

Semiclassical \mathcal{S} -matrix & black hole entropy in dilaton gravity



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Workshop “Quantum gravity and cosmology”
online, 05/06/2021

M. Fitkevich, DL, Y. Zenkevich, arXiv: 2004.13745

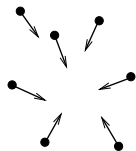
M. Fitkevich, DL, S. Sibiryakov, arXiv: 2006.03606

Information paradox = apparent unitarity loss

Black holes evaporate, $T_H = (8\pi M)^{-1}$

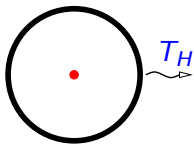
$$\hbar = c = k_B = M_{pl} = 1$$

Hawking '71



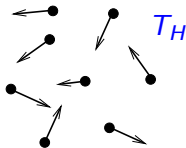
Ψ_{in}

\rightarrow



BH

\rightarrow



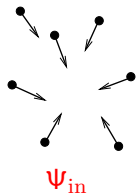
$$\hat{\rho}_{out} = e^{-\hat{H}/T_H} \neq |\Psi_{out}\rangle\langle\Psi_{out}|$$

Non-unitary evolution?

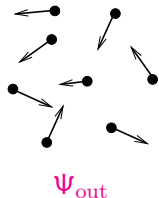
BUT

- **AdS/CFT**: QGRA on $AdS_5 \times S_5 =$ unitary CFT *Maldacena '01*
black holes = thermal states
unitary \Leftarrow unitary
- **New entanglement entropy**: $\Sigma = -\text{tr}(\hat{\rho}_{out} \ln \hat{\rho}_{out})$
“purification” of states \Rightarrow unitarity! *Penington '19*
see also Almheiri, Hartman, Maldcena, Marolf, Stanford, Shenker, ... '19-20

\mathcal{S} -matrix?



$$\Psi_{\text{in}} \rightarrow \Psi_{\text{out}} = \hat{S}\Psi_{\text{in}}$$



This is a scattering process!

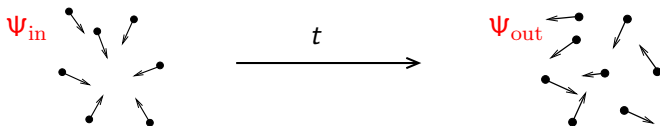
Q: \hat{S} -matrix for this process?

Unitarity test: $\hat{S}^\dagger \hat{S} = \hat{1}$

't Hooft '99

Bezrukov, DL, Sibiryakov '15

Direct semiclassical method



$$\langle \Psi_{\text{out}} | \hat{S}_{\text{tot}} | \Psi_{\text{in}} \rangle = \int \underbrace{\mathcal{D}\Phi}_{g_{\mu\nu}, f, \dots} e^{iS_{\text{tot}}[\Phi]} \quad \leftarrow \text{includes } \Psi_{\text{in}}, \Psi_{\text{out}}$$

$\leftarrow \text{flat} \rightarrow \text{flat!}$

$S_{\text{tot}} \gg 1$: Saddle-point method!

- $\Phi_s(x)$: $\delta S_{\text{tot}}/\delta\Phi = 0$ — saddle-point configuration (classical solution)
- Low E : flat \rightarrow flat — fixed topology
- $\langle \Psi_{\text{out}} | \hat{S} | \Psi_{\text{in}} \rangle = \cancel{A} e^{iS_{\text{tot}}[\Phi_s]}$ — ответ

BUT collapsing solutions: flat \rightarrow black hole
incorrect asymptotics!

Complex saddle-point configurations?

Bezrukov, DL, Sibiryakov, 1503.07181

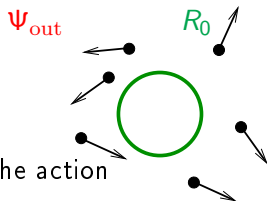
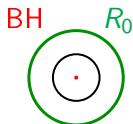
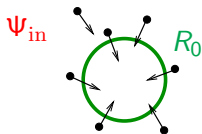
How to find them?

