

# Ghost-Free Theory with Third-Order Time Derivatives

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$$c = \hbar = M_G^2 = 1/(8\pi G) = 1$$

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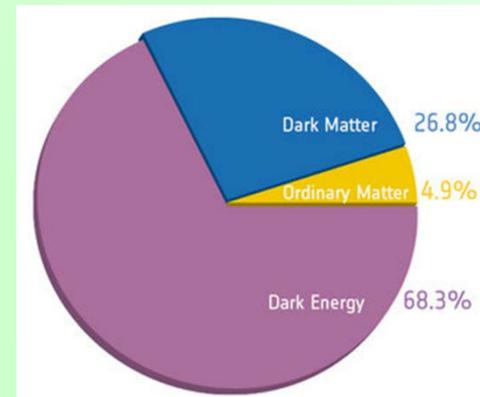
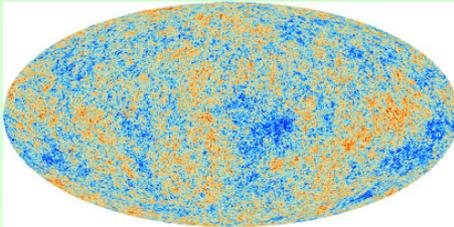
  - Third order (time) derivative system

- **Discussion and conclusions**

# Introduction

# Inflation & dark energy

**Inflation & dark energy** (cosmic acceleration in early and current Universe) are strongly supported by observations.



PLANCK

In order to identify **the inflaton** and the source of dark energy, it is quite useful to consider **the most general models** based on a **scalar tensor theory**.

Then, we can **constrain models (or to single out the true model finally)** from the observational results.

**The following question arises:**

**What is the most general  
scalar-tensor theory without ghost ?**

# How widely can we extend scalar tensor theory ?

- A kinetic term of an inflaton is not necessarily canonical.

$$\mathcal{L} = X - V(\phi), \quad X = -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi \quad \longrightarrow \quad \mathcal{L} = K(\phi, X)$$

**(k-inflation)**  
(Armendariz-Picon et.al. 1999)

- An inflaton is not necessarily minimally coupled to gravity.

$$S = \int d^4x \sqrt{-g} \left( \frac{1}{2}M_G^2 R + \mathcal{L}_\phi \right) \quad \longrightarrow \quad \Delta S = \int d^4x \sqrt{-g} f(\phi) R$$

**(Higgs inflation)**

(Cervantes-Cota & Dehnen 1995, Bezrukov & M. Shaposhnikov 2008)

- Action may include higher derivatives.

(Nicolis et.al. 2009)

$$\mathcal{L} = K(\phi, X) \quad \longrightarrow \quad \Delta\mathcal{L} = G(\phi, X)\square\phi$$

**Theories with higher order derivatives  
are quite dangerous in general.**

# Example with higher order (time) derivatives

●  $L = \frac{1}{2}\ddot{q}^2(t)$   $\longrightarrow$   $q^{(4)} = 0$  requires **4** initial conditions.  
**EL eq.**



**2 (real) DOF**

●  $L_{\text{eq}}^{(1)} = \dot{q}u - \frac{1}{2}u^2$   $\longrightarrow$   $\begin{cases} \ddot{u} = 0, \\ \ddot{q} = u, \end{cases}$   $\longrightarrow$   $q^{(4)} = 0$   
**EL eq.**

$x \equiv \frac{q-u}{\sqrt{2}}, y \equiv \frac{q+u}{\sqrt{2}}$   $\longrightarrow$   $L_{\text{eq}}^{(1)} = -\dot{q}\dot{u} - \frac{1}{2}u^2 = \frac{1}{2}\dot{x}^2 - \frac{1}{2}\dot{y}^2 - \frac{1}{4}(x-y)^2.$

$\longrightarrow$   $H = \frac{1}{2}p_x^2 - \frac{1}{2}p_y^2 + \frac{1}{4}(x-y)^2.$   
 ( $p_x \equiv \dot{x}, p_y \equiv \dot{y}$ )

