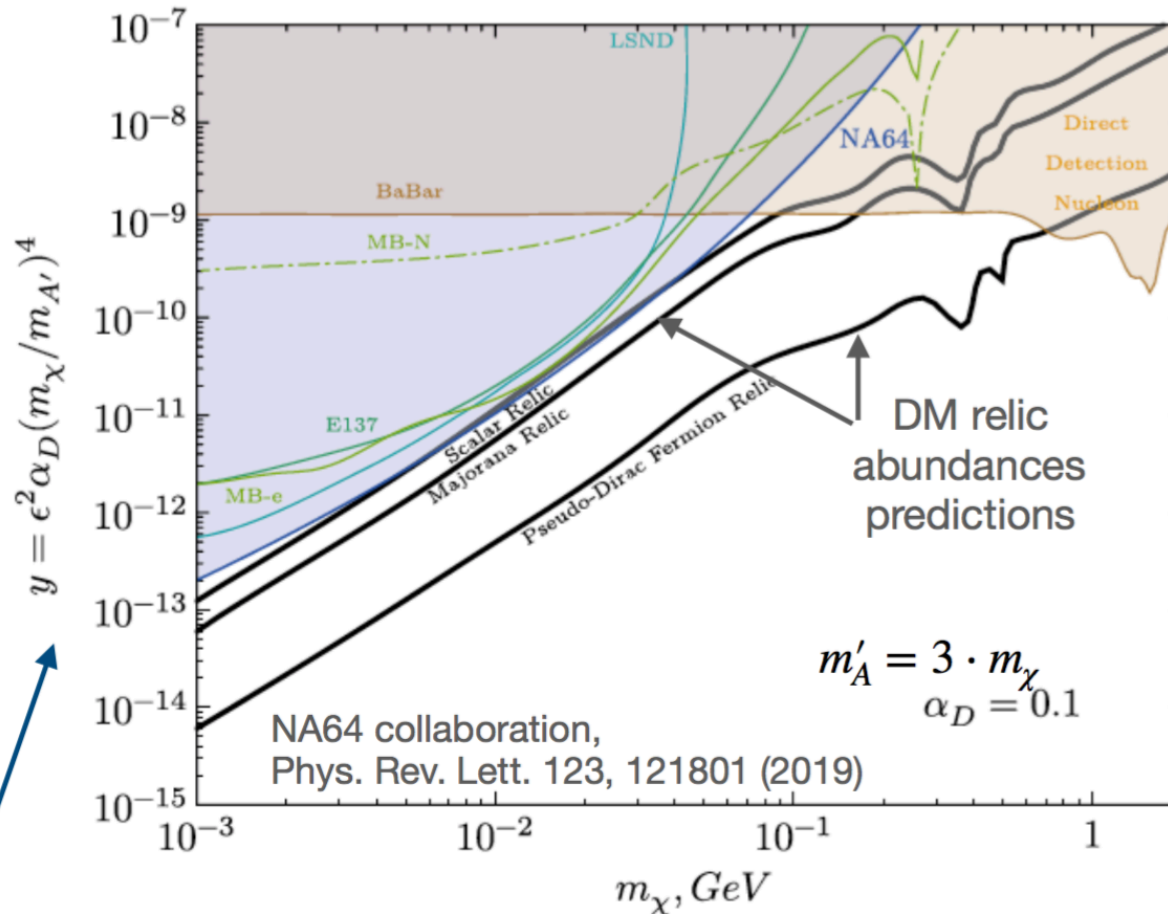
Banerjee et. al.
PRL 123, 121801 (2019)



Limits on y and some popular sub-GeV Thermal Dark Matter models

$$\alpha_D = 0.1, m_{A'} = 3m_\chi$$

For $\alpha_D < 0.1$ we start to cover the scalar case

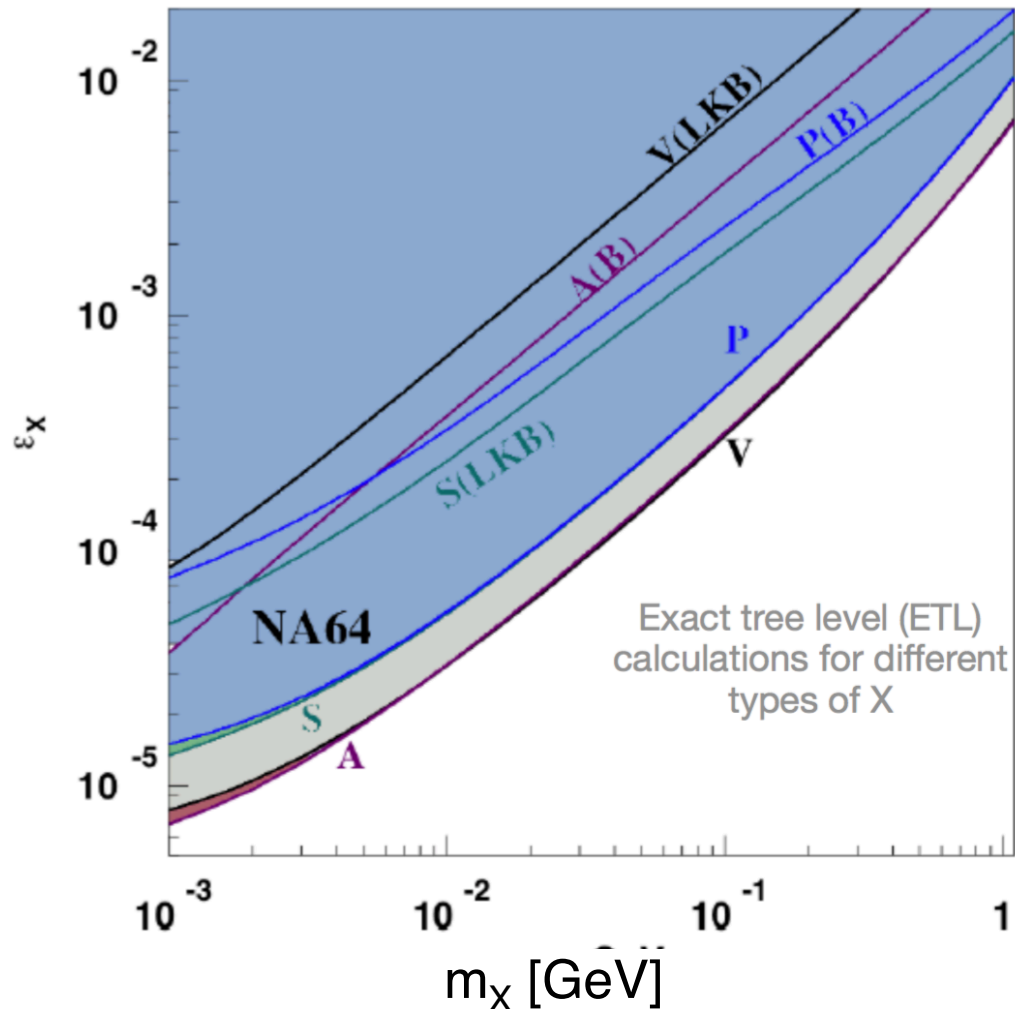


Proportional to **DM \leftrightarrow SM annihilation cross-section**



Limits on generic boson and $(g-2)_e$

$e^-Z \rightarrow e^-ZX; X \rightarrow \text{invisible}$

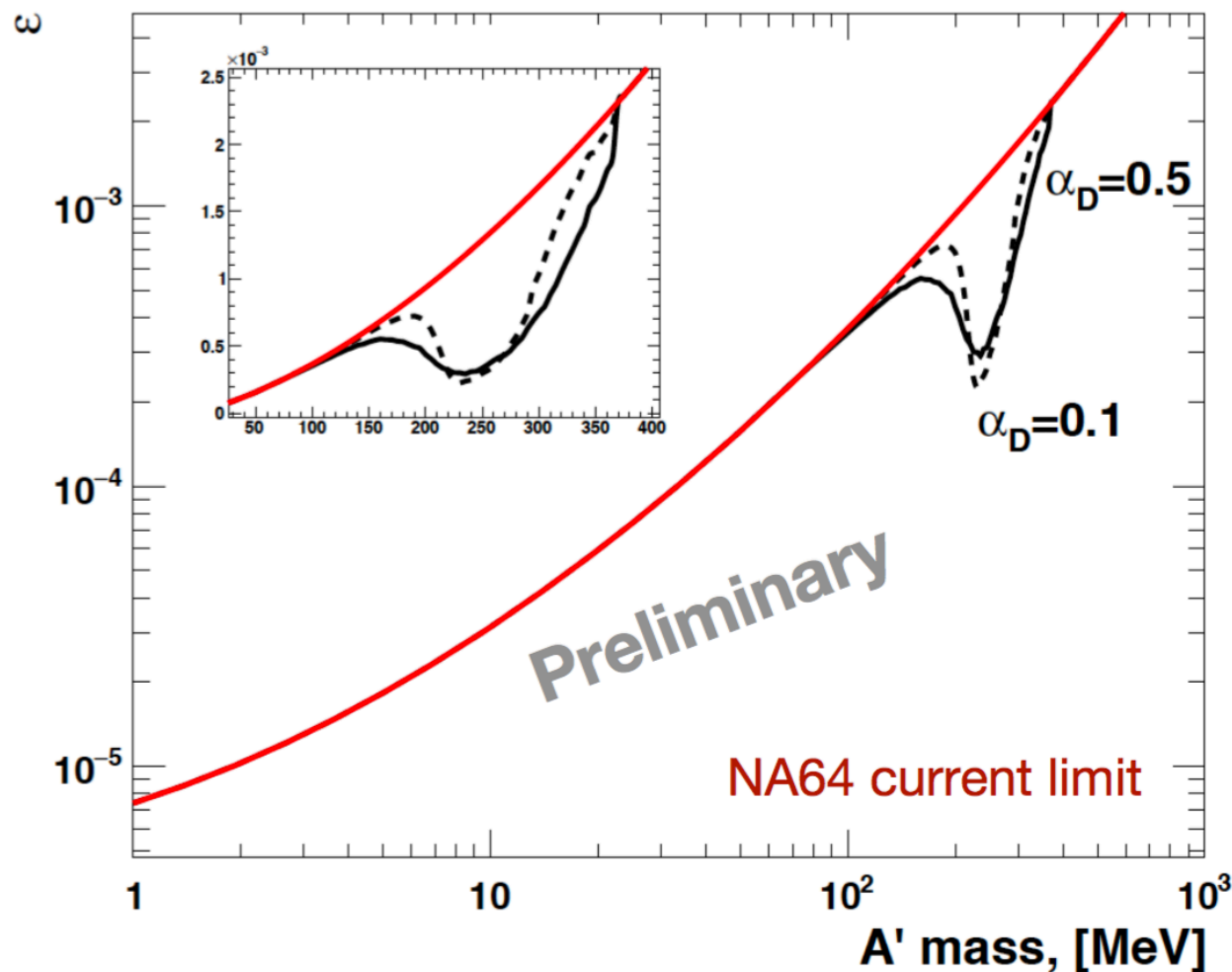


Consider also Scalar,
Pseudoscalar, Axial vector
Andreev et al.
PRL 126, 211802 (2021)