Imagine that we can do nasty things

Consider functional

$$T_{\text{int}}[\Phi] \sim \int \text{Mass}_{R_0} dt$$

DL, Panin, Sibiryakov '07
Bezrukov, DL, Sibiryakov '15



Add a constraint $T_{\text{int}}[\Phi] = T_0$ to the action



Correct asymptotics of $\Phi_s!$
(but incorrect equations)

Properties of $T_{\text{int}}[\Phi]$

- ≥ 0 & rep-invariant
- $= +\infty$ if $\Phi \rightarrow$ black hole
- Finite for scattering

$$1 = \int_0^\infty dT_0 \delta(T_0 - T_{\text{int}}[\Phi]) = \int_0^\infty dT_0 \int_{-i\infty}^{i\infty} d\epsilon e^{\epsilon(T_0 - T_{\text{int}}[\Phi])}$$

$$\langle \Psi_{\text{in}} | \hat{S} | \Psi_{\text{out}} \rangle = \int \mathcal{D}\Phi \cdot e^{iS_{\text{tot}}[\Phi]}$$

Bezrukov, DL '04

DL, Panin, Sibiryakov '07

Strategy:

1 First, integrate over Φ

\Rightarrow New action: $S_\epsilon = S_{\text{tot}} + i\epsilon T_{\text{int}}$

$\Rightarrow \Phi_\epsilon \Rightarrow \Phi_\epsilon[x]$ – saddle-point of : flat at $t \rightarrow \pm\infty$

$\Rightarrow \text{Re } \epsilon > 0$ – integral converges!

2 Second, integrate over ϵ и T_0

$\Rightarrow T_0 = T_{\text{int}}[\Phi_\epsilon]$ & $\epsilon \rightarrow +0$

$$\langle \Psi_{\text{in}} | \hat{S} | \Psi_{\text{out}} \rangle \approx \lim_{\epsilon \rightarrow +0} e^{iS_\epsilon[\Phi_\epsilon]}$$

In 4D gravity: Bezrukov, DL, Sibiryakov, 1503.07181

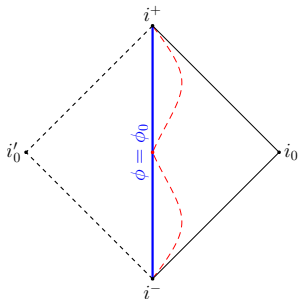
2D dilaton gravity with a boundary

$$\mathcal{S} = \int_{\phi < \phi_0} d^2x \sqrt{|g|} e^{-2\phi} [R + 4(\nabla\phi)^2 + 4\lambda^2] \leftarrow \text{CGHS: } \phi, g_{\mu\nu}$$

↑
mass scale
Callan, Giddings, Harvey, Strominger '92

$$+ \int_{\phi = \phi_0} e^{-2\phi_0} (2K + 4\lambda) \leftarrow \text{boundary GH term}$$

$$- m \int_{\text{traj}} d\tau \leftarrow \text{matter: quantum particle}$$



$$\phi < \phi_0 \Rightarrow \boxed{r > r_0}$$

+ Properties

- Exact solutions
- Stable vacuum
- Black holes:

$$T_H = \lambda/2\pi \text{ — temperature}$$

$$r_h = \ln(M/2\lambda)/2\lambda \text{ — Schw. radius}$$

Chung, Verlinde '93

Fitkevich, DL, Zenkevich '17

Why do we need a **boundary** in CGHS?

- **Quantize the model:**

Russo, Susskind, Thorlacius '92

$$Z = \int \underbrace{\mathcal{D}\phi}_{\text{Strominger '92}} e^{iS_{\text{CGHS}} - c \int \phi R + \text{matter}}$$

\uparrow
RST counterterm

- Perform **singular** Weyl transformation:

$$\hat{g}_{ab} = e^{-2\phi} g_{ab}, \quad \hat{\phi} = e^{-2\phi} + c\phi \quad \leftarrow \text{non-invertible at } \phi(x) = \phi_{cr}!$$

- **Result:** $Z = \int \underbrace{\mathcal{D}\hat{\phi} e^{i \int (\hat{\mathcal{R}}\hat{\phi} + 4\lambda^2)} + \text{материя}}_{\text{flat JT gravity } (\Lambda \rightarrow 0)}$

Fitkevich, DL, Zenkevich '20

- **BUT** flat JT is unitary \leftarrow and no black holes

Dubovsky, Gorbenko, Mirbabayi '17

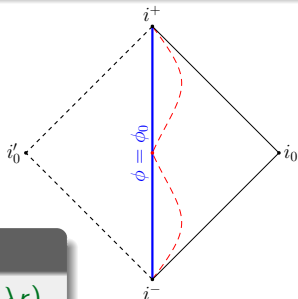
- \Rightarrow **CGHS** is sick at $\phi = \phi_{cr}$!