**2 (real) DOF = 1 healthy & 1 ghost**

●  $L_{\text{eq}}^{(2)} = \frac{1}{2}\dot{Q}^2 + \lambda(Q - \dot{q})$   $\longrightarrow$   $p \equiv \frac{\partial L_{\text{eq}}^{(2)}}{\partial \dot{q}} = -\lambda, P \equiv \frac{\partial L_{\text{eq}}^{(2)}}{\partial \dot{Q}} = \dot{Q}.$

$\longrightarrow$   $H = p\dot{q} + P\dot{Q} - L_{\text{eq}}^{(2)} = \frac{1}{2}P^2 + pQ.$

**Hamiltonian is unbounded through a linear momentum !!**

# Ostrogradski's theorem

(Ostrogradsky 1850)

Assume that  $L = L(\ddot{q}, \dot{q}, q)$  and  $\frac{\partial L}{\partial \ddot{q}}$  depends on  $\ddot{q}$  :

(Non-degeneracy)

→ 
$$\frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) + \frac{d^2}{dt^2} \left( \frac{\partial L}{\partial \ddot{q}} \right) = 0, \implies q^{(4)} = q^{(4)}(q^{(3)}, \ddot{q}, \dot{q}, q).$$

Canonical variables :

$$\begin{cases} q, & p := \frac{\partial L}{\partial \dot{q}} - \frac{d}{dt} \frac{\partial L}{\partial \ddot{q}} \left( = \frac{\partial L_{\text{eq}}}{\partial \dot{q}} \right), \\ Q := \dot{q}, & P := \frac{\partial L}{\partial \ddot{q}} \left( = \frac{\partial L_{\text{eq}}}{\partial \dot{Q}} \right). \end{cases}$$

$$L_{\text{eq}} = L(\dot{Q}, \dot{q}, q) + \lambda(Q - \dot{q})$$

Non-degeneracy  $\Leftrightarrow \ddot{q} = \ddot{q}(q, \dot{q}, \frac{\partial L}{\partial \ddot{q}}) \Leftrightarrow \dot{Q} = \dot{q} = \dot{q}(q, Q, P)$

Hamiltonian:  $H(q, Q, p, P) := p\dot{q} + P\dot{Q} - L$   
 $= pQ + P\ddot{q}(q, Q, P) - L(q, Q, \ddot{q}(q, Q, P)).$

→ **p depends linearly on H so that no system of this form can be stable !!**

**N.B.** 
$$\frac{\partial L}{\partial \phi} - \partial_\mu \left( \frac{\partial L}{\partial (\partial_\mu \phi)} \right) + \partial_\mu \partial_\nu \left( \frac{\partial L}{\partial (\partial_\mu \partial_\nu \phi)} \right) = 0. \implies \frac{i}{(p^2 + m_1^2)(p^2 + m_2^2)} = \frac{1}{m_2^2 - m_1^2} \left( \frac{i}{p^2 + m_1^2} - \frac{i}{p^2 + m_2^2} \right).$$
  
 (propagators)

**How to circumvent Ostrogradsky's arguments to obtain healthy higher order derivative theories ?**

# Loophole of Ostrogradski's theorem

We can **break the non-degeneracy condition** which requires that  $\frac{\partial L}{\partial \ddot{q}}$  depends on  $\ddot{q}$ .

(NB: another interesting possibility is infinite derivative theory)

In case Lagrangian depends on only **a position  $q$  and its velocity  $\dot{q}$** , **degeneracy** implies that **EOM is first order**, which represents not the dynamics but **the constraint**.



In case Lagrangian depends on  **$q$ ,  $\dot{q}$ ,  $\ddot{q}$** , degeneracy implies that **EOM can be (more than) second order**, which can represent the **dynamics**.

# Generalized Galileon = Horndeski

Deffayet et al. 2009, 2011

equivalence

Horndeski 1974

Kobayashi, MY, Yokoyama 2011

$$\mathcal{L}_2 = K(\phi, X)$$

$$\mathcal{L}_3 = -G_3(\phi, X) \square \phi,$$

$$\mathcal{L}_4 = G_4(\phi, X) R + G_{4X} \left[ (\square \phi)^2 - (\nabla_\mu \nabla_\nu \phi)^2 \right],$$

$$\mathcal{L}_5 = G_5(\phi, X) G_{\mu\nu} \nabla^\mu \nabla^\nu \phi$$

$$-\frac{1}{6} G_{5X} \left[ (\square \phi)^3 - 3 (\square \phi) (\nabla_\mu \nabla_\nu \phi)^2 + 2 (\nabla_\mu \nabla_\nu \phi)^3 \right].$$

$$X = -\frac{1}{2} (\nabla \phi)^2, \quad G_{iX} \equiv \partial G_i / \partial X.$$

This is **the most general scalar tensor theory whose Euler-Lagrange EOMs are up to second order** though the action includes second derivatives.

