



# Recent results from the T2K experiment

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on behalf of the T2K Collaboration



XXth International Seminar on High Energy Physics



# Outline

- Neutrino oscillations
- T2K experiment
- T2K analysis
- Recent results
- Near/far future
- Summary

# Neutrino mixing (reminder)

- ✓ Neutrinos of 3 flavors take part in the weak interactions:  $\nu_e$  ( $\bar{\nu}_e$ ),  $\nu_\mu$  ( $\bar{\nu}_\mu$ ),  $\nu_\tau$  ( $\bar{\nu}_\tau$ ); no definite mass;
- ✓ 3 flavor fields are linear combinations of 3 fields of massive neutrinos  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$  (*Dirac or Majorana*);
- ✓ The mixing can be described by the PMNS matrix (*Dirac case*) :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{"solar"}} \underbrace{\begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix}}_{\text{"reactor'}} \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix}}_{\text{"atmospheric'}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

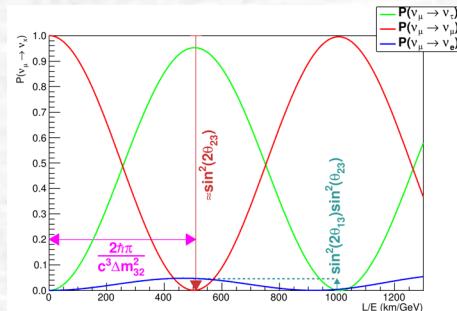
PMNS ( $\equiv$  Pontecorvo-Maki-Nakagawa-Sakata) matrix

# Neutrino oscillations

## (for Dirac neutrinos)

C. Patrignani et al. (**PDG**), Chin. Phys. C, **40**, 100001 (**2016**) and 2017 update:

- “Appearance” probability  $P(\nu_\alpha \rightarrow \nu_\beta)$  depends on
- ✓ **3 mixing angles  $\theta_{ij}$ ;**
- ✓ **1 CPV phase  $\delta_{CP}$ ;**
- ✓ **2 mass differences  $\Delta m^2_{ij}$ ;**
- ✓ **mass hierarchy**  
(ordering);
- ✓ neutrino energy **E** and path length **L**



$$\sin^2\theta_{23} = 0.51 \pm 0.04 \text{ (NH)}$$

$$\sin^2\theta_{13} = 0.0210 \pm 0.0011$$

$$\sin^2\theta_{12} = 0.307 \pm 0.013$$

$$\Delta m^2_{ij} \equiv m_i^2 - m_j^2, i \neq j$$

$$\Delta m^2_{32} = (2.45 \pm 0.05) \cdot 10^{-3} \text{ eV}^2 \text{ (NH)}$$

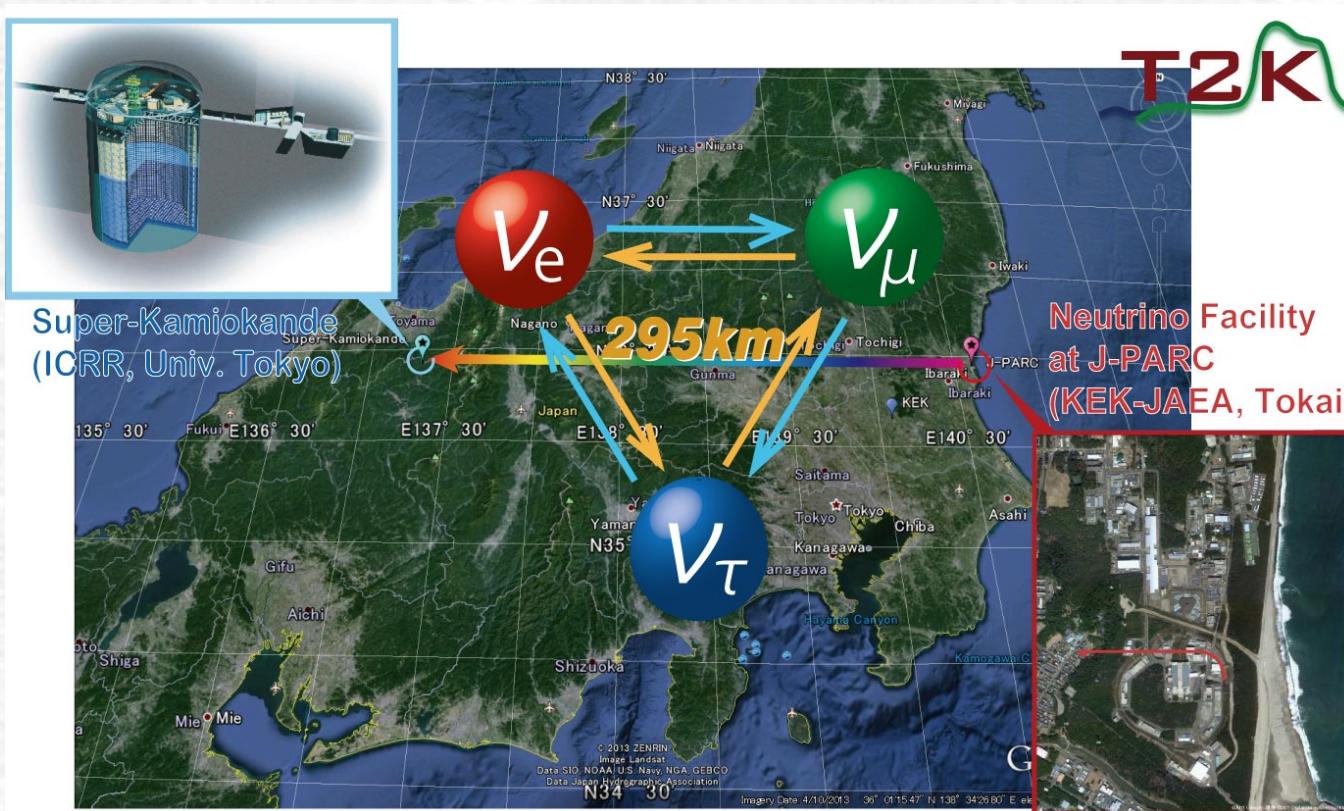
$$\Delta m^2_{21} = (7.53 \pm 0.18) \cdot 10^{-5} \text{ eV}^2$$

Currently unknown:

- CP-violation:  $\delta_{CP} = ?$
- Mass Hierarchy (Ordering):  
 $m_3 > m_2 > m_1$  (**NH**) or  
 $m_2 > m_1 > m_3$  (**IH**)?
- $\theta_{23}$  octant:  $>45^\circ$  or  $<45^\circ$ ?  
[NH/IH = normal/inverted hierarchy]



# T2K Experiment



T2K = Tokai-to-Kamioka  
long-baseline accelerator neutrino experiment

# T2K history and QUARKS



**Sergiev Posad, QUARKS-2008;** Yu. Kudenko: commissioning of J-PARC, construction of the near detectors;



**Kolomna, QUARKS-2010;** J. Kameda: start of data taking, first neutrinos;



**Yaroslavl, QUARKS-2012;** M. Khabibullin: indication of  $\nu_\mu \rightarrow \nu_e$  oscillations ( $3.2\sigma$ );  $2.6 \times 10^{20}$  POT (protons-on-target);

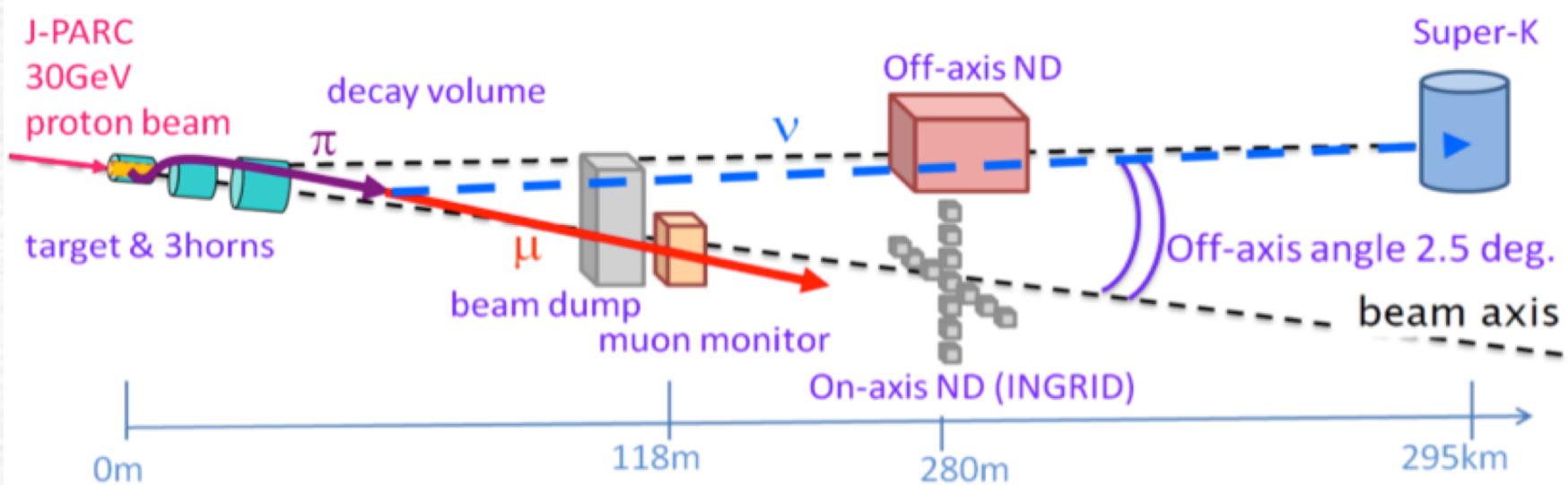


**Suzdal, QUARKS-2014;** Yu. Kudenko: observation of  $\nu_\mu \rightarrow \nu_e$  ( $7.3\sigma$ );  $6.5 \times 10^{20}$  POT; first constraints of  $\delta_{CP}$ ; start running in  $\bar{\nu}$ -mode;