Results on Δa_e :
LKB $+1.6\sigma$,
Berkley -2.4σ



Limits on A' taking into account annihilation



Resonant process
Shower positrons on
electrons of the target

$$e^+e^- \rightarrow A' \rightarrow \chi\chi$$

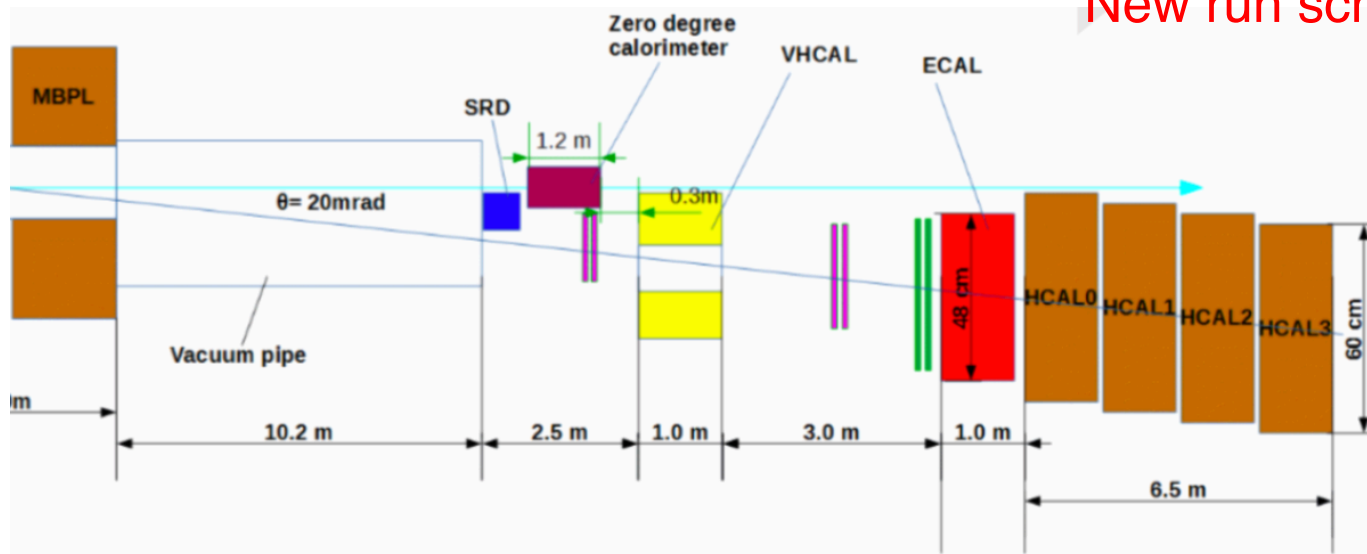
Paper in preparation

Larger sensitivity region
can be obtained with
a positron beam



Future prospects for invisible mode

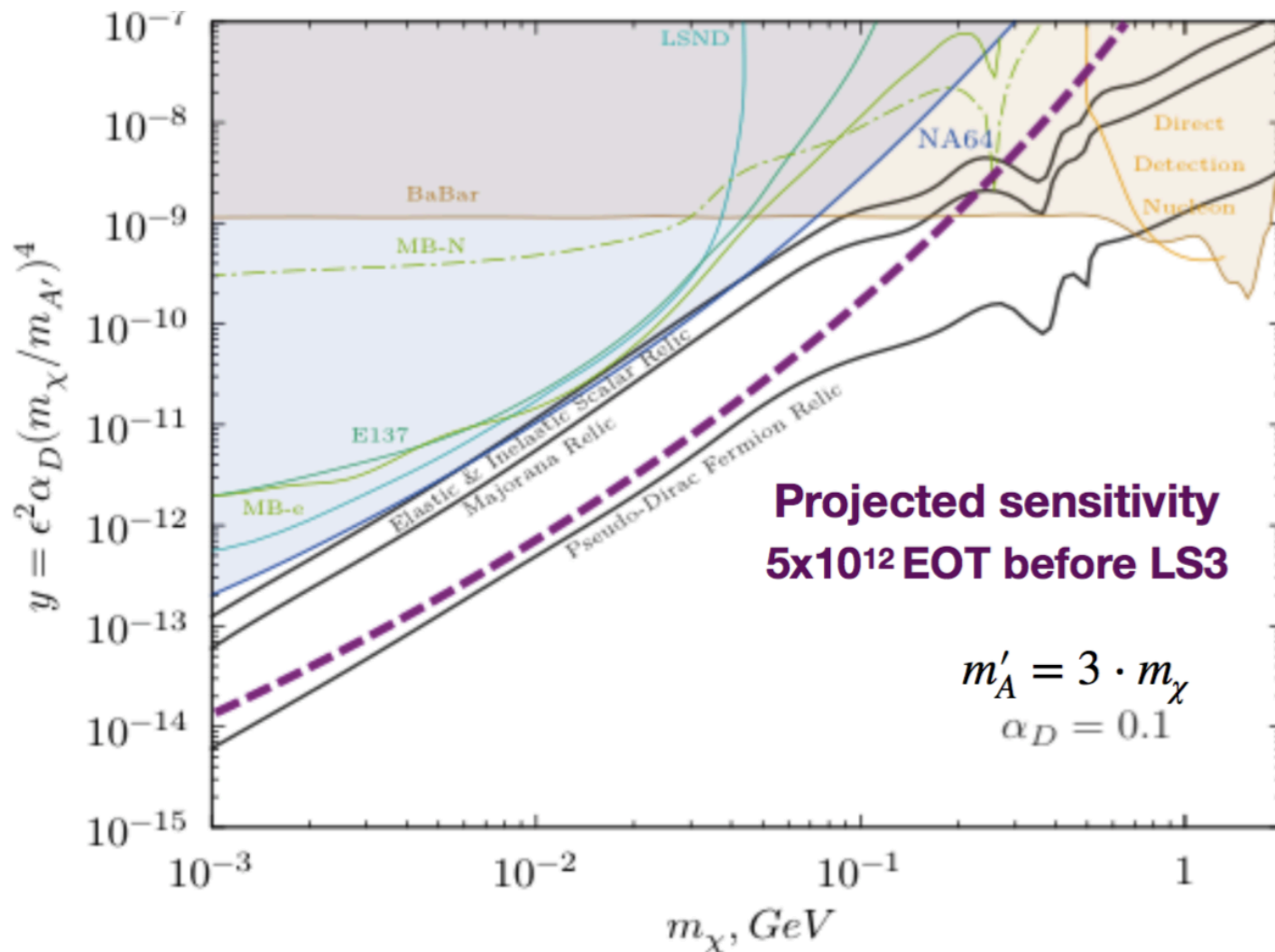
New run scheduled on 11th August



- New subdet. ZDCAL, VHCAL to suppress BG from beam elements and tracker
- New higher granularity SRD
- New low material budget MM
- New ECAL
- Permanent place in NA
- Upgrade of the electronics

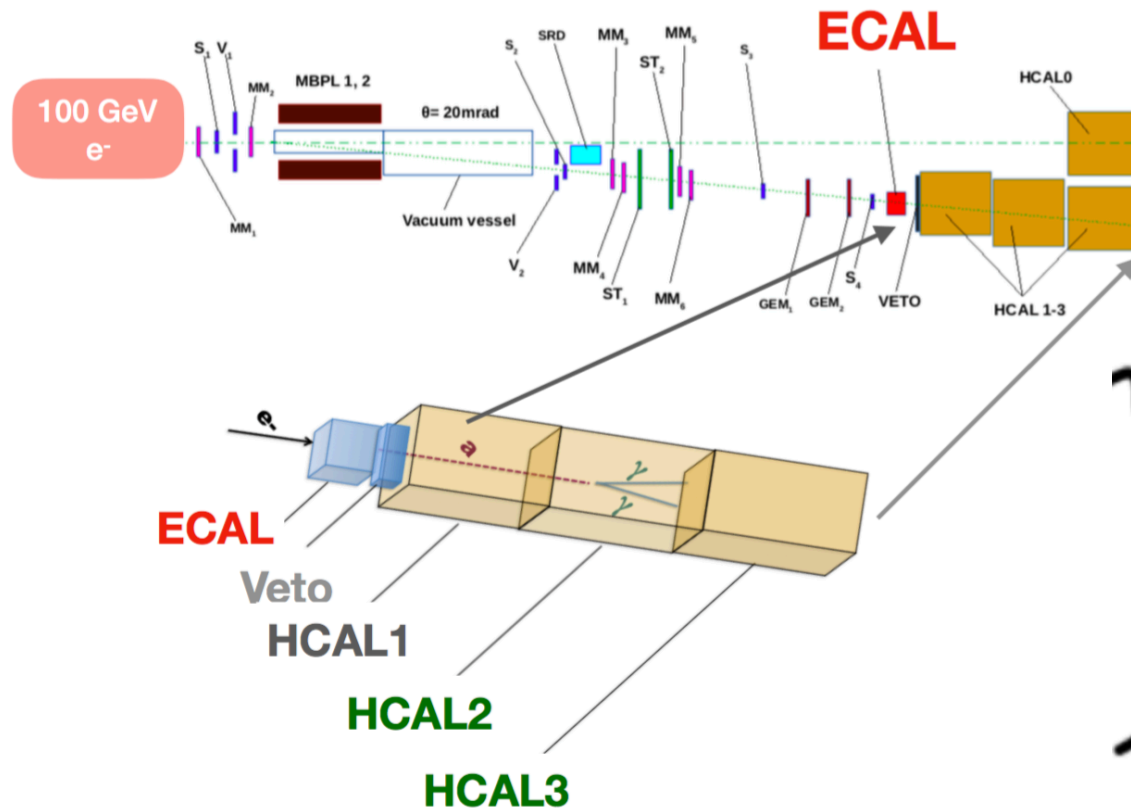


Sensitivity to y and some popular sub-GeV Thermal Dark Matter models

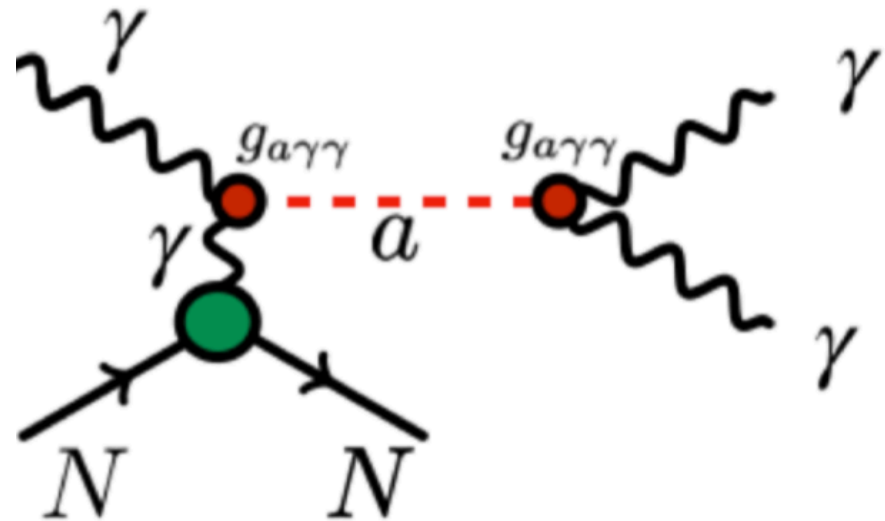


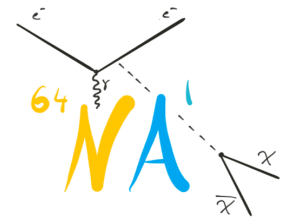
Axion-like particles (ALP) coupled to photons