Many of inflation and dark energy models can be understood in a unified manner.

**NB :** ●  $G_4 = M_G^2 / 2$  yields the Einstein-Hilbert action

●  $G_4 = f(\phi)$  yields a non-minimal coupling of the form  $f(\phi)R$

● The new Higgs inflation with  $G^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$  comes from  $G_5 \propto \phi$  after integration by parts.

# Beyond Horndeski theory

**Gleyzes, Langlois, Piazza, and Vernizzi (GLPV)** pointed out that there is extended theory with **the number of propagating degrees of freedom unchanged, even though apparent EOMs of the theory are higher (third) order.**

(Gleyzes et al. 2014, Zumalacarregui & Garcia-Bellido 2014)

**Noui and Langlois** pointed out the importance of **degeneracy of kinetic matrix** of terms with different order derivatives and proposed **degenerate higher order scalar-tensor (DHOST) theory.**

(Noui & Langlois 2016, etc)

Another direction is to consider **infinitely many higher derivatives (nonlocal theory).** (Barnaby & Kamran 2008, References therein)

e.g.  $e^{-\square/\Lambda^2} (\square - m^2)\phi = 0.$    $\frac{i}{e^{p^2/\Lambda^2}(p^2 + m^2)}$  **Only a pole of propagator appears with  $p^2 = m^2$ .**

(Biswas, A. Mazumdar, W. Siegel 2006)

We are interested in an issue whether **further extension is possible.**

**As far as I know, all of the (finite) higher order derivative theories without ghosts include up to second time derivatives.**

**(Xian Gao proposed arbitrary higher spatial derivative theory in 2014.)**

**What happens if we consider  
“third” order time derivative theories ?  
(Is it straightforward extension of second  
order time derivative theories ???)**

**Healthy degenerate theories with  
second order derivatives for point particles**

**(as a first step with keeping in mind  
future extension to scalar-tensor theory)**

# Lagrangian up to second order derivatives

(Motohashi, Noui, Suyama, MY, Langlois. 2016)

$$L(\ddot{\phi}^a, \dot{\phi}^a, \phi^a; \dot{q}^i, q^i) \quad (a = 1, \dots, n; \quad i = 1, \dots, m)$$

{ Variables with **second** order derivatives:  $(\phi^a)$   
Variables with **first** order derivatives:  $(q^i)$

$\phi^a$  and  $q^i$  generically obey **fourth** order and **second** order equations of motion, respectively  $\rightarrow$  **Ostrogradsky instability**

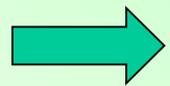
Let us derive the conditions to escape such an instability by Hamiltonian analysis, starting from the **equivalent Lagrangian**:

$$L_{eq}^{(1)}(\dot{Q}^a, Q^a; \dot{\phi}^a, \phi^a; \dot{q}^i, q^i, \lambda_a) \equiv L(\overset{\uparrow}{\dot{Q}^a}, \overset{\uparrow}{Q^a}, \phi^a; \dot{q}^i, q^i) + \lambda_a(\dot{\phi}^a - Q^a).$$

# Hamiltonian analysis

$$L_{eq}^{(1)}(\dot{Q}^a, Q^a; \dot{\phi}^a, \phi^a; \dot{q}^i, q^i, \lambda_a) \equiv L(\dot{Q}^a, Q^a, \phi^a; \dot{q}^i, q^i) + \lambda_a(\dot{\phi}^a - Q^a).$$

(3n+m) canonical variables :  $Q^a, \phi^a, q^i, \lambda^a$

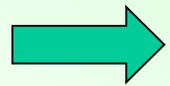


(3n+m) canonical momenta :  $P_a, \pi_a, p_i, \rho_a$



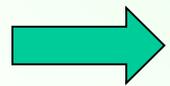
(4n+2m)  
phase DOF  
n ghosts!!