Solution: $\phi < \phi_0$ — cutoff the singularity!

Reflections from the boundary

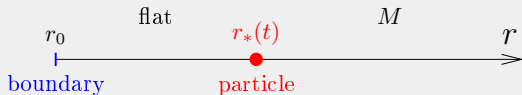
$$\langle p | \hat{S} | -p \rangle = \int \mathcal{D}\Phi e^{iS_{\text{tot}}[\Phi]} \quad \text{— refl. amplitude}$$

(energy M)



(Semi)classical solutions

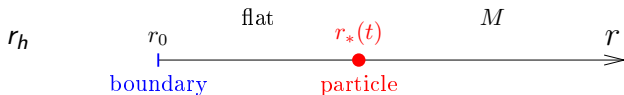
- **Schwarzschild coordinates:** $(r, t) \leftarrow (\phi = -\lambda r)$
- **“Birkhoff” theorem:**
empty space = flat or $BH(M)$



- **Sewing at r_* :** eq. for particle $r = r_*(t)$

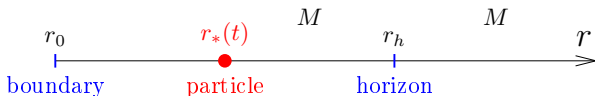
Classical solutions

- Low M



Trivial reflection from the boundary

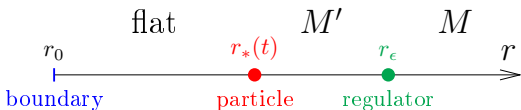
- High $M \Leftrightarrow r_h > r_0$



Black hole formation at $M > M_{cr}$

Adding a constraint: $S_\epsilon = S_{\text{tot}} + i\epsilon T_{\text{int}}$

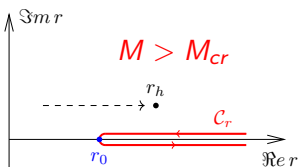
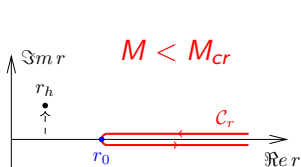
$$i\epsilon T_{\text{int}} = i\epsilon \int d^2x \sqrt{-g} \underbrace{\delta(\phi - \phi_\epsilon)}_{r_\epsilon = -\phi_\epsilon/\lambda} \underbrace{[(\nabla\phi)^2 - \lambda^2]^2}_{\text{zero for flat}} \geq 0$$



Properties of T_{int}

- Eqs. at r_ϵ : $M' = M + i\epsilon'$ $\epsilon' > 0$
- Complex motion: $\text{Im } r_h > 0!$

- rep.-invariant
- ≥ 0
- finite: $\Phi \rightarrow \text{плоское}$
- $+\infty$: $\Phi \rightarrow \text{BH}$



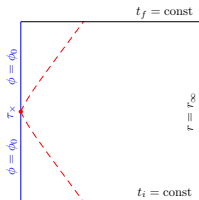
\Rightarrow Complex solutions with correct asymptotics!

Classical action: $\langle p | \hat{\mathcal{S}} | -p \rangle = \exp(iS_\epsilon)$

$$S_\epsilon = S_{\text{CGHS, gravity}} + S_{\text{GH, } \phi_0 \text{ boundary}} + S_{\text{GH, } \infty \text{ infinity}} + S_m \text{ particle} - i \ln \Psi_{\text{out}}^* - i \ln \Psi_{\text{in}}$$

states: $\pm ipr$

$$- S_{\text{free action}} + i\epsilon(T_{\text{int}} - \tau_0) \quad \leftarrow \boxed{\epsilon \rightarrow +0}$$



$$S_\epsilon = -\frac{M - M_{\text{cr}}}{\lambda} \ln \left(1 - \frac{M + i\epsilon'}{M_{\text{cr}}} \right) + \frac{p}{\lambda} \left(1 - \ln \frac{M_{\text{cr}}}{2\lambda} \right)$$