New way of using the invisible mode geometry: visible decays!
Produced via **Primakoff effect** of gamma conversion on nuclei



$$L_{int} = -\frac{1}{4}g_{a\gamma\gamma}F_{\mu\nu}\tilde{F}^{\mu\nu}a$$



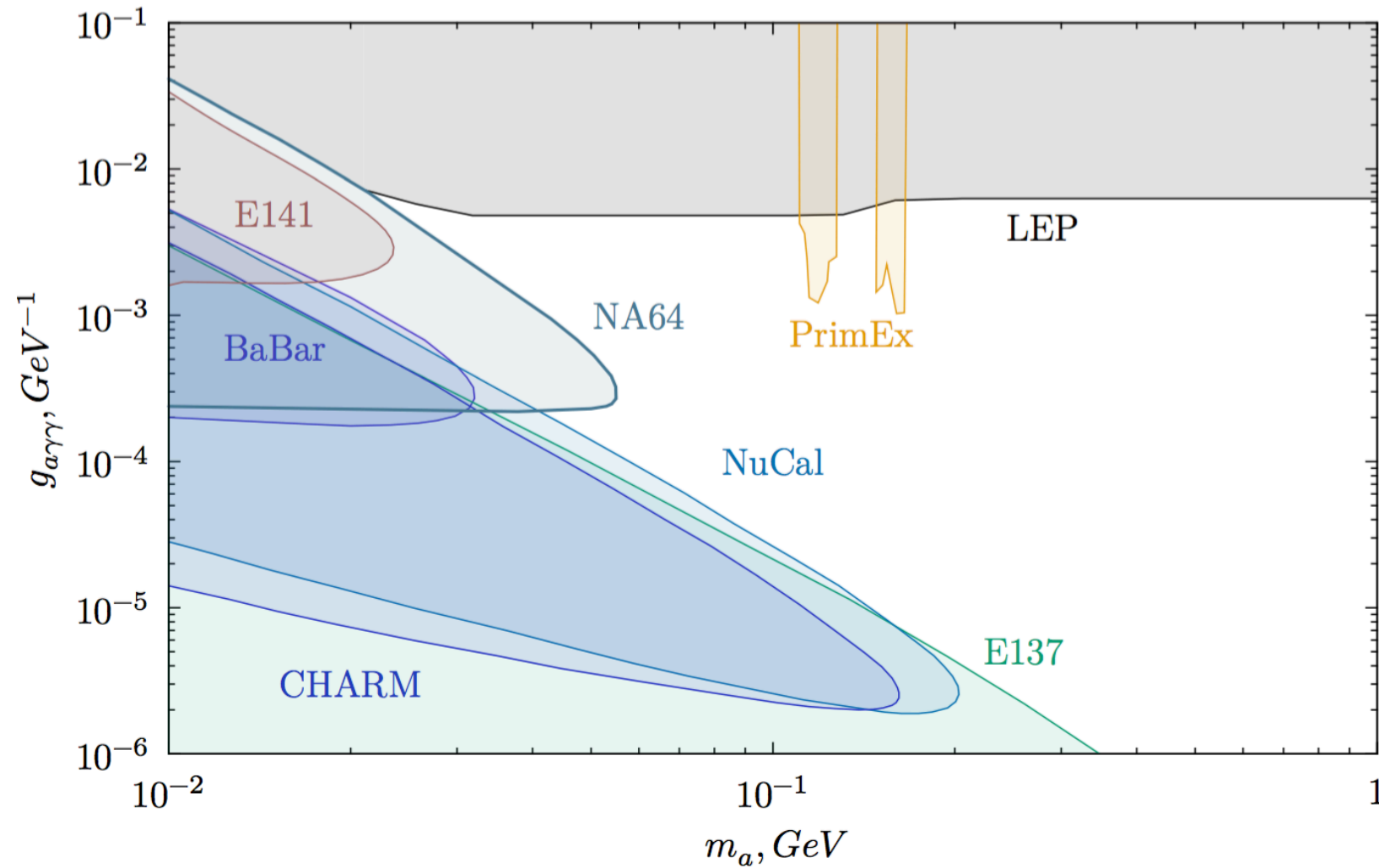


ALP search strategy

- In addition to invisible decays beyond the detector (missing energy signature) look for decays in **HCAL2, HCAL3** with HCAL1 as a veto
- Allows softer cuts on energy deposition in ECAL
- **Background:** punch-through neutrons and K^0
- Final cut on **$R = (\text{periphery cells})/(\text{central cell})$** , strong suppression of hadrons



ALP search results

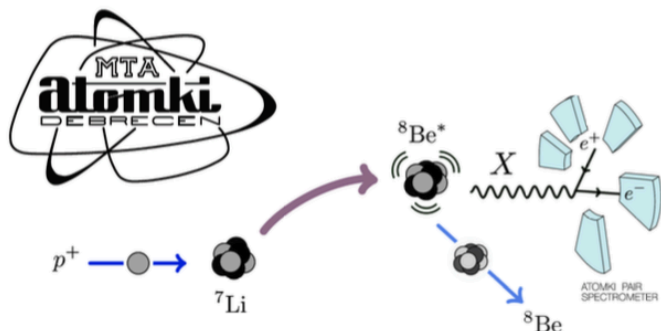




Search for new X-bosons and Dark Photons decaying to e^+e^-

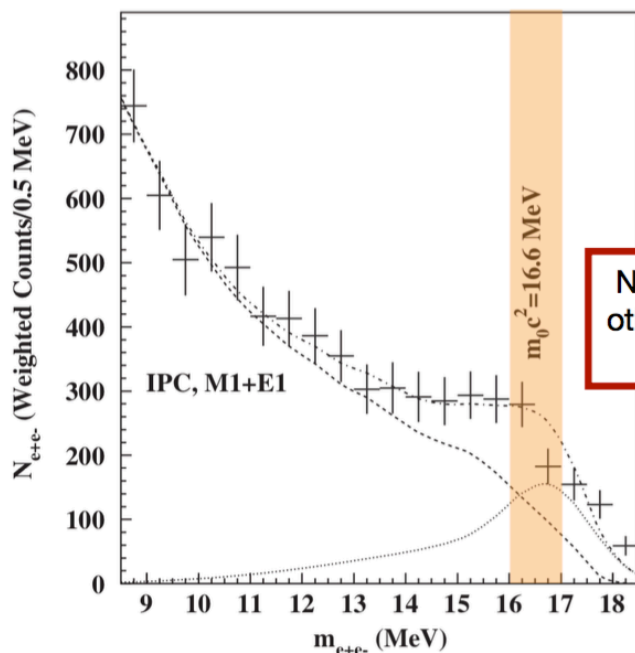


ATOMKI anomaly

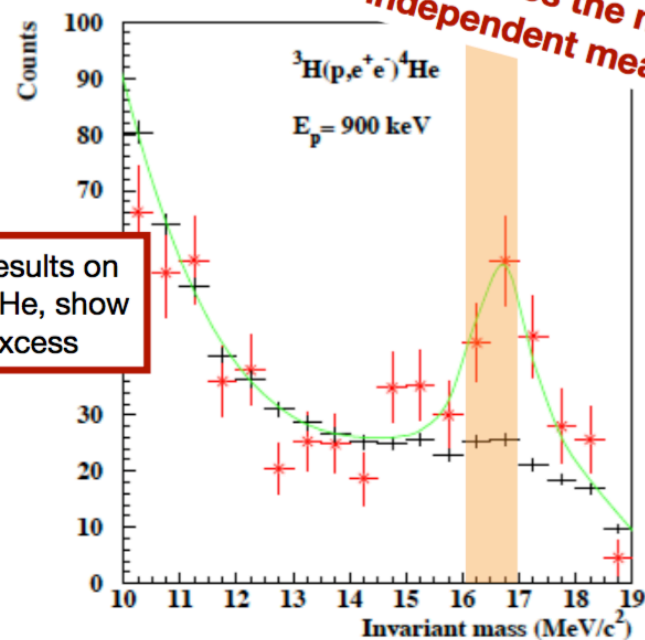


- Scalar, pseudo-scalar, vector, axial-vector models could explain the anomaly (large literature)
- NA64 addresses the search for X17 in a model independent way, just assuming its non-zero coupling with electrons.
- Vector model used as benchmark.

$$e^-Z \rightarrow e^-ZX_{17}; X_{17} \rightarrow e^+e^-$$



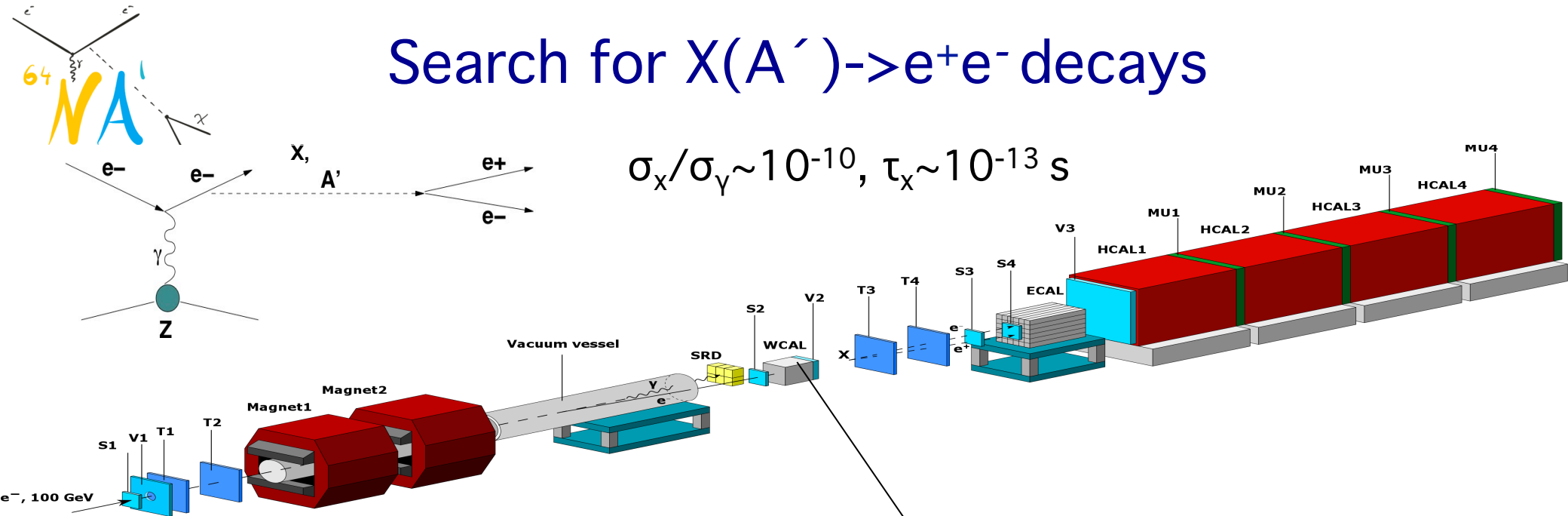
A.J. Krasznahorkay et al. Phys. Rev. Lett.116, 042501 (2015)