$$P_a = \frac{\partial L}{\partial \dot{Q}^a} \equiv L_{\dot{Q}^a}, \quad \pi_a = \frac{\partial L_{eq}^{(1)}}{\partial \dot{\phi}^a} = \lambda_a, \quad p_i = \frac{\partial L}{\partial \dot{q}^i} \equiv L_{\dot{q}^i}, \quad \rho_a = \frac{\partial L_{eq}^{(1)}}{\partial \dot{\lambda}^a} = 0.$$



Two sets of n primary constraints:

$$\Phi_a = \pi_a - \lambda_a \approx 0, \quad \Psi_a = \rho_a \approx 0,$$



$$H = H_0 + \pi_a Q^a \quad \text{with} \quad H_0 = P_a \dot{Q}^a + p_i \dot{q}^i - L(\dot{Q}^a, Q^a, \phi^a; \dot{q}^i, q^i)$$

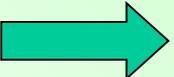
$\pi^a$  appears linearly in Hamiltonian and hence it is unbounded if the system is nondegenerate without further primary constraints.

# Degenerate Lagrangian

For **healthy** theories, we have to eliminate **n** DOF from constraints

$$\begin{pmatrix} \delta P_a \\ \delta p_i \end{pmatrix} = K \begin{pmatrix} \delta \dot{Q}^b \\ \delta \dot{q}^j \end{pmatrix}, \quad K \equiv \begin{pmatrix} L_{\dot{Q}^a \dot{Q}^b} & L_{\dot{Q}^a \dot{q}^j} \\ L_{\dot{q}^i \dot{Q}^b} & L_{\dot{q}^i \dot{q}^j} \end{pmatrix} = \begin{pmatrix} L_{ab} & L_{aj} \\ L_{ib} & L_{ij} \end{pmatrix}$$

Kinetic matrix must be **degenerate** !!

  $L_{ab} - L_{ai} L^{ij} L_{jb} = 0$  (First DC)

Assume  $\det L_{ij} \neq 0$  ( $q^i$  is a normal variable)

$$\therefore \left( \det K = \det(L_{ab} - L_{ai} L^{ij} L_{jb}) \det L_{ij} \right)$$

$\uparrow$  (all **n** eigenvalues are **zero**)
 $\uparrow$  (**m** **non-zero** eigenvalues)

  $\Xi_a \equiv P_a - F_a(p_i, Q^b, \phi^b, q^i) \approx 0.$

Assume  $\det L_{ij} \neq 0$

(Additional primary constraints)

# Additional primary constraints

(Motohashi & Suyama 2014)

$$\Xi_a \equiv P_a - F_a(p_i, Q^b, \phi^b, q^i) \approx 0.$$

$$\longrightarrow H_T = H(P_a, p_i, \pi_a, Q^a, q^i, \phi^a) + \mu^a \Phi_a + \nu^a \Psi_a + \xi^a \Xi_a$$

( $\Phi_a = \pi_a - \lambda_a \approx 0$ ,  $\Psi_a = \rho_a \approx 0$ )

$$\longrightarrow \begin{cases} \dot{\Phi}_a = \{\Phi_a, H\} - \nu^a + \xi^b \partial F_b / \partial \phi^a \approx 0, \\ \dot{\Psi}_a = \mu^a \approx 0, & (\{\Phi_a, \Xi_b\} = \partial F_b / \partial \phi^a) \longrightarrow \text{Fix } \mu^a \text{ \& } \nu^a \\ \dot{\Xi}_a = \{\Xi_a, H\} + \xi^b \underbrace{\{\Xi_a, \Xi_b\}}_{\text{Mab}} \approx 0. \end{cases}$$

If  $\det \text{Mab} \neq 0$ , all  $\xi^a$  are fixed and **no secondary constraints**.

$\longrightarrow$  **Not sufficient number of constraints** to eliminate all ghosts.