**Pushkin, QUARKS-2016;** M. Lawe: first results in  $\bar{\nu}$ -mode;  $13.8 \times 10^{20}$  POT ( $\nu$ :51.5%;  $\bar{\nu}$ :48.5%)

# T2K Setup



Protons hit the **target**: graphite rod ( $\varnothing 26 \text{ mm} \times 914 \text{ mm}$  long,  $1.8 \text{ g/cm}^3$ )

$$p + C \rightarrow \pi^{+/-} + X$$

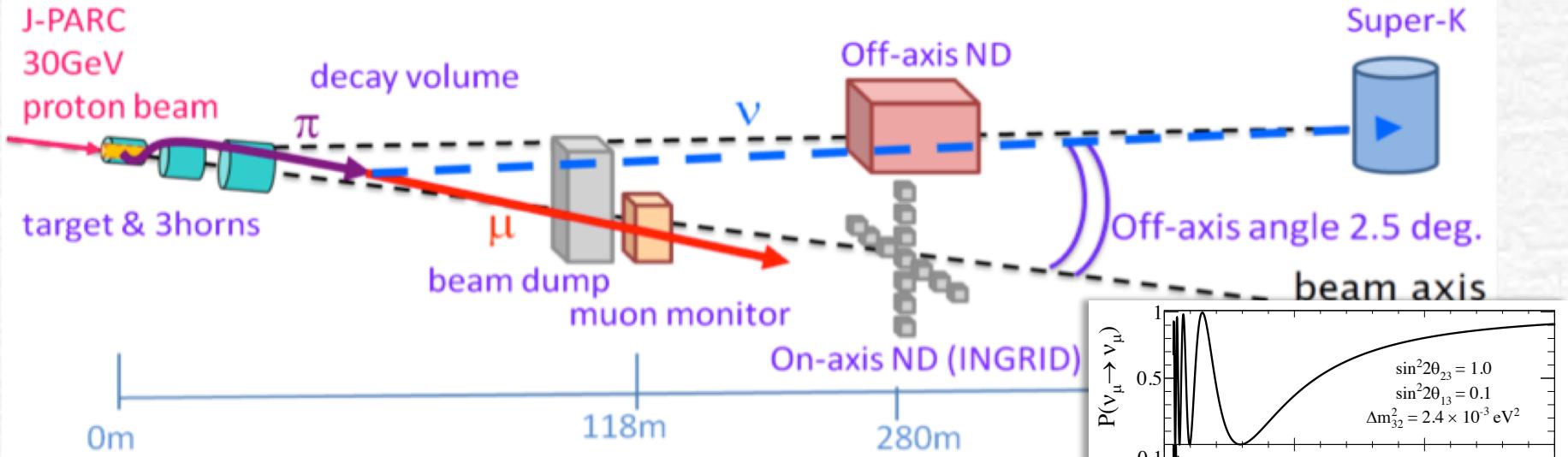
**Three horns** (+/- 250 kA): collect and focus positive/negative pions

**Decay Volume** (96 m long, He ~1 atm.):  $\pi^+ \rightarrow \mu^+ + \nu_\mu$ ;  $\pi^- \rightarrow \mu^- + \bar{\nu}_\mu$ ;

**Beam Dump**: stops all the hadrons and muons with  $p_\mu < 5 \text{ GeV/c}$

**Muon Monitors** (ion. chambers and Si PIN diodes): measure the intensity and profile of the muons ( $p_\mu > 5 \text{ GeV/c}$ ) on the bunch-by-bunch basis

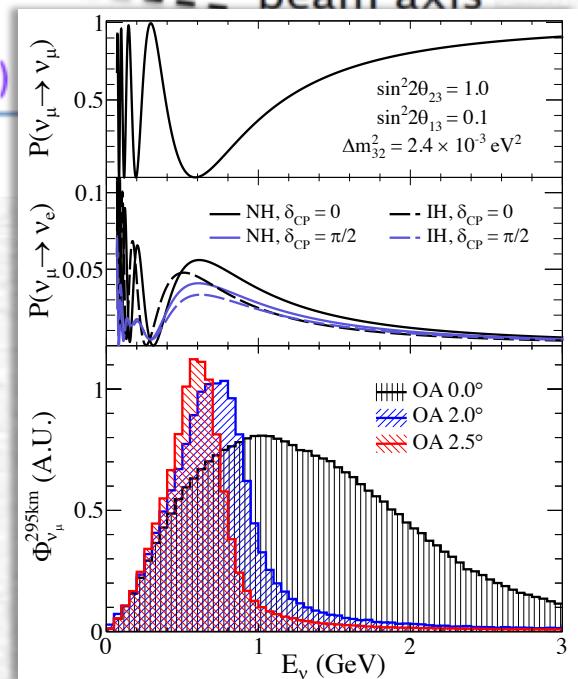
# T2K Setup: off-axis beam



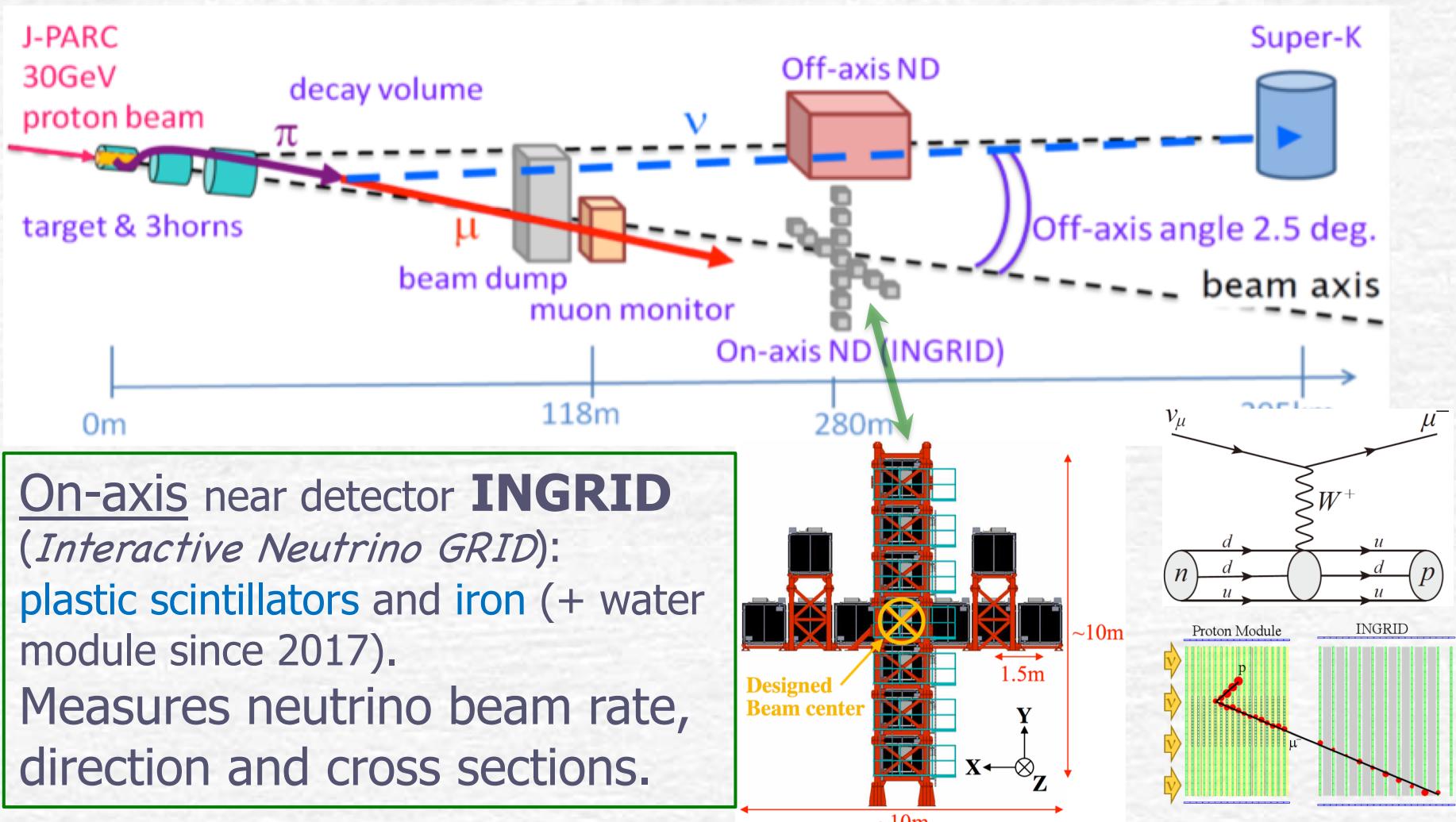
Off-axis idea: at small angles neutrinos have almost mono-energetic spectra

Off-axis angle can be tuned to get the maximal oscillation:

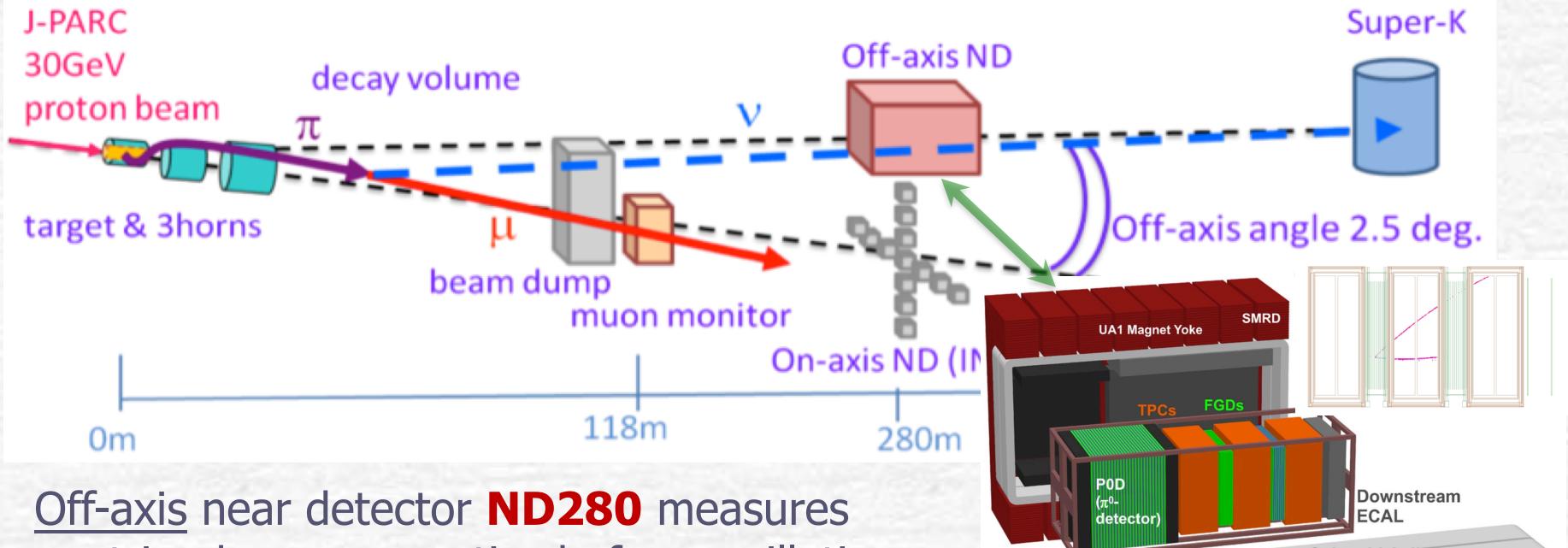
- $2.5^\circ$  is best for  $L = 295$  km and  $E_\nu = 600$  MeV
- suppression of the high energy tail



# T2K near detectors: on-axis



# T2K near detectors: off-axis

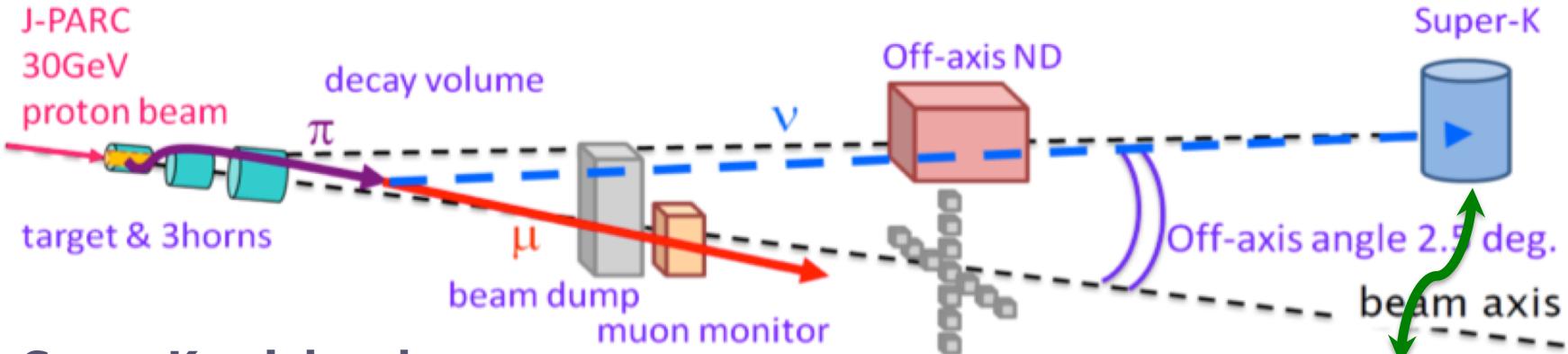


Off-axis near detector **ND280** measures neutrino beam properties before oscillations; constrains flux and cross-section parameters

- Tracker: Magnet (0.2 T)+3**TPCs**+2 **FGDs**
- **FGD1**: plastic scintillator; **FGD2**: +water
- P0D; ECaL; SMRD

[*TPC = Time Projection Chamber; FGD = fine-grained detector;  
P0D =  $\pi^0$  detector; ECaL = E/M Calorimeter; SMRD = Side Muon Range Detector* ]

# T2K far detector: Super-K



## Super-Kamiokande:

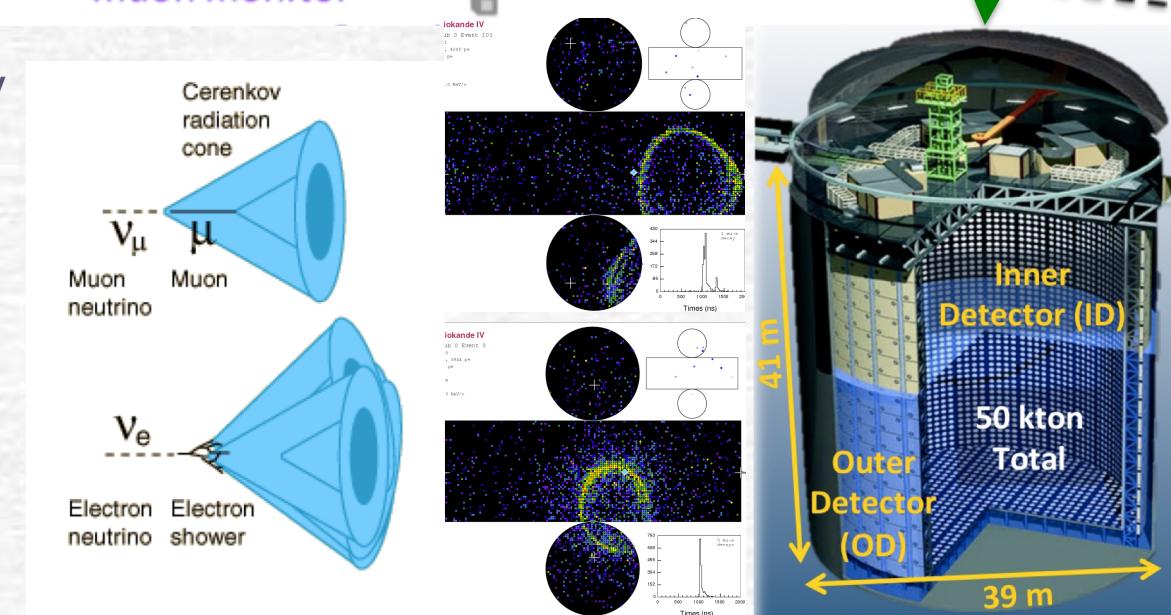
- ✓ 50 kT water Cherenkov detector @ 295 km;
- ✓  $>99\%$   $\mu/e$  separation;
- ✓ GPS synchronization with the J-PARC beam