A. J. Krasznahorkay et. al Arxiv:1910.10459 (2019)

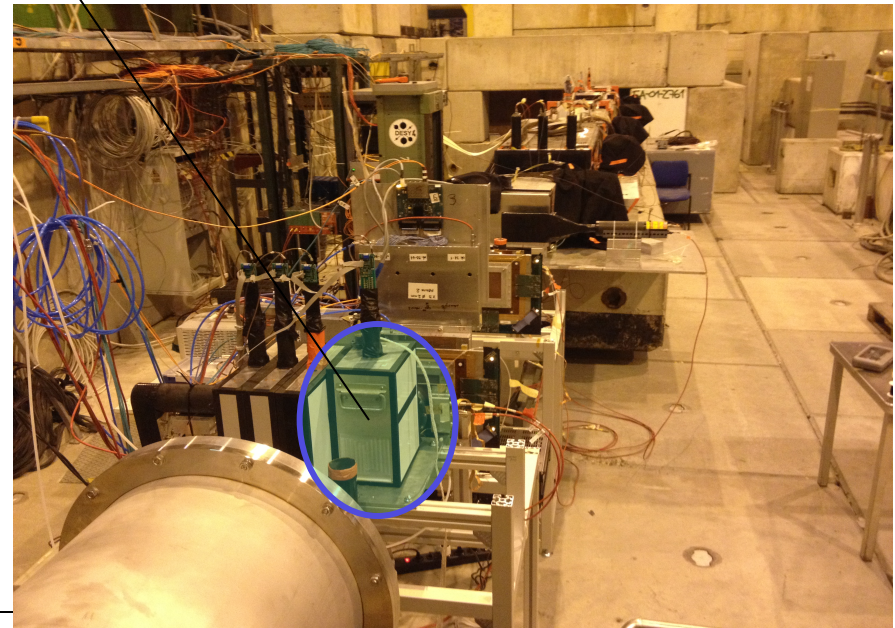
Motivates the need of an independent measurement

Search for $X(A') \rightarrow e^+e^-$ decays



- **Compact tungsten calorimeter**
WCAL

- X decays outside WCAL dump
- **Signature:** two separated showers from a single e^-
 - $E_{WC} < E_0$, and $E_0 = E_{WC} + E_{EC}$
 - $\theta_{e^+e^-}$ too small to be resolved

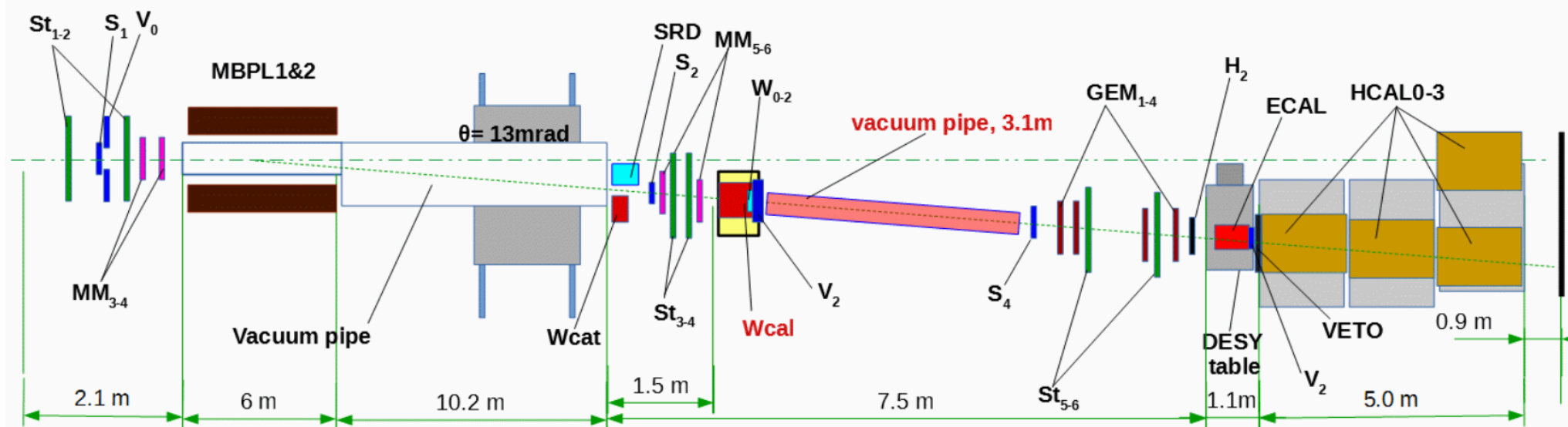




2018 run

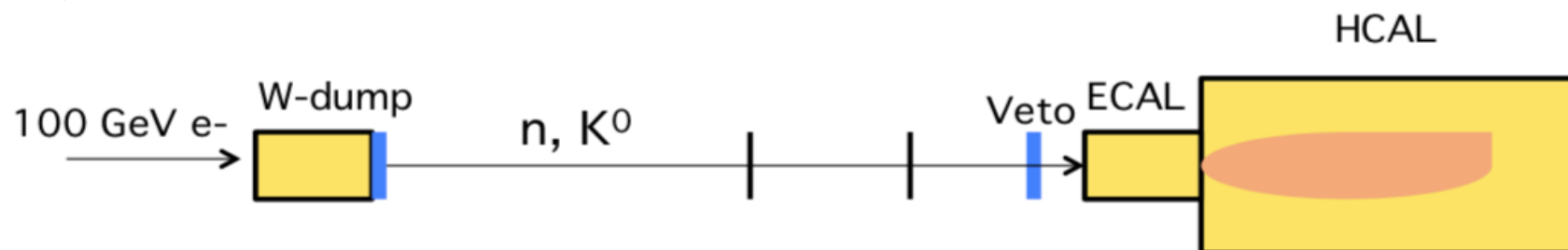
More data, 3×10^{10} EOT (less than expected, SPS problems) were taken in June 2018 with the visible mode configuration optimized for bigger ε (short-lived X): 150 GeV beam, veto counter inside WCAL box, vacuum decay tube, larger distance WCAL - ECAL

TOP VIEW, 2018 setup





Main background from $K_S^0 \rightarrow \pi^0 \pi^0 \rightarrow \gamma' s \rightarrow e^+e^-$ decay chain



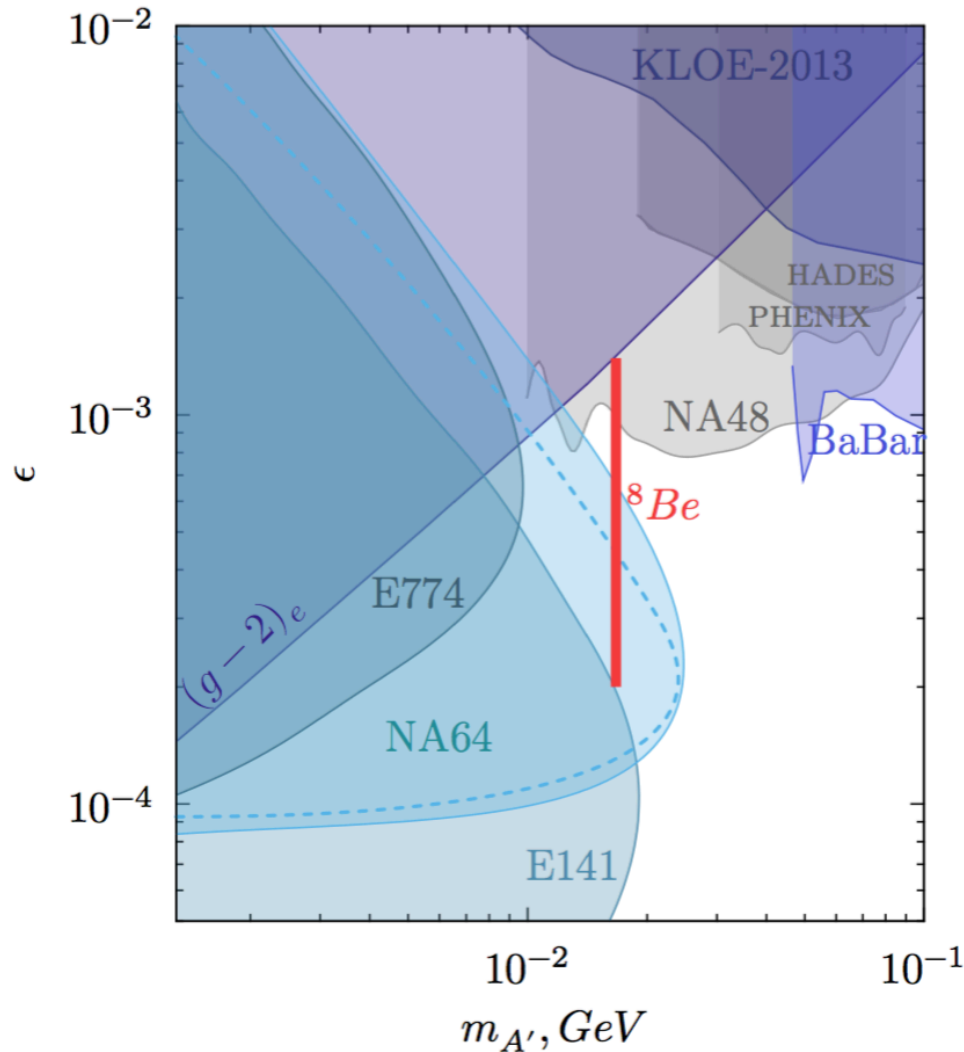
We used **control sample** to estimate this BG: **fully neutral events**

We performed also a search for **pseudoscalar bosons**. Here, we used also data collected in the invisible mode configuration, similarly to the ALP search