$\longrightarrow$  Simplest case for **healthy theory** : **Mab = 0 (second DC)**

$$\longrightarrow \Theta_a \equiv \{\Xi_a, H\} = -\pi_a + \{\Xi_a, H_0\} - \partial F_a / \partial \phi_b Q^b \approx 0$$

(**New constraint**, which **fixes all  $\pi_a$**  in terms of the other phase space variables)

# Summary of second order system

$$L(\ddot{\phi}^a, \dot{\phi}^a, \phi^a; \dot{q}^i, q^i)$$

$$\longleftrightarrow L_{eq}^{(1)}(\dot{Q}^a, Q^a; \dot{\phi}^a, \phi^a; \dot{q}^i, q^i, \lambda_a) \equiv L(\dot{Q}^a, Q^a, \phi^a; \dot{q}^i, q^i) + \lambda_a(\dot{\phi}^a - Q^a).$$

$$\left\{ \begin{array}{l} (3n+m) \text{ canonical variables : } \mathbf{Q^a, \phi^a, q^i, \lambda^a} \\ (3n+m) \text{ canonical momenta : } \mathbf{P_a, \pi_a, p_i, \rho_a} \end{array} \right. \longrightarrow \begin{array}{l} (2n+2m) \\ \text{phase DOF} \\ \text{no ghosts!!} \end{array}$$

$$\bullet \text{ First DC : } L_{ab} - L_{ai}L^{ij}L_{jb} = 0 \quad \left[ \begin{pmatrix} \delta P_a \\ \delta p_i \end{pmatrix} = K \begin{pmatrix} \delta Q^b \\ \delta q^j \end{pmatrix}, \quad K \equiv \begin{pmatrix} L_{\dot{Q}^a \dot{Q}^b} & L_{\dot{Q}^a \dot{q}^j} \\ L_{\dot{q}^i \dot{Q}^b} & L_{\dot{q}^i \dot{q}^j} \end{pmatrix} = \begin{pmatrix} L_{ab} & L_{aj} \\ L_{ib} & L_{ij} \end{pmatrix} \right]$$

$$\xrightarrow{\text{Assume } \det L_{ij} \neq 0} \Xi_a \equiv P_a - F_a(p_i, Q^b, \phi^b, q^i) \approx 0. \quad (\text{primary constraints})$$

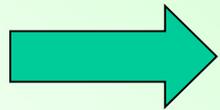
$$\bullet \text{ Second DC : } M_{ab} = \{\Xi_a, \Xi_b\} = -\frac{\partial F_a}{\partial Q^b} + \frac{\partial F_b}{\partial Q^a} + \frac{\partial F_a}{\partial q_i} \frac{\partial F_b}{\partial p_i} - \frac{\partial F_a}{\partial p_i} \frac{\partial F_b}{\partial q_i} = 0.$$

$$\xrightarrow{\quad} \Theta_a \equiv \{\Xi_a, H\} = -\pi_a + \{\Xi_a, H_0\} - \partial F_a / \partial \phi_b Q^b \approx 0 \quad (\text{secondary CS})$$

$$H = H_0 + \pi_a Q^a \quad \text{with} \quad H_0 = P_a \dot{Q}^a + p_a \dot{q}^a - L(\dot{Q}^a, Q^a, \phi^a; \dot{q}^i, q^i)$$

$$\text{EOMs : } (L_{ab} - L_{ai}L^{ij}L_{jb})\phi^{b(4)} + M_{ab}\phi^{b(3)} + \dots = 0 \longrightarrow \text{second order}$$

**Is the extension to third order (time)  
derivative system straightforward ???  
No essential difference ???**



**There is big difference !!**

# Quadratic model with third order derivatives

(Motohashi, Suyama, MY 2018)

$$\begin{aligned}
 L = & \frac{a_{nm}}{2} \ddot{\psi}^n \ddot{\psi}^m + \frac{b_{nm}}{2} \ddot{\psi}^n \ddot{\psi}^m + \frac{c_{nm}}{2} \dot{\psi}^n \dot{\psi}^m \\
 & + \frac{d_{nm}}{2} \psi^n \psi^m + e_{nm} \ddot{\psi}^n \ddot{\psi}^m + f_{nm} \dot{\psi}^n \dot{\psi}^m \\
 & + \frac{A_{ij}}{2} \dot{q}^i \dot{q}^j + \frac{B_{ij}}{2} q^i q^j + C_{ij} \dot{q}^i q^j + \alpha_{ni} \ddot{\psi}^n \dot{q}^i.
 \end{aligned}$$