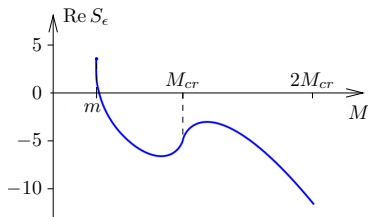
$$- \frac{p}{\lambda} \ln \left(\frac{1}{2} + \frac{Mm^2}{8M_{\text{cr}}p^2} + \frac{p_\times}{2p} \right) + \frac{2M_{\text{cr}}}{\lambda} \ln \left(\frac{4M_{\text{cr}}(p_\times + M) + m^2}{4M_{\text{cr}}(p_\times + M) - m^2} \right)$$

$$+ \frac{M}{\lambda} \ln \left(\frac{4M^3 - 3m^2M + (4M^2 - m^2)p_\times}{(p + M)^3} + \frac{m^2(4M^2 + m^2)}{4M_{\text{cr}}(p + M)^3} \right)$$

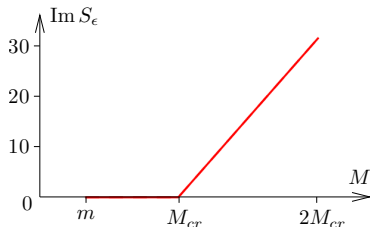
where $p^2 = M^2 - m^2$, $p_\times^2 = (M + m^2/4M_{\text{cr}})^2 - m^2$, $M_{\text{cr}} = 2\lambda e^{-2\phi_0}$

Result: $\langle p | \hat{S} | -p \rangle = \exp(iS_\epsilon)$

- Amplitude:**



$$\text{Im } S_\epsilon = \frac{\pi}{\lambda} (M - M_{cr}) \theta(M - M_{cr})$$



- Probability** $\mathcal{P} \approx e^{-2\text{Im } S_\epsilon} = \begin{cases} 1, & M < M_{cr} \\ e^{-\pi(M-M_{cr})/\lambda}, & M > M_{cr} \end{cases}$

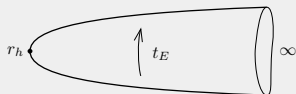
- High energies:** particle \rightarrow BH \rightarrow particle

$$\Rightarrow \mathcal{P} \approx N_{BH}^{-1} = e^{-\Sigma_{BH}}$$

- $\Sigma_{BH} = \frac{M - M_{cr}}{T_H} = \frac{2\pi}{\lambda} (M - M_{cr})$ — BH entropy!

Agrees with Fiola, Preskill, Strominger, Trivedi '94; Myers '94; Hayward '94

Gibbons–Hawking instanton



No points with $\phi = \phi_0$!

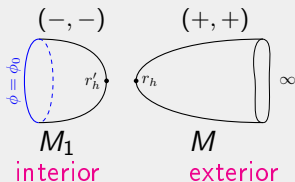
- $\Sigma_{\text{BH}} = \frac{2\pi}{\lambda} M$ — gives this instanton
- $\Sigma_{\text{BH}} = \frac{2\pi}{\lambda} (M - 2\lambda e^{-2\phi_0})$ — our result

Something wrong with Euclidean calculation?

This solution does not give contribution!

Require that the boundary $\phi = \phi_0$ is present

New instanton



- Minimum of S_E at $M_1 = 2\lambda e^{-2\phi_0}$
- $\Sigma_{\text{BH}} = \frac{2\pi}{\lambda} (M - 2\lambda e^{-2\phi_0})$ — agrees!

\Rightarrow We should require that the boundary is present

- We have a method to calculate $\langle \Psi_{\text{out}} | \hat{S} | \Psi_{\text{in}} \rangle$!
- Collapsing solutions do not contribute into \hat{S} .
- But complex ones do!
- Application to shells in 4D gravity: *Bezrukov, DL, Sibiryakov '15*
- Can we test unitarity of \hat{S} ?

Coherent states:

$$\langle a | \hat{I} | b \rangle = e^{\int dk a_k^* b_k} = \sum_c \underbrace{\langle a | \hat{S}^\dagger | c \rangle \langle c | \hat{S} | b \rangle}_{\text{calculate semiclassically!}}$$

BUT: need solutions in field theory!

- Any connection to replica instantons? $\leftarrow \rho_{\text{out}}^n = (\Psi_{\text{out}}^\dagger \Psi_{\text{out}})^n$
replica inst = $2n$ complex solutions?



Thanks for attention!