## Inner Detector (ID):

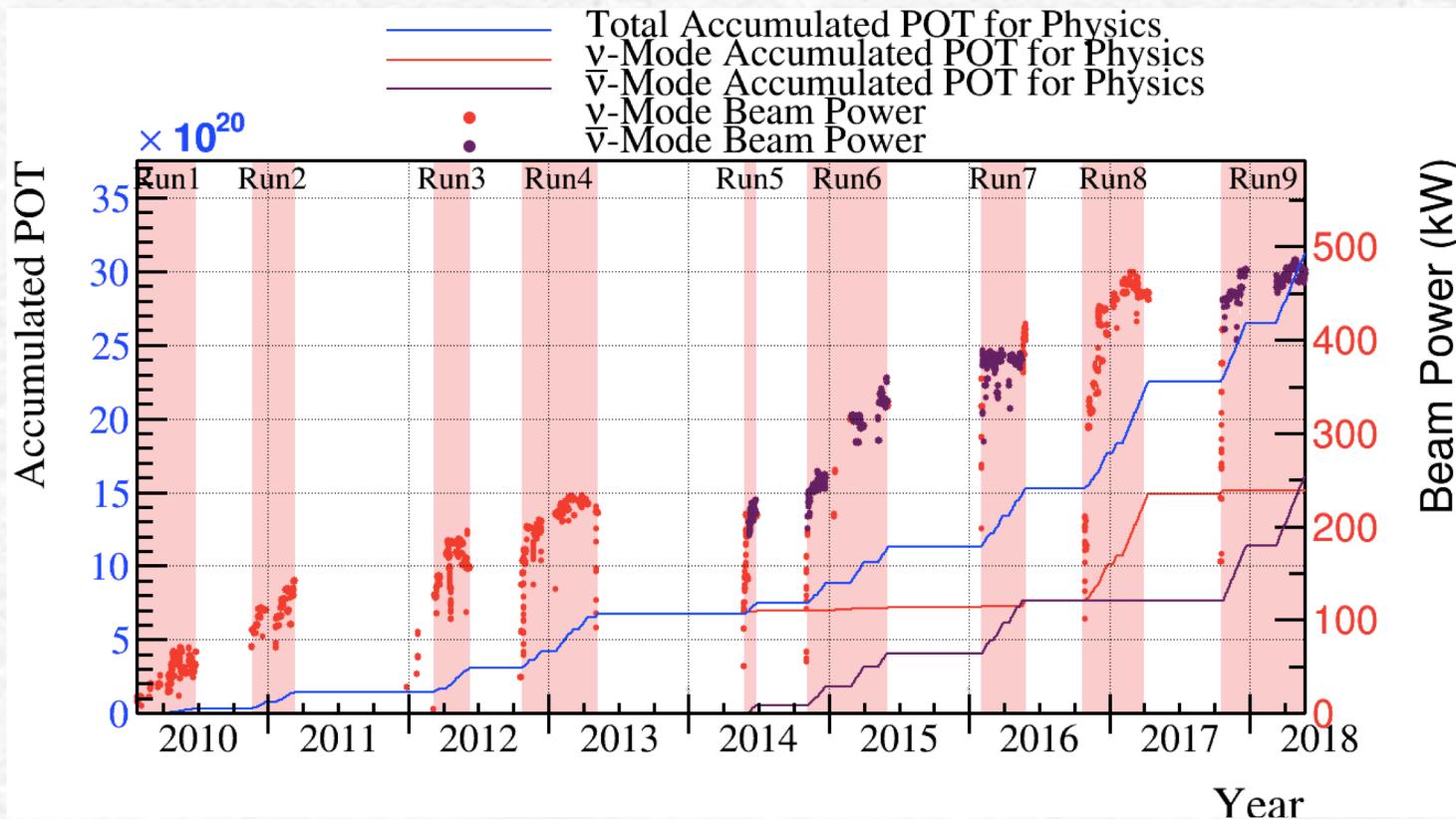
- ✓  $>11000$  PMTs (50 cm)
- ✓ 40% photo-coverage

## Outer Detector (OD):

- ✓  $\sim 2000$  PMTs (20 cm)



# T2K data (2010-2018)



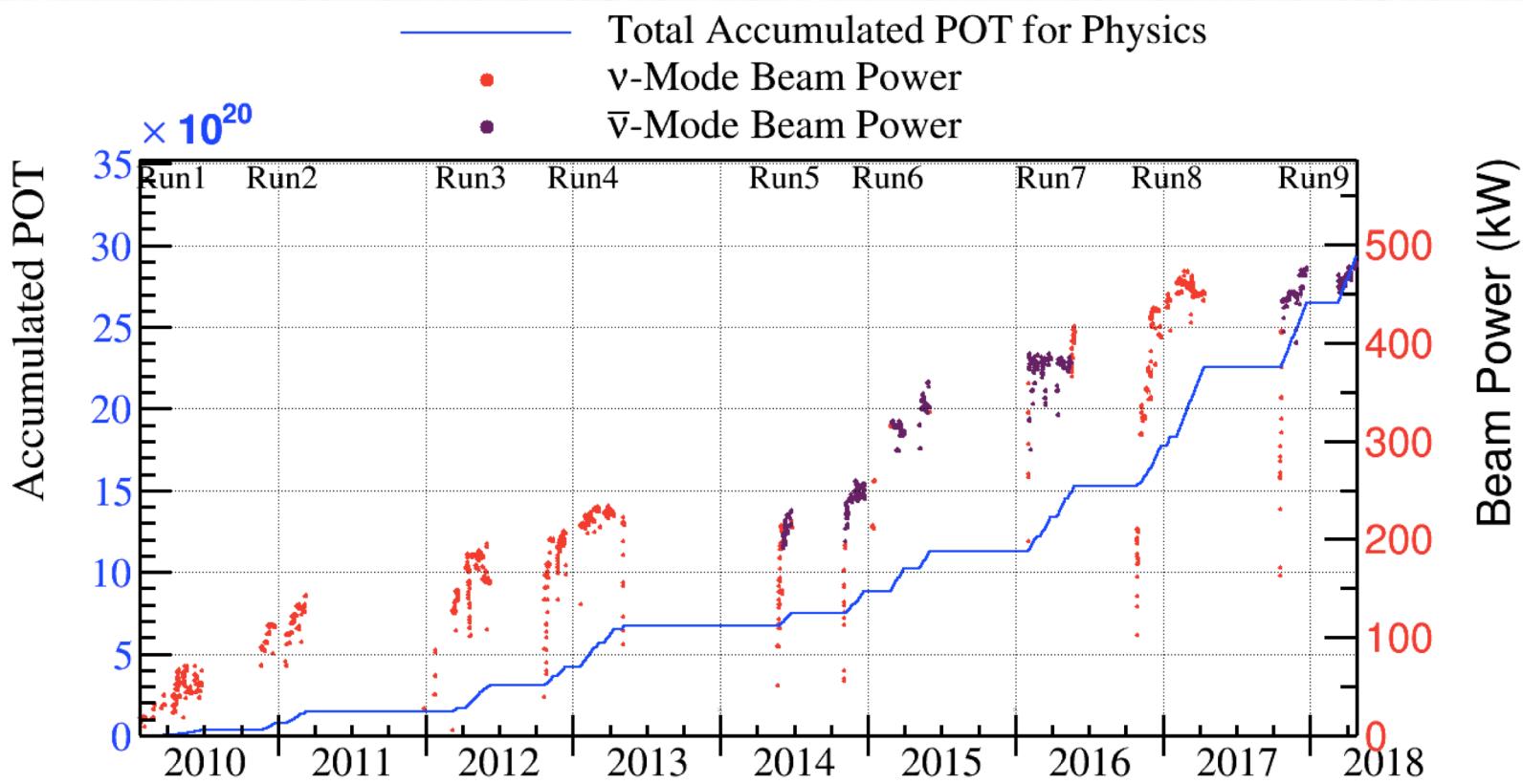
Current T2K statistics (end of May 2018):  $\sim 31.2 \times 10^{20}$  protons-on-target (POT)

**This talk:**  $22.3 \times 10^{20}$  POT

$14.7 \times 10^{20}$  POT in neutrino mode

$7.6 \times 10^{20}$  POT in antineutrino mode

# T2K data (2010-2018)



Current T2K statistics (end of May 2018):  $\sim 30.0 \times 10^{20}$  protons-on-target (POT)

**This talk:**  $14.7 \times 10^{20}$  POT in neutrino mode

$7.6 \times 10^{20}$  POT in antineutrino mode



# T2K oscillation analysis

Multi-step analysis:

- ✓ Prediction of neutrino flux at Super-K
- ✓ Near detector constraint of the flux and neutrino interaction parameters
- ✓ Selection and reconstruction of the Super-K data (new algorithm)
- ✓ Comparison of the observed Super-K data to predictions and retrieving oscillation parameters