$(n = 1, \dots, N)$   
 $(i = 1, \dots, I)$

$$(\psi^n = \psi^n(t), \quad q^i = q^i(t), \quad a_{nm}, b_{nm}, \dots : \text{const}, \quad \det A_{ij} \neq 0)$$

$$\longleftrightarrow L_{\text{eq}} = L(\overset{\uparrow}{\dot{\psi}^n}, \overset{\uparrow}{Q^n}, \overset{\uparrow}{R^n}, \psi^n, \dot{q}^i, q^i) + \xi_n(\psi^n - R^n) + \lambda_n(\dot{R}^n - Q^n).$$

**Canonical momenta for  $(Q^n, R^n, \psi^n, q^i, \lambda_n, \xi_n)$  :**

$$\begin{aligned}
 P_{Q^n} &= a_{nm} \dot{Q}^m + \alpha_{ni} \dot{q}^i + e_{nm} Q^m, & P_{R^n} &= \lambda_n, \quad \pi_{\psi^n} = \xi_n, \\
 p_i &= \alpha_{ni} \dot{Q}^n + A_{ij} \dot{q}^j + C_{ij} q^j, & \rho_{\lambda_n} &= 0, \quad \rho_{\xi_n} = 0.
 \end{aligned}$$

**Primary constraints**

# Linear dependence of momenta on Hamiltonian

$$\left\{ \begin{array}{l} \text{Canonical variables : } (Q^n, R^n, \psi^n, q^i, \lambda_n, \xi_n) \\ \text{Canonical momenta : } (P_{Q^n}, P_{R^n}, \pi_{\psi^n}, p^i, \rho_{\lambda_n}, \rho_{\xi_n}) \end{array} \right. \quad \begin{array}{l} \text{primary constraints} \\ \swarrow \end{array}$$

$$\longrightarrow H = H_0 + P_{R^n} Q^n + \pi_{\psi^n} R^n.$$

$$\left( H_0 = \frac{1}{2} A^{ij} \tilde{p}_i \tilde{p}_j - \frac{1}{2} b_{nm} Q^n Q^m - \frac{1}{2} c_{nm} R^n R^m - \frac{1}{2} d_{nm} \psi^n \psi^m - f_{nm} Q^n R^m - \frac{1}{2} B_{ij} q^i q^j : \text{no dependence on } P_{R^n}, \pi_{\psi^n} \right)$$

Due to the **linear** dependence of **momenta**,  
Hamiltonian is **unbounded !!**

According to Ostrogradsky arguments and the lesson from second order derivative system, we expect that we have only to **remove this linear momentum dependence** for a healthy theory.

# Conditions for a healthy theory

$$H = H_0 + P_{R^n} Q^n + \pi_{\psi^n} R^n.$$

- **First DC :**  $a_{nm} - \alpha_{ni} A^{ij} \alpha_{mj} = 0.$  (kinetic matrix is degenerate)

Assume  $\det A_{ij} \neq 0$    $\Psi_n \equiv P_{Q^n} - e_{nm} Q^m - A^{ij} \alpha_{nj} \tilde{p}_i \approx 0.$  (primary constraint)

- **Second DC :**  $\{\Psi_n, \Psi_m\} = -2[e_{nm} + \alpha_{ni} (A^{-1} C A^{-1})^{ij} \alpha_{mj}] = 0.$

  $\Upsilon_n \equiv -\{\Psi_n, H\} = P_{R^n} - b_{nm} Q^m + \dots \approx 0,$  (secondary CS)

- **Third DC :**  $\{\Upsilon_n, \Psi_m\} = -b_{nm} - \alpha_{ni} [(4\bar{C}^2 + \bar{B}) A^{-1}]^{ij} \alpha_{mj} = 0.$

  $\Lambda_n \equiv -\{\Upsilon_n, H\} = \pi_{\psi^n} + 2f_{nm} Q^m + \dots \approx 0,$  (tertiary CS)

Now, the linear dependences are completely eliminated !!

No ghost (no instability) ???