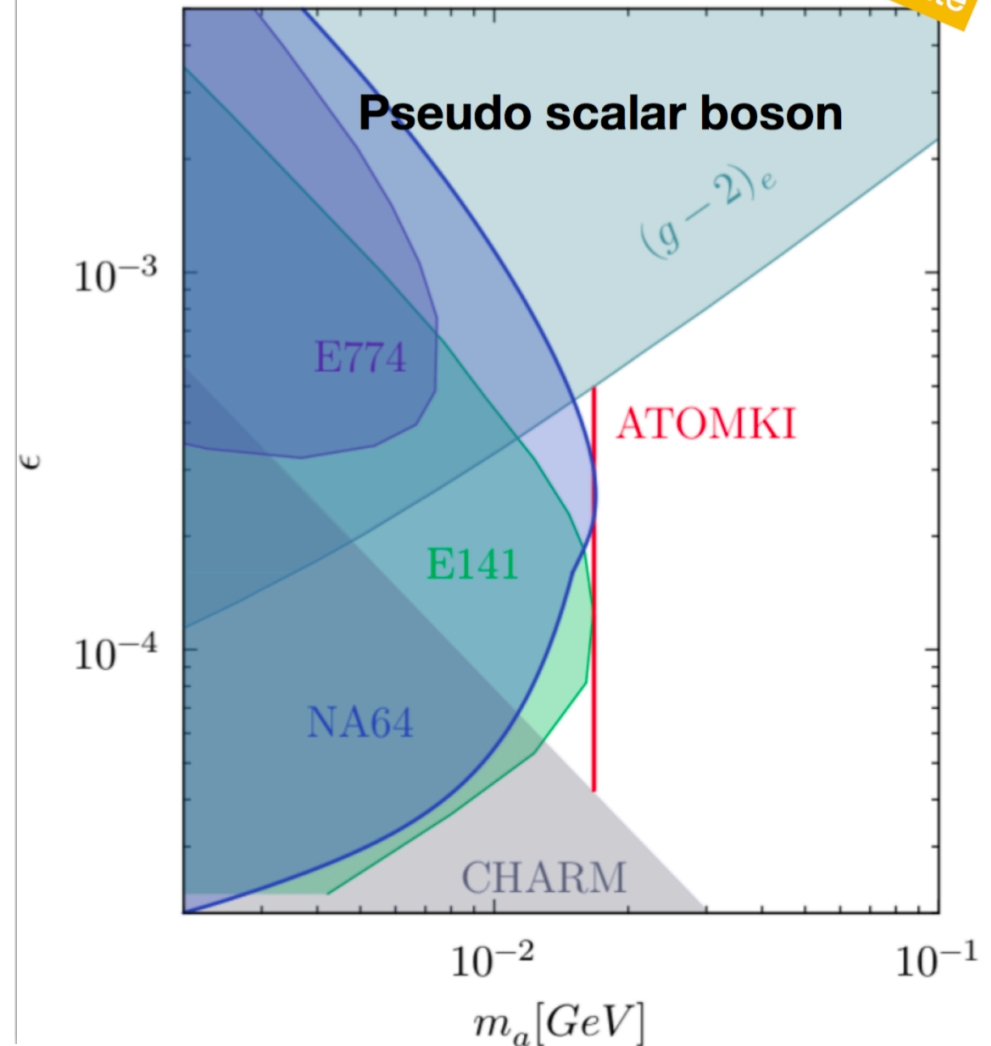
Results with 8.4×10^{10} EOT (+invis. mode data for pseudoscalar)

Vector, PRD 107, 071101 (R) 2020



arXiv: 2104.13342 [hep-ex]
 $e^-Z \rightarrow e^-Za$; $a \rightarrow e^+e^-$

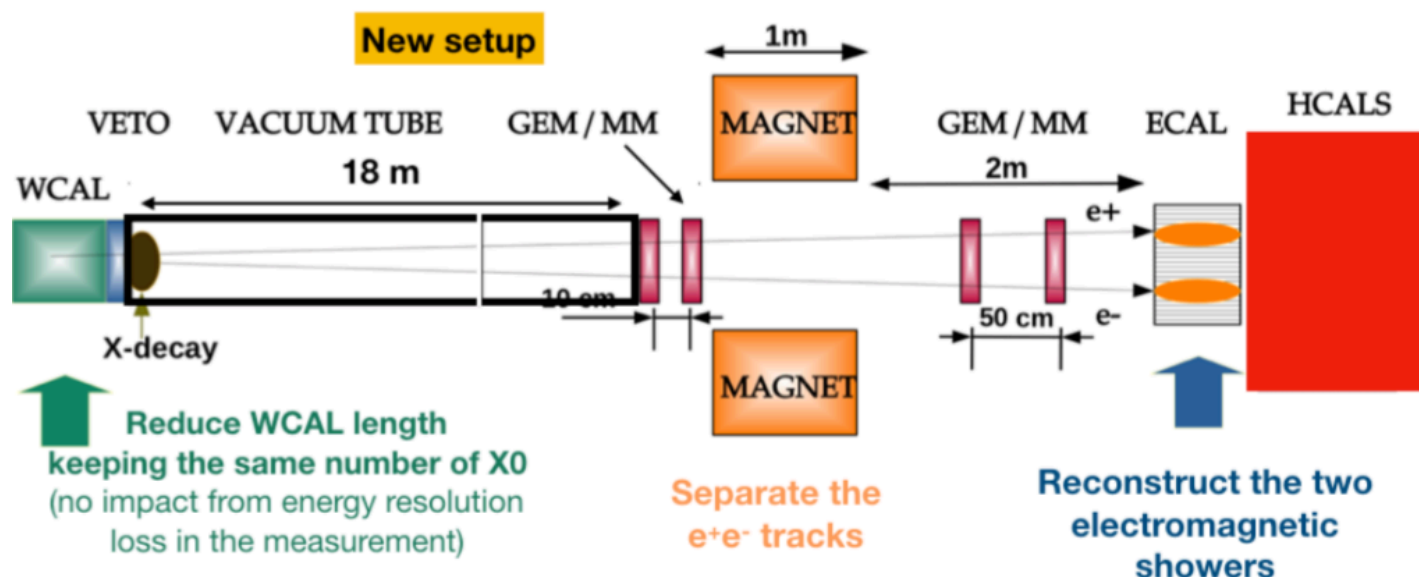
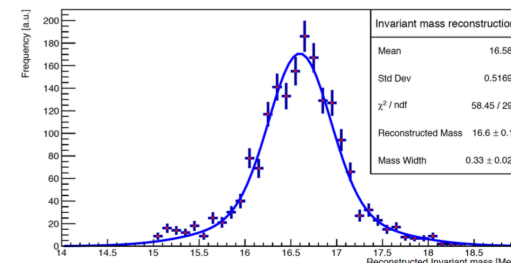
Update





Plans for the visible mode (2022)

Full parameter space Invariant mass reconstruction



For vector:
cover ε up to
 1.3×10^{-3}
with 10^{12} EOT

- New further optimized tungsten calorimeter WCAL
- Long decay tube
- Large area M
- Wide ECAL

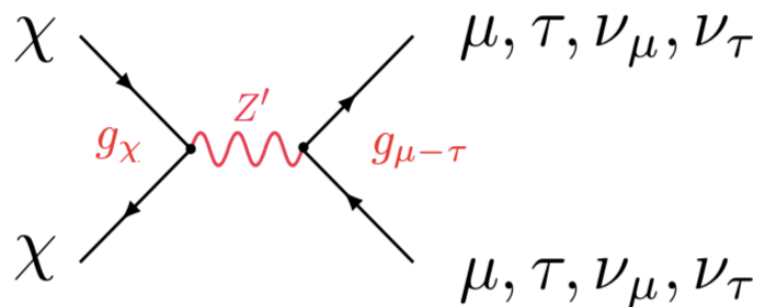
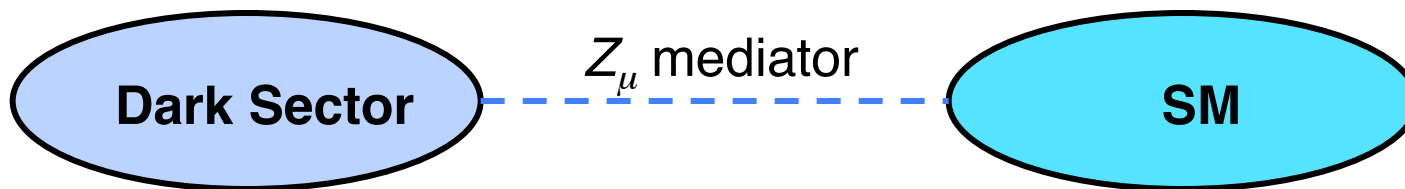
Project described in
EPJ C 80 12 1159 (2020)



NA64 μ



L_μ - L_τ Charged Dark Matter and Z_μ mediator



- free parameters $m_\chi, m_{Z_\mu}, g_\chi, g_\mu$
- Z_μ decays:
 - $m_{Z_\mu} < 2m_\chi$ - decays into SM, $Z_\mu \rightarrow \nu\nu, \mu^+\mu^-, \tau^+\tau^-$
 - $m_{Z_\mu} > 2m_\chi$ - invisible decays into DM: $Z_\mu \rightarrow \chi\chi, \nu\nu$,
 $\alpha_D \gg \alpha_{SM}, \alpha_D = g_\chi^2/4\pi, \alpha_{SM} = g_\mu^2/4\pi$

- Cross section for χ -DM annihilation:

$$\Gamma_{\text{inel}} = n_\chi \langle \sigma v \rangle$$

$$\sigma v \approx [(g_\chi g_\mu)^2 (m_\chi/m_{A'})^4] / m_\chi^2 = y/m_\chi^2 ;$$