# T2K oscillation analysis

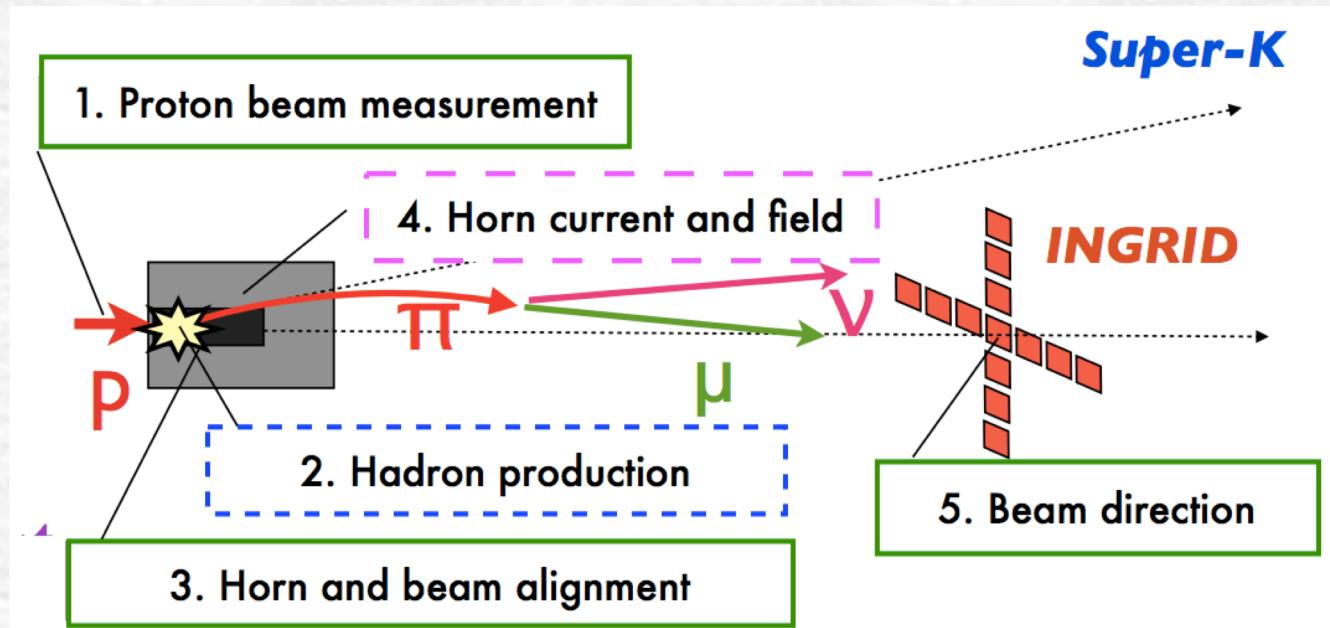
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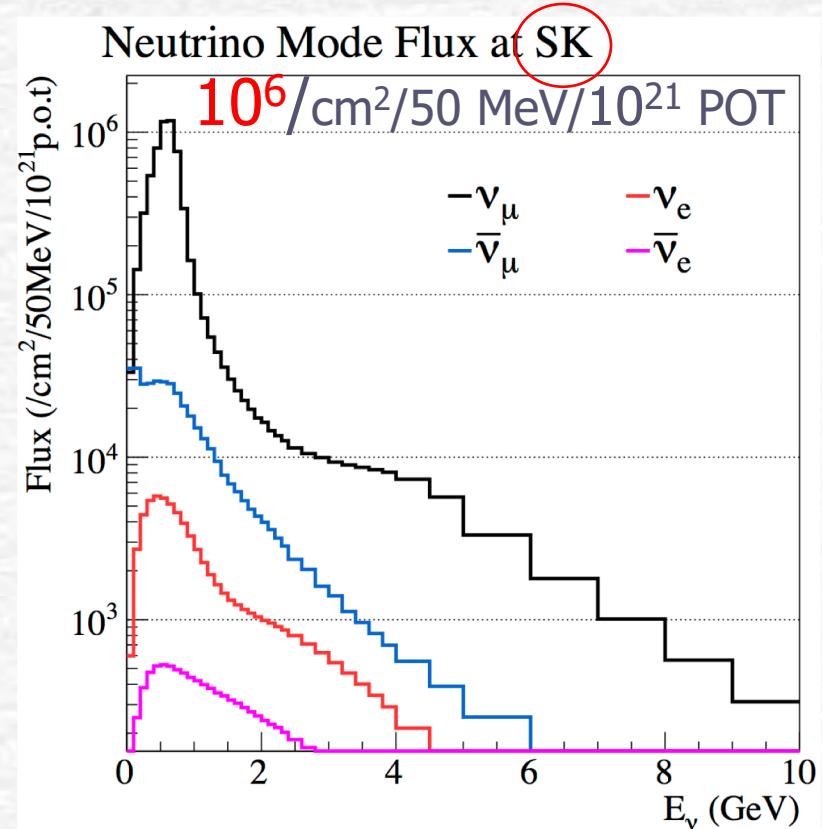
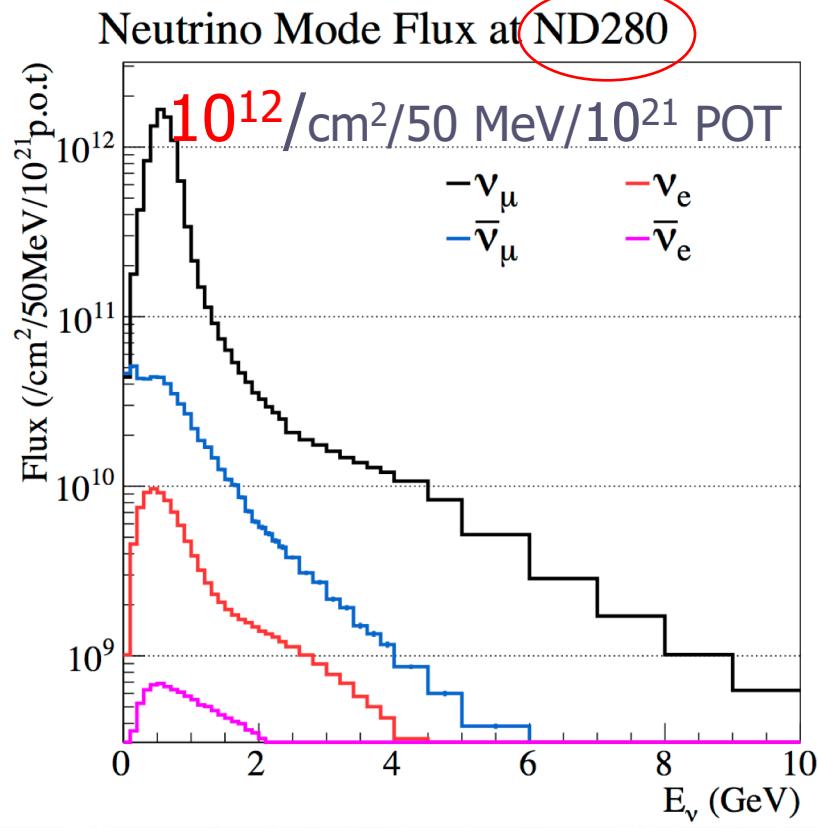
# T2K neutrino flux prediction

Neutrino flux calculations are based on

- ✓ Input from the proton **beam monitors** (beam profiles and current)
- ✓ **FLUKA2011** simulation: hadron production in the graphite target
  - ✓ this is tuned with **NA61/SHINE thin** (2 cm) target **data**
- ✓ **GEANT3**: propagation through magnetic horns and decay into neutrinos

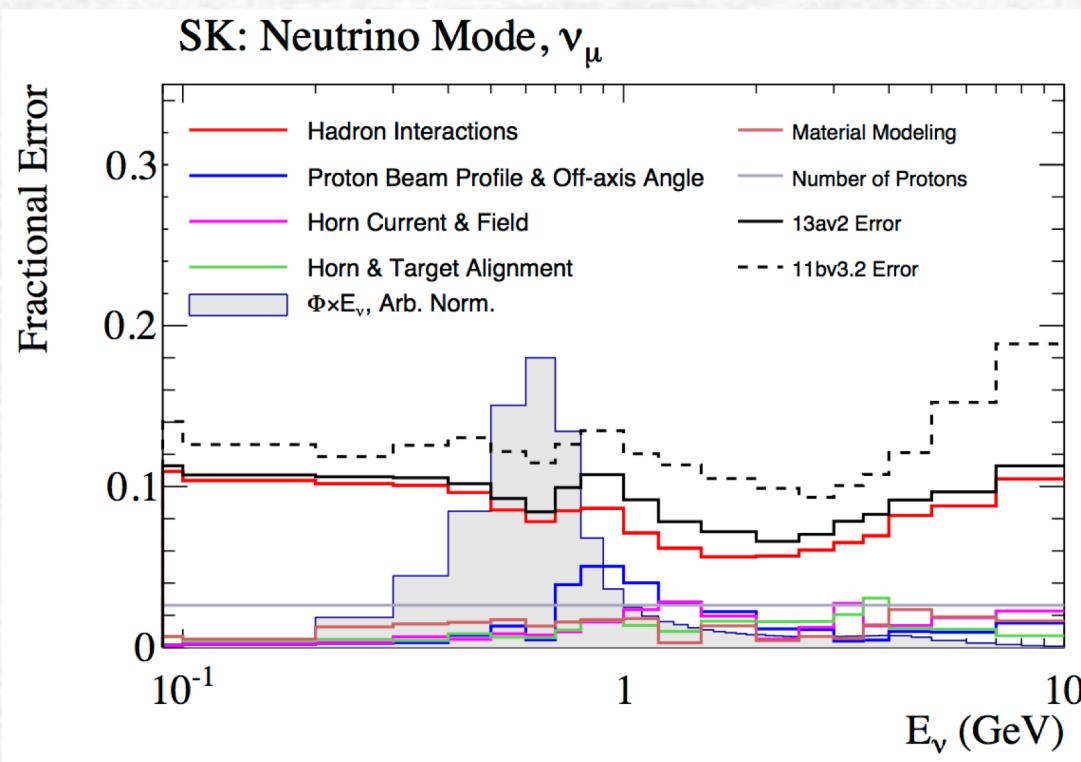


# Neutrino flux predictions (without oscillation)



# Uncertainties of the neutrino flux

- ✓ Flux prediction uncertainty is **8-12%**
- ✓ Uncertainties on **hadronic interaction** modeling are largest
- ✓ **NA61/SHINE** data taken with **replica T2K target** is being incorporated for future analyses -> reduce flux uncertainty