# The ghosts still lurk in the Hamiltonian

After erasing  $P_{R^n}, b_{nm}, \pi_{\psi^n}$  using DCs and constraints, the Hamiltonian  $H$  reduces to

$$H = \frac{1}{2}A^{ij}\bar{p}_i\bar{p}_j - \frac{1}{2}B_{ij}\bar{q}^i\bar{q}^j + \frac{1}{2}c_{nm}R^n R^m - \frac{1}{2}d_{nm}\psi^n\psi^m \\ + \alpha_{ni}[(4\bar{C}^2 + \bar{B})A^{-1}]^{ij}\bar{p}_j R^n - 2\alpha_{ni}(\bar{C}\bar{B})^i_j\bar{q}^j R^n \\ - 2[f_{nm} + 4\alpha_{ni}(\bar{C}^3 A^{-1})^{ij}\alpha_{mj}]Q^m R^n,$$

$$(\bar{p}_i \equiv p_i - C_{ik}q^k + 2\alpha_{nk}A^{kl}C_{li}Q^n, \quad \bar{q}^i \equiv q^i + \alpha_{nk}A^{ki}Q^n)$$

$Q^n (= \dot{\psi}^n)$  appears only **linearly** in  $H$ ,  
making the **Hamiltonian  $H$  unbounded !!**

Eliminating the **linear momentum terms** is always **necessary** to kill the Ostrogradsky ghosts, but is **not sufficient** for **higher-than-second-order derivative system**.



**Needs to fix  $Q^n$  as well.**

# Healthy theory

**Canonical variables :**  $(\cancel{Q}^n, R^n, \psi^n, q^i, \cancel{\lambda}_n, \cancel{\xi}_n)$  primary constraints  
 $\uparrow \dot{\psi}^n \quad \uparrow \dot{\psi}^n$

**Canonical momenta :**  $(\cancel{P}_Q^n, \cancel{P}_R^n, \cancel{\pi}_\psi^n, p^i, \cancel{\rho}_\lambda^n, \cancel{\rho}_\xi^n)$

$$H = H_0 + P_{R^n} Q^n + \pi_{\psi^n} R^n. \quad \text{secondary \& tertiary CS}$$

● **Fourth DC :**  $\{\Lambda_n, \Psi_m\} = 2(f_{nm} - \alpha_{ni} M^{ij} \alpha_{mj}) = 0.$

➡  $\Omega_n \equiv -\{\Lambda_n, H\} = c_{nm} Q^m - d_{nm} \psi^m + \dots \approx 0, \quad \text{(quaternary CS)}$

**$Q^n$  is now fixed (and expressed in terms of other variables).**

➡ **Only healthy DOFs  $(\psi^n, R^n (= \dot{\psi}^n), q^i, p^i)$  remain.**

**Hamiltonian is bounded and no ghosts.**

➡ **EOMs can be reduced to the **second order** system**

# Dirac matrix

$$D = \begin{array}{c|cccccc} & \overbrace{\Phi_\beta \quad \bar{\Phi}_\beta}^{\text{C1}} & \Psi_m & \Omega_m & \Upsilon_m & \Lambda_m \\ \hline \Phi_\alpha & 0 & -\mathbf{1} & * & * & * & * \\ \bar{\Phi}_\alpha & \mathbf{1} & 0 & 0 & 0 & 0 & 0 \\ \Psi_n & * & 0 & 0 & -Z_{mn} & 0 & 0 \\ \Omega_n & * & 0 & Z_{nm} & * & * & * \\ \Upsilon_n & * & 0 & 0 & * & 0 & Z_{mn} \\ \Lambda_n & * & 0 & 0 & * & -Z_{nm} & * \end{array}$$

Condition to complete Dirac procedure :

$$\det Z_{nm} = \det\{\Omega_n, \Psi_m\} \neq 0$$

➡  $\det D \neq 0$  ➡ All constraints are second class

➡ Healthy  $(N+I)$  DOFs.

# Summary

- We have investigated how to obtain **healthy degenerate theory with higher-than-second derivative**.
- Eliminating **linear momentum** terms in the Hamiltonian is **necessary and sufficient** to kill the ghosts for **second** order derivative system.
- On the other hand, this is necessary but **not sufficient** for the Lagrangian with **higher than second-order** derivatives. The **(lurked) linear** dependence of **canonical variables corresponding to second (even higher) derivatives** must be removed as well.