$$y = [(g_\chi g_\mu)^2 (m_\chi/m_{A'})^4] -$$

useful variable to compare FTE sensitivities

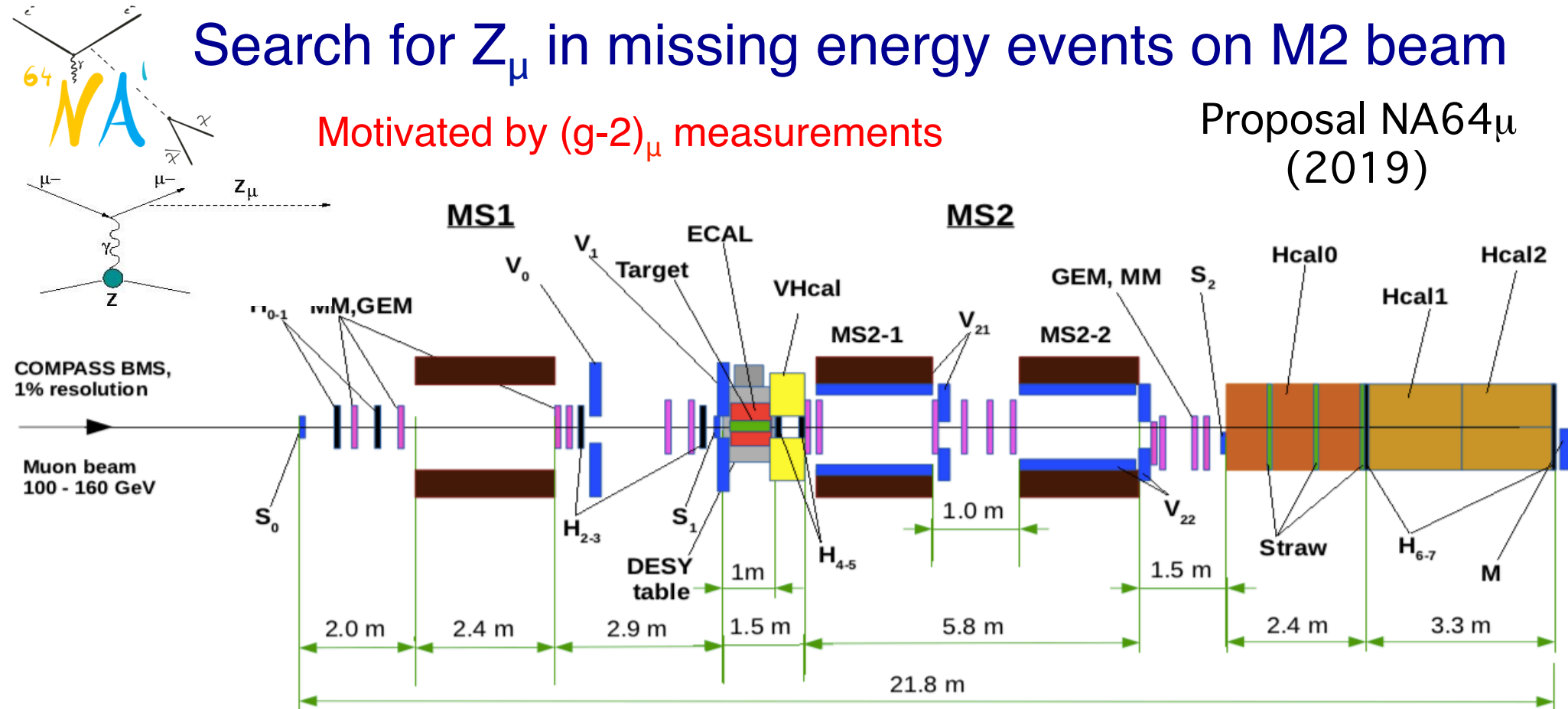
$$J_\chi^\mu = g_\chi \times \begin{cases} i\chi^* \partial_\mu \chi + h.c. & \text{Complex Scalar} \\ \bar{\chi}_1 \gamma^\mu \chi_2 + h.c. & \text{Pseudo-Dirac Fermion} \\ \frac{1}{2} \bar{\chi} \gamma^\mu \gamma^5 \chi & \text{Majorana Fermion} \\ \bar{\chi} \gamma^\mu \chi & \text{Dirac Fermion} \end{cases}$$

Gninenko, Krasnikov 1801.10448
Kahn, Krnjaic, Tran, Whitbeck 1804.03144

Search for Z_μ in missing energy events on M2 beam

Motivated by $(g-2)_\mu$ measurements

Proposal NA64 $_\mu$
(2019)



Main components :

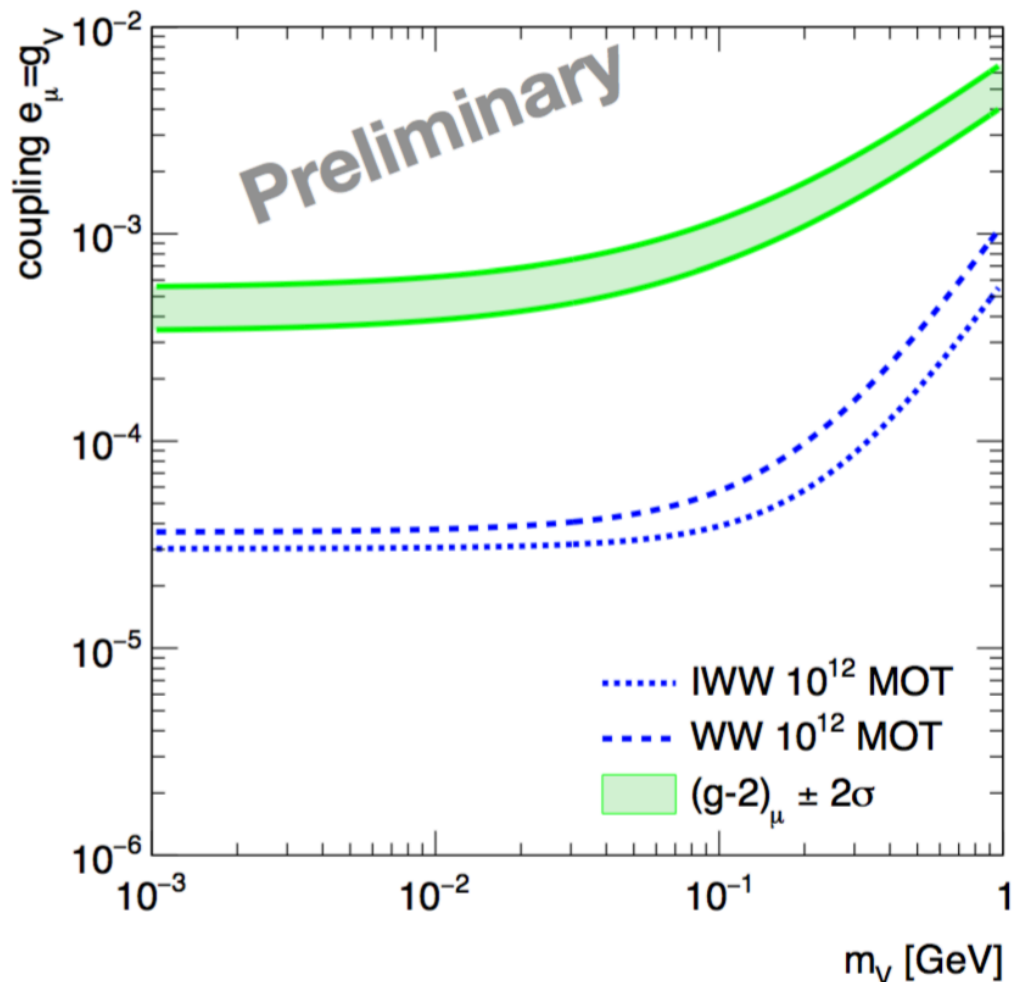
- 100-160 GeV μ^- beam, $I_\mu \sim 10^7 \mu^-/\text{spill}$.
- in μ tagging: BMS+MS1(MBPL+tracker)
- out μ tagging: MS2 (2MBPL+tracker)
- 4π fully hermetic ECAL+Veto+ HCAL

Signature:

- in: 160 GeV μ^- track
- out: < 80 GeV μ^- track
- small energy in the ECAL, Veto, HCAL
- Sensitivity $\sim g_\mu^2$



Pilot run on M2 already in october 2021



We moved recently to WW cross sections, they are close to ETL, σ_{tot} and $d\sigma/dX d\psi$, ψ – recoil muon angle

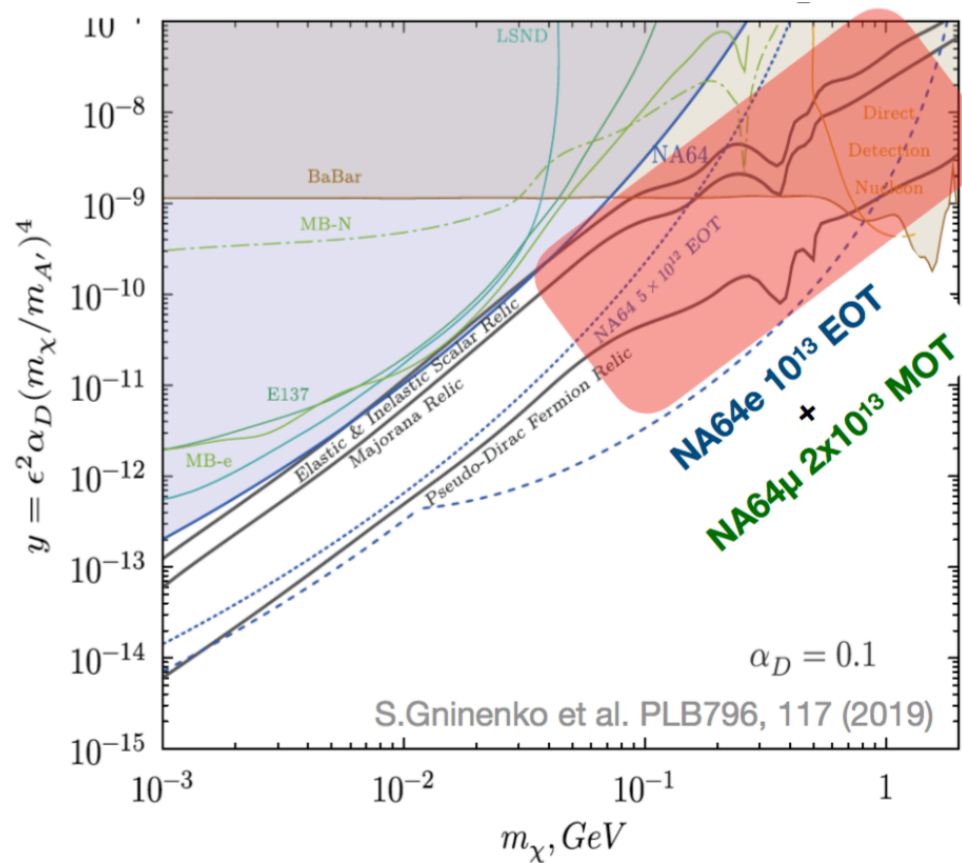
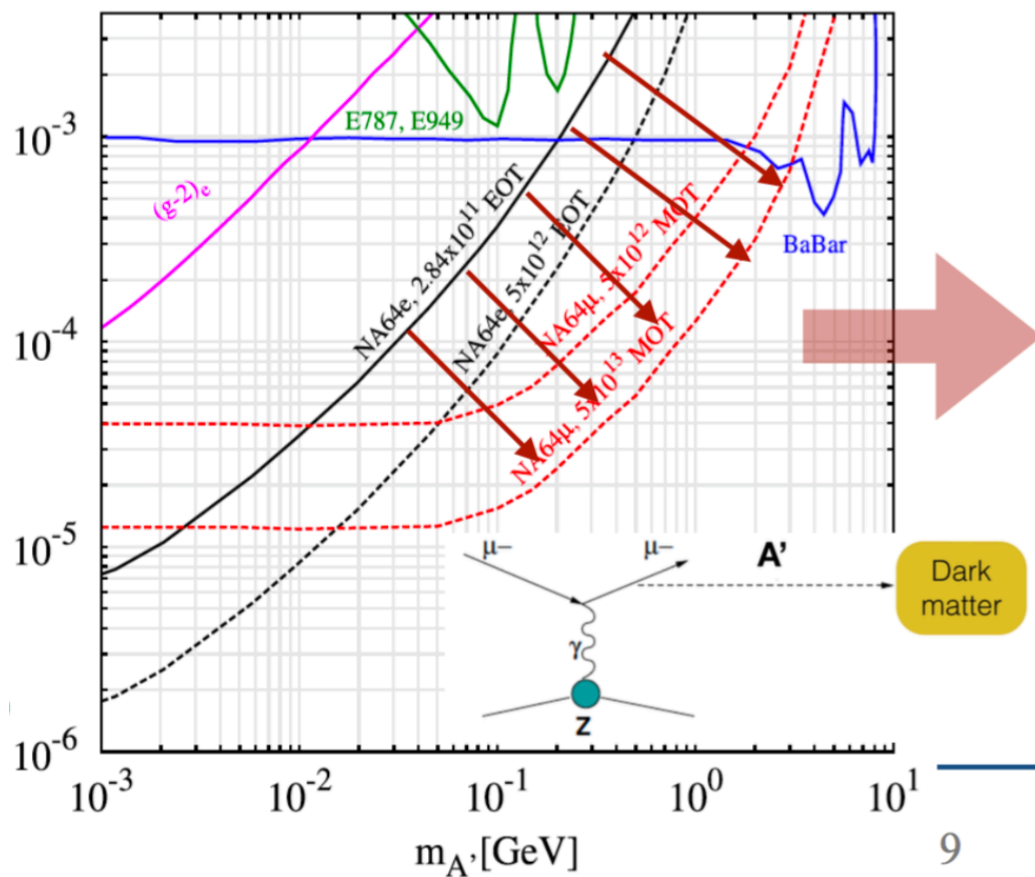
Pilot run ($\sim 10^{10}$?) to check trigger rate and noise conditions