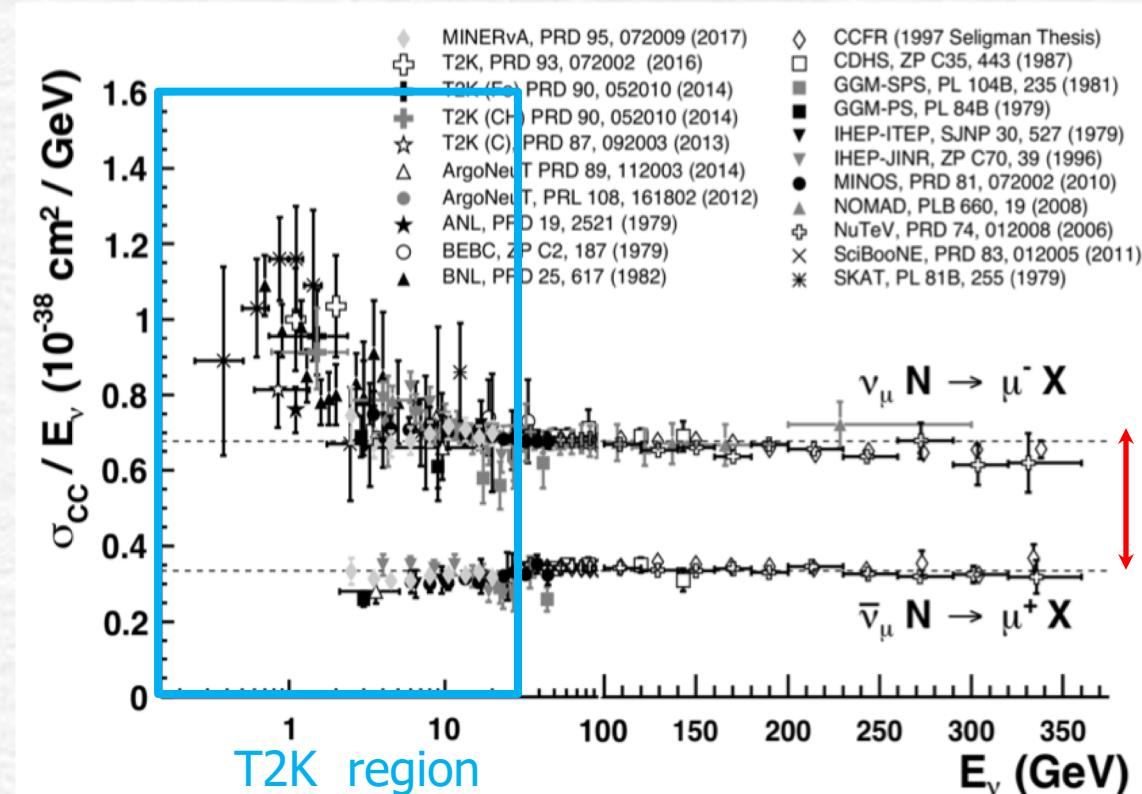


# Neutrino interactions

Charged-current (CC) *inclusive*  $\nu_\mu/\bar{\nu}_\mu$  cross sections

C. Patrignani et al. (**PDG**), Chin. Phys. C, **40**, 100001 (**2016**) and 2017 update:

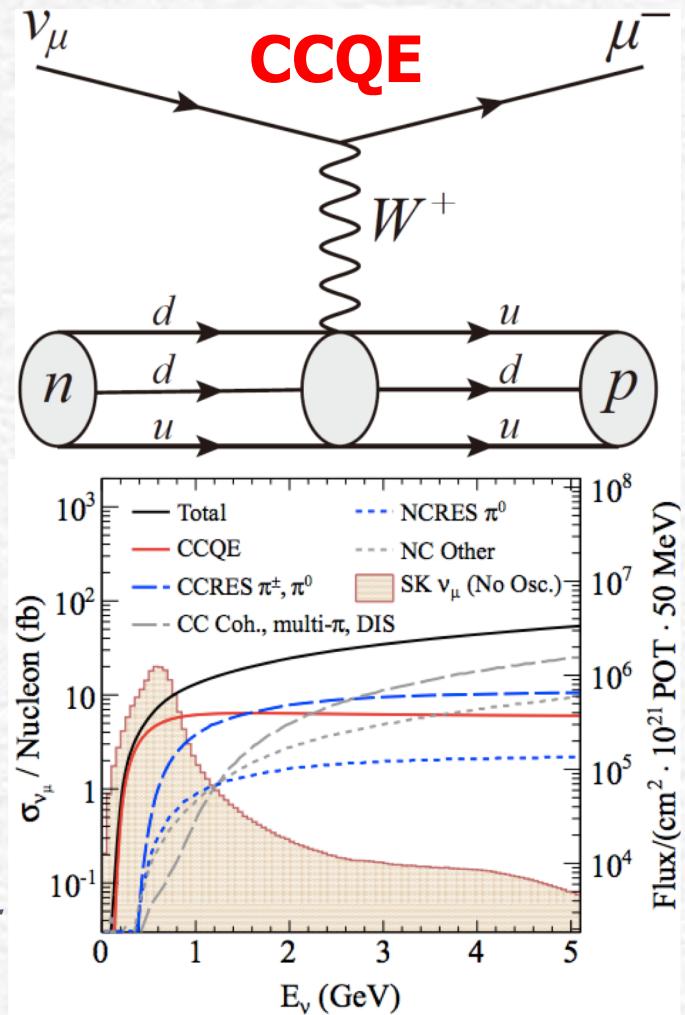
$10^{-38} \text{ cm}^2/\text{GeV}$   
per nucleon  
 $10^{-39} \text{ cm}^2/\text{GeV}$



# Neutrino interaction modeling

## Interactions at T2K energies:

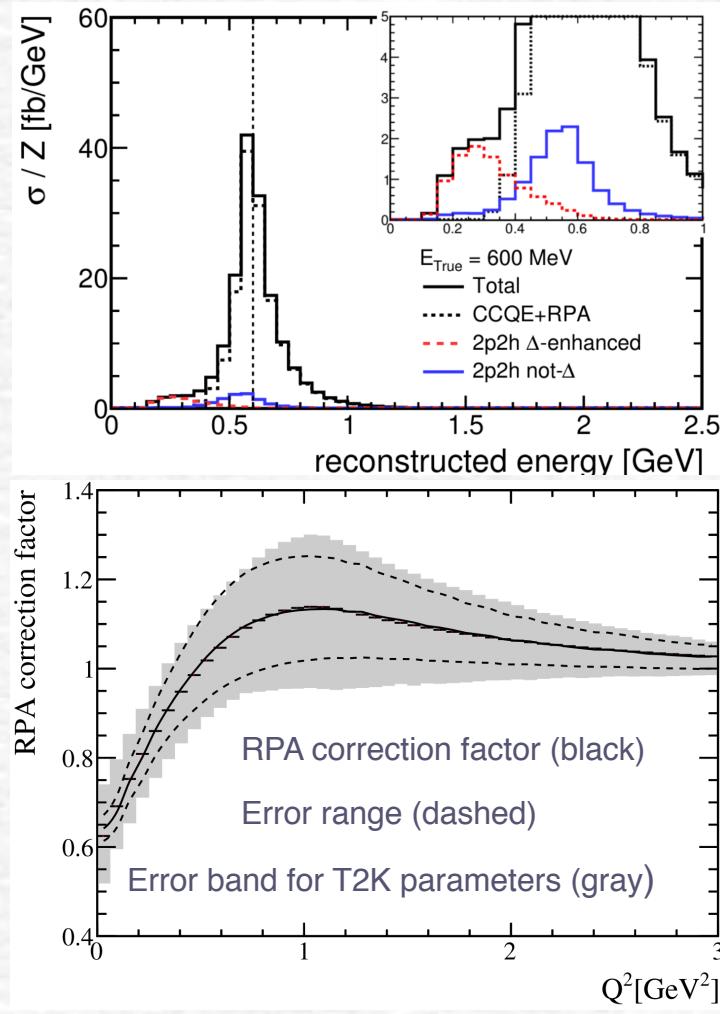
- ✓ Dominant contribution: **CCQE** (charged-current quasi-elastic)
- ✓ Interactions **with 1 or more pions** in the final state
- ✓ Nuclear effects can **mimic** CCQE interactions:
  - neutrino scatters on a correlated pair of nucleons ("2p2h" = "2 particle-2 hole", "multi-nucleon");
  - produced pion is re-absorbed in the *nucleus*,
  - produced pion is absorbed in the *detector*



# Interaction model improvements

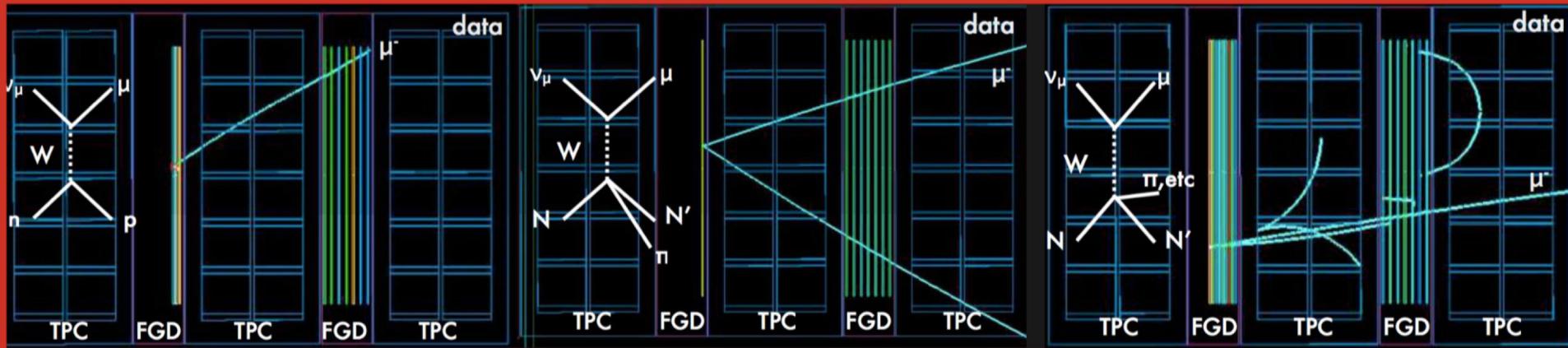
## Modifications of the main T2K neutrino generator (NEUT):

- ✓ A model for **multi-nucleon** scattering processes (Valencia 2p-2h model) was included in NEUT
- ✓ CCQE model was improved by including the **RPA correction factor** (RPA = random phase approximation)
- ✓ Pion production model was tuned to data on hydrogen and deuterium



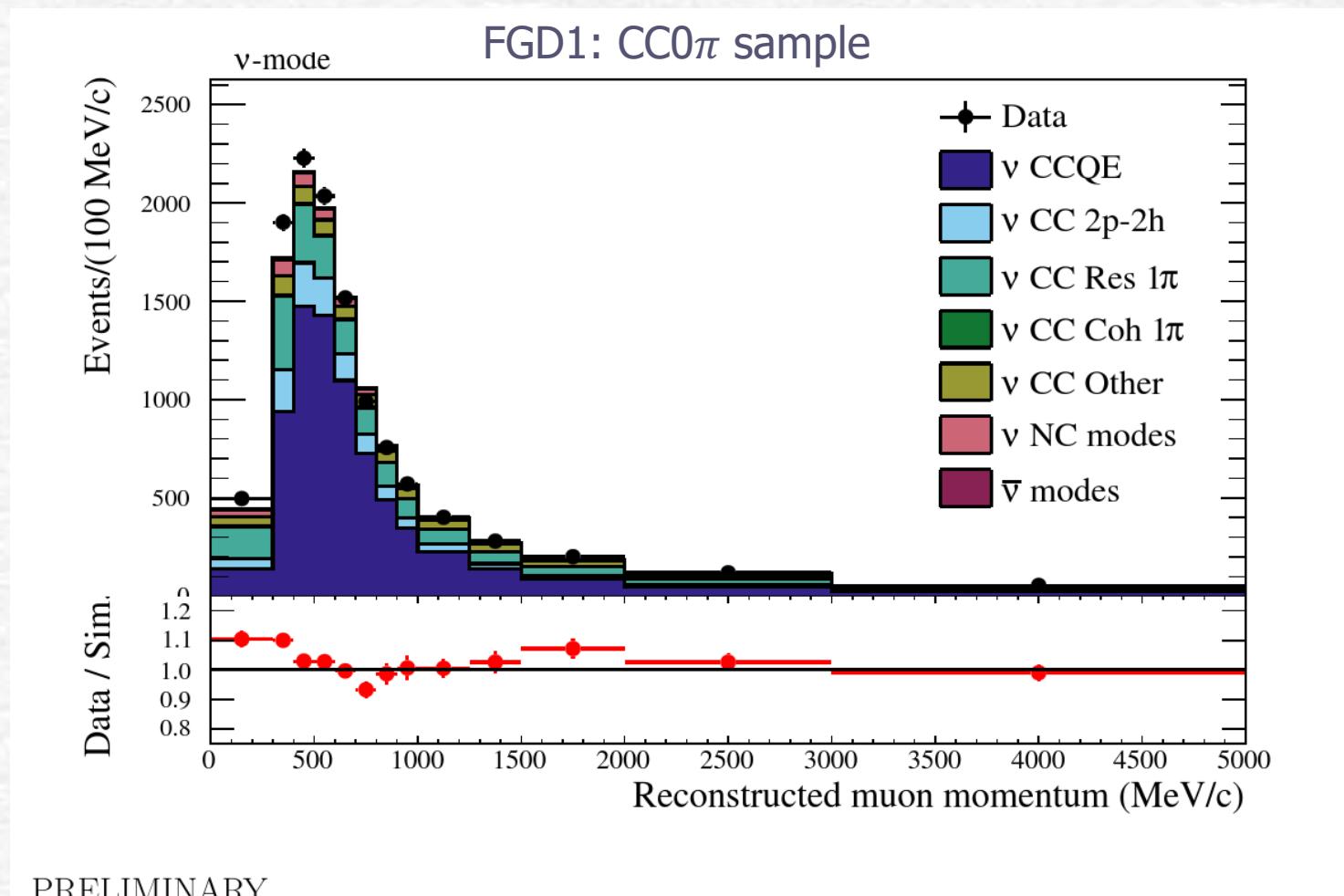
# Near Detector constraint

- Neutrino **flux** parameters (**f**) and neutrino **interaction model** parameters (**x**) for the SK can be constrained by ND280
- A binned likelihood  $L(f, x, d)$  is maximized for 14 ND280 data samples (6 for  $\nu_\mu$ -mode and 8 for  $\bar{\nu}_\mu$ -mode) [d=detector systematic parameters]
- MAX{ $L(f, x, d)$ } -> get central values and systematic uncertainties of parameters (**f**, **x**) for the oscillation analysis