- New wide HCAL
- New special ECAL



Searches for A' with NA64 μ

Better sensitivity to heavy A' (>100 MeV)





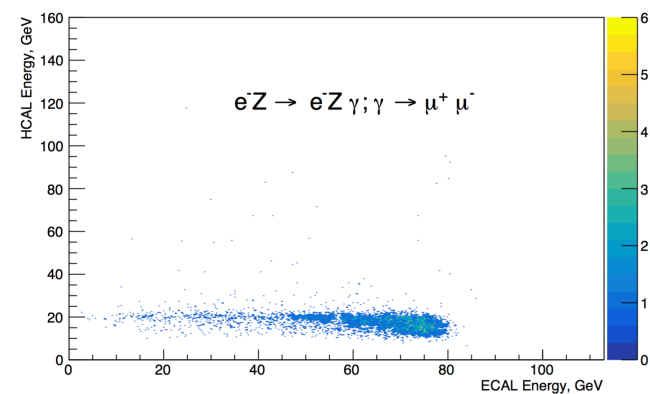
Backup slides

Backup



Dimuon production as a reference process

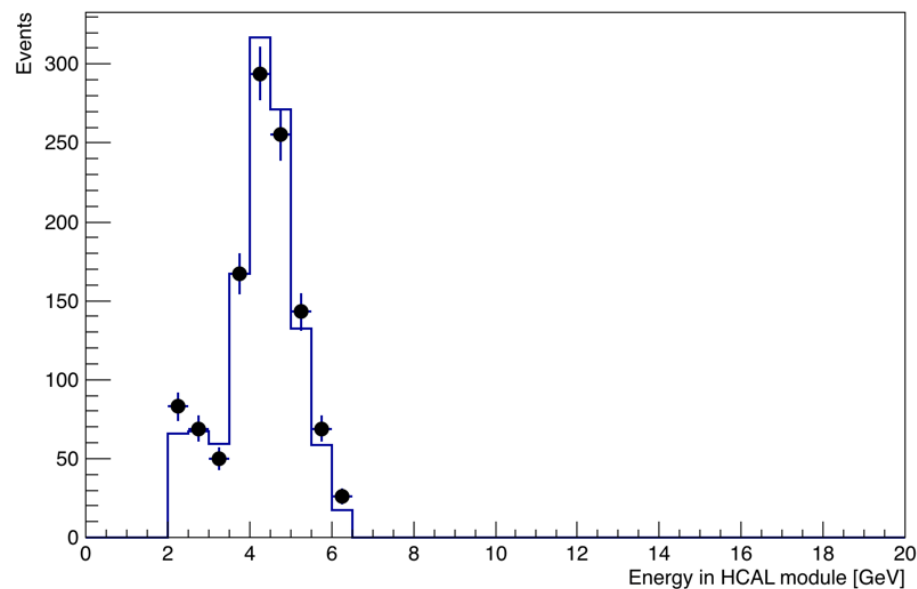
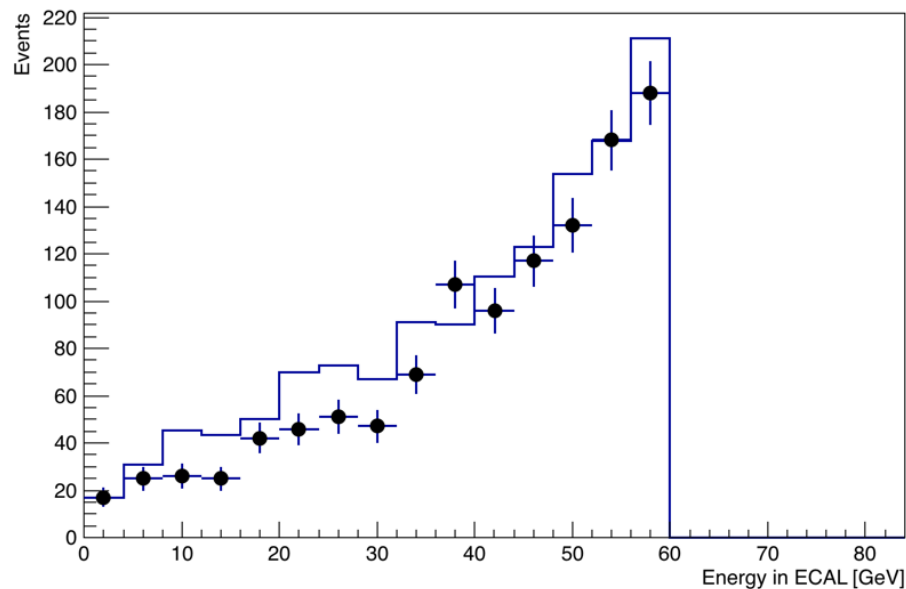
- There is an excellent reference process: **gamma to muons conversion**. It is rather rare and has many similarities with our signal
- Several 10^4 dimuon pairs with both muons reaching all HCAL modules are registered in the 2016 runs
- The process is available in GEANT4, off by default
- We bias the cross section in GEANT4 by a factor of 200 in order to have good statistics with reasonable CPU time.
- Reasonable agreement DATA - MC





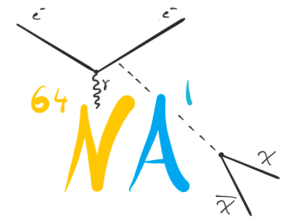
Dimuon reconstruction

HCAL module 3



Dimuons selection: $E_{\text{ECAL}} < 60 \text{ GeV}$
 $2.5 < E_{\text{HCAL1}} < 6.35$
 $2 < E_{\text{HCAL3}} < 6.35$

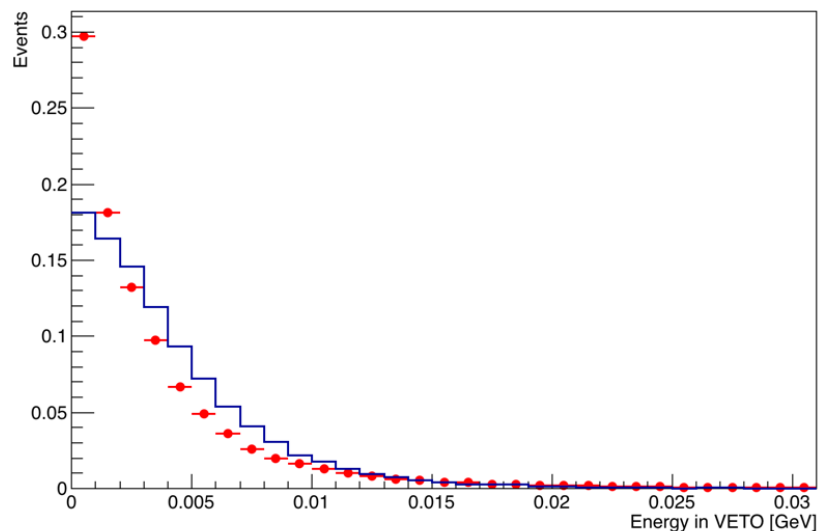
Left plot: number of dimuons in DATA ~ 0.92 of MC prediction,
 slightly smaller at high intensity \rightarrow efficiency correction



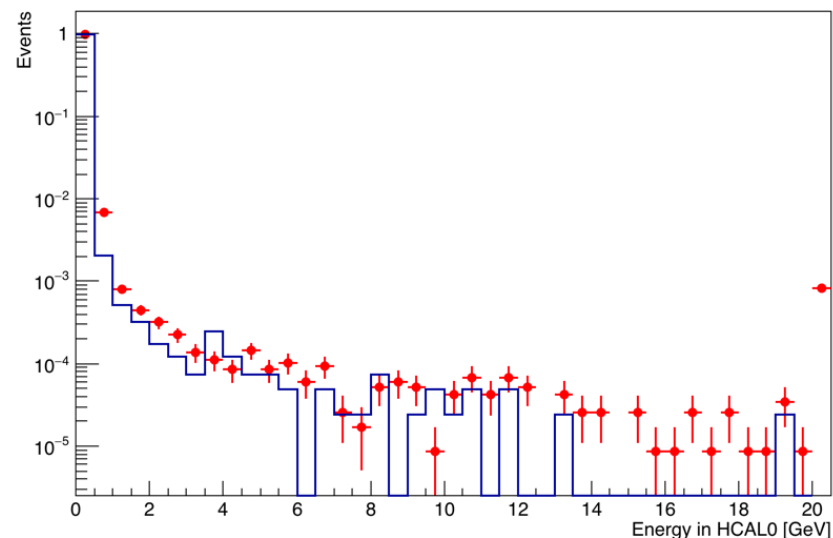
Analysis: efficiency corrections and uncertainties

Efficiency type	Method	Efficiency	uncertainty
Trigger and SRD selection, DAQ	Dimuons analysis	0.91	10%
VETO cut	Comparison MC - data in calib. runs	1	5%
HCAL cut	Comparison MC - data in calib. runs	0.99	5%

Veto: cut at 0.01 GeV



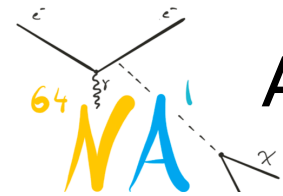
HCAL0: cut at 1 GeV





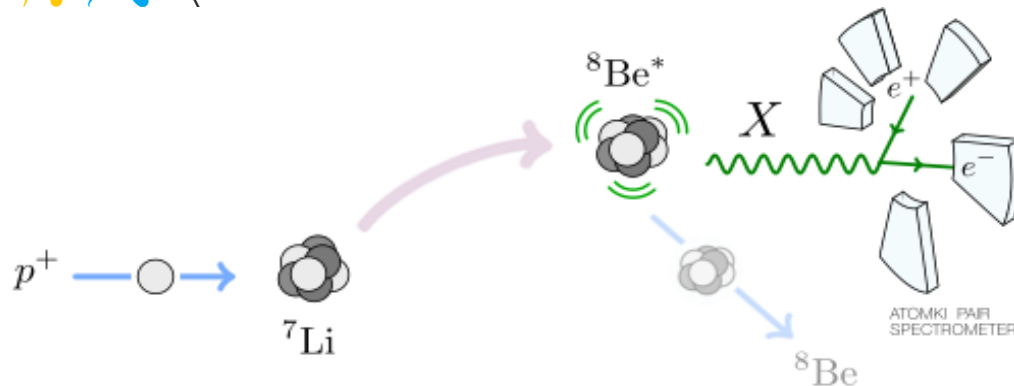
Analysis

- Data collected in the autumn 2016 run are divided in 3 bins: low, medium and high intensity
- For each bin the background, efficiency corrections and their uncertainties are estimated
- The expected sensitivity was calculated with ProfileLikelihood method
- The limits are calculated with CL_s method



ATOMKI $^8\text{Be}^*$ anomaly: a new 17 MeV gauge boson?

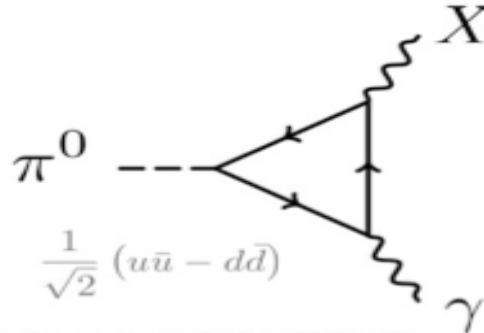
$$^7\text{Li}(p,\gamma)^8\text{Be}, M_X = 17 \text{ MeV}$$



Feng et al, 2016

X cannot be A' due to constraints from π^0 -

$\rightarrow X\gamma$ decay:



$$\Gamma(\pi^0 \rightarrow X\gamma) \sim (\epsilon_u q_u - \epsilon_d q_d)^2 \sim 0$$

if $2\epsilon_u = -\epsilon_d \rightarrow$ **protophobic X**

Coloured lines
are projects

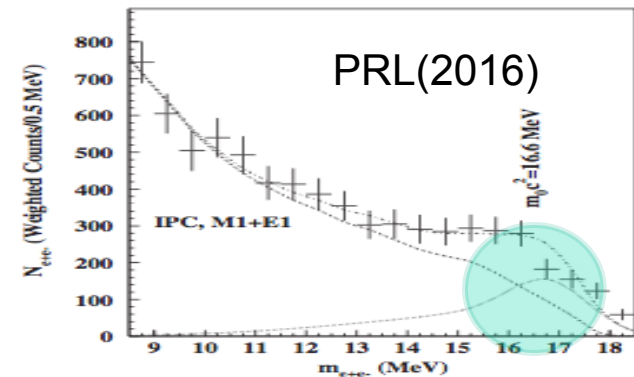
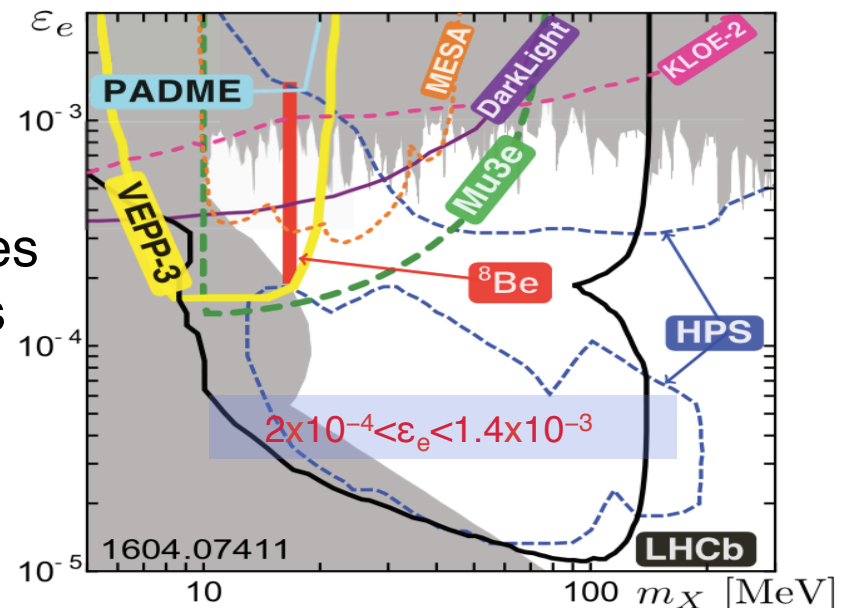


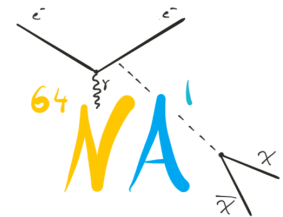
FIG. 5. Invariant mass distribution derived for the 18.15 MeV transition in ^8Be .



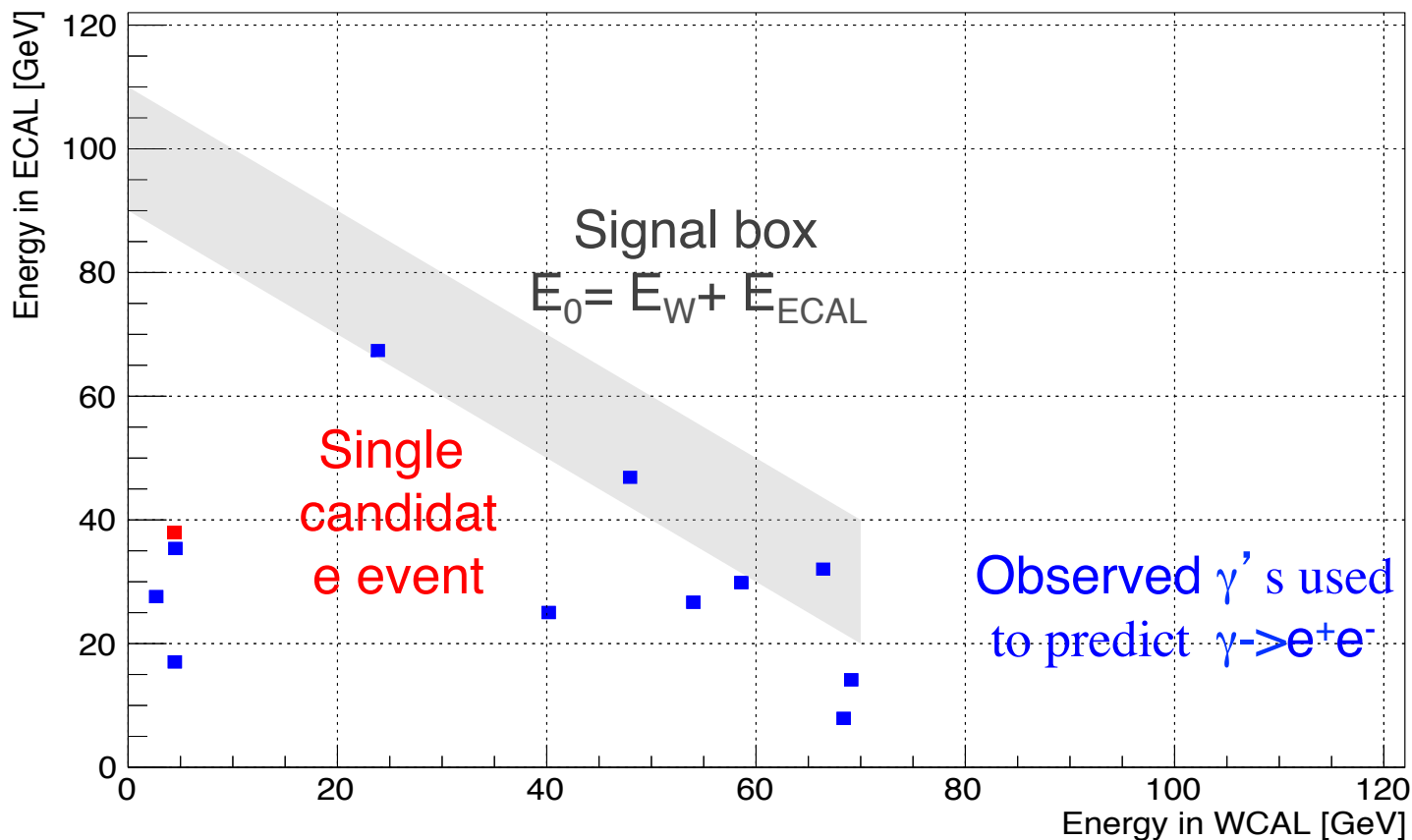


Final estimate of the background

Source of background	Events
e^+e^- pair production by punchthrough γ	< 0.001
$K_S^0 \rightarrow 2\pi^0; \pi^0 \rightarrow \gamma e^+e^-$ or $\gamma \rightarrow e^+e^-; K_S^0 \rightarrow \pi^+\pi^-$	0.06 ± 0.034
$\pi N \rightarrow (\geq 1)\pi^0 + n + \dots; \pi^0 \rightarrow \gamma e^+e^-$ or $\gamma \rightarrow e^+e^-$	0.01 ± 0.004
π^- hard bremsstrahlung in the WCAL, $\gamma \rightarrow e^+e^-$	< 0.0001
$\pi, K \rightarrow e\nu, K_{e4}$ decays	< 0.001
$eZ \rightarrow eZ\mu^+\mu^-; \mu^\pm \rightarrow e^\pm\nu\nu$	< 0.001
punchthrough π	< 0.003
Total	0.07 ± 0.035



Results from 2017 run, 5.4×10^{10} EOT





Event selection 2018 at 150 GeV: criteria

- SRD tag (with only 2 modules because of smaller bend)
- $E_{\text{WCAL}} < 105 \text{ GeV}$ (preliminary trigger selection

$$E_{\text{WCAL}} < \sim 110 \text{ GeV})$$

- $E_{\text{V2}} < 0.6 \text{ MIP}$ (no charged particles after WCAL).
- $E_{\text{S4}} > 1.5 \text{ MIP}$ (two charged particles in ECAL).

Control region for neutrals: $E_{\text{S4}} < 0.7 \text{ MIP}$

- $E_{\text{WCAL}} + E_{\text{ECAL}} > 125 \text{ GeV}$
- Shower profile in ECAL compatible with electron (or with two very close electrons)
- Small energy in VETO and HCAL