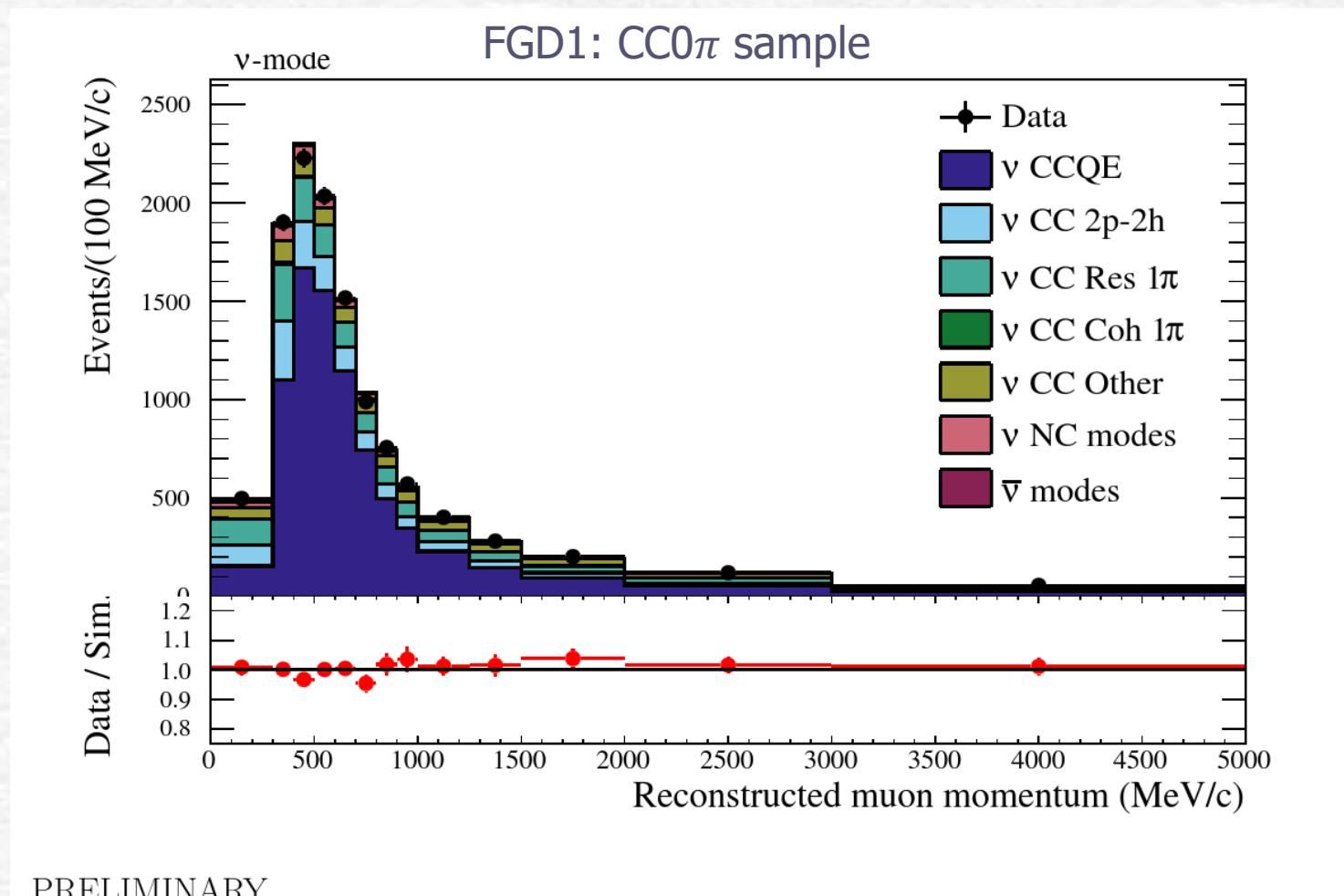
# Near detector constraint: pre-fit



PRELIMINARY



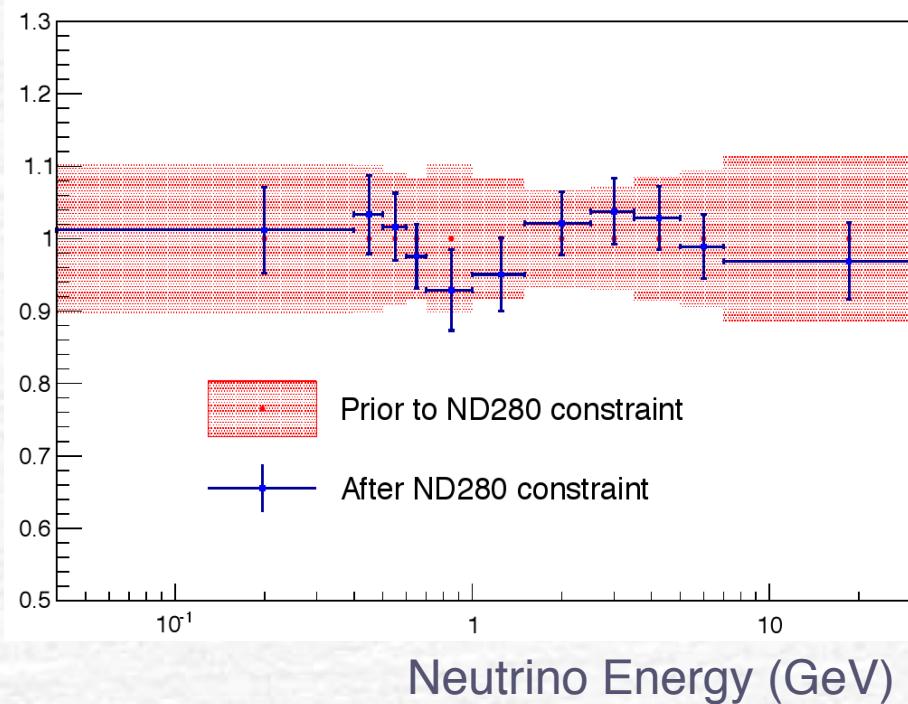
# Near detector constraint: post-fit



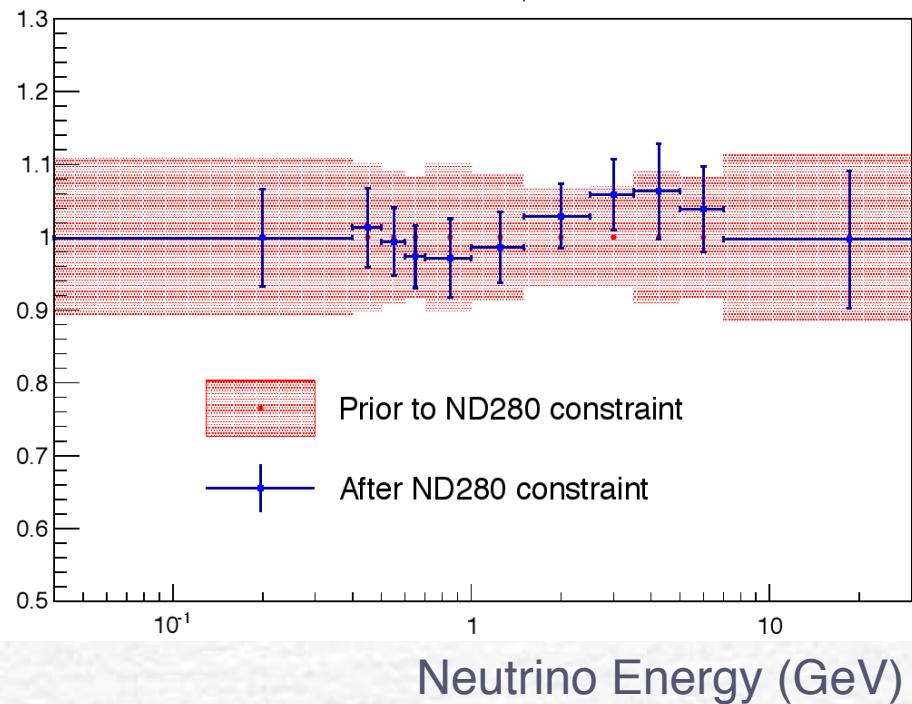
PRELIMINARY

# Fitted flux parameters

Super-K neutrino-mode flux

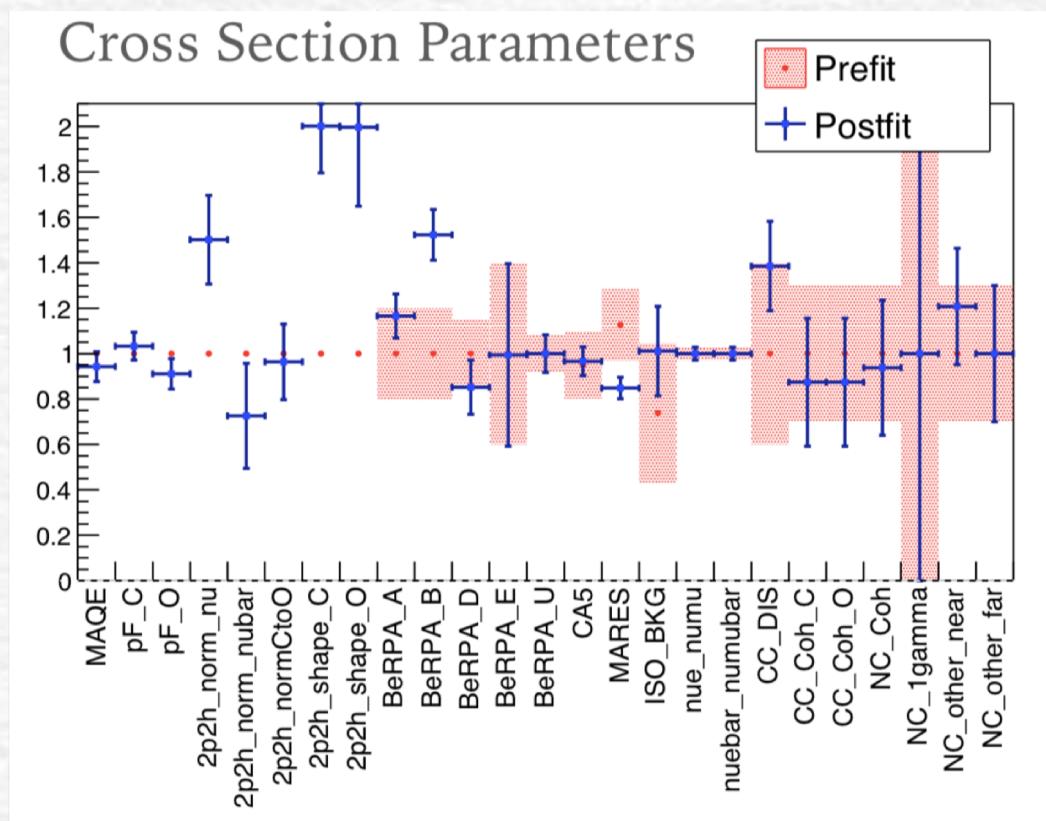


Super-K antineutrino-mode flux



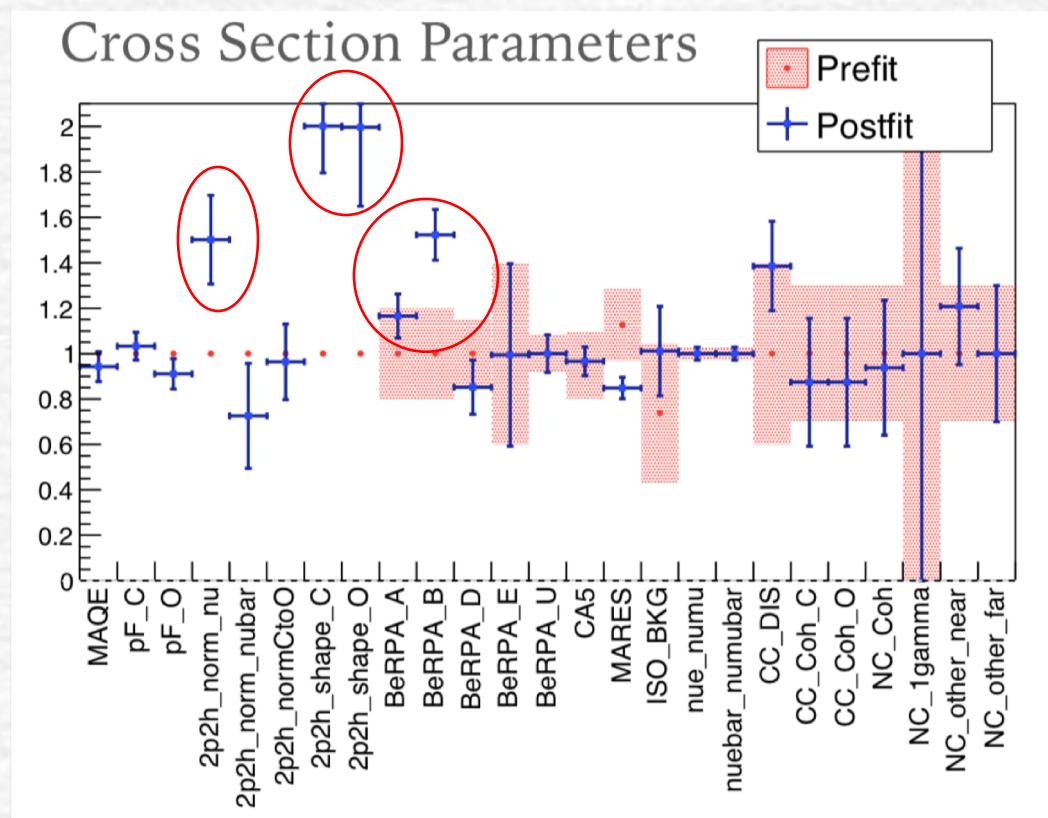
- ✓ Fitted flux parameters are near their nominal values (Post/Pre-fit  $\approx 1$ )
- ✓ Most of the fitted flux parameters are within  $1\sigma$  prior uncertainty

# Fitted interaction model parameters



The cross-section parameters include normalizations and Fermi momenta for C and O, parameters of nuclear effects (2p-2h, RPA), etc.

# Fitted interaction model parameters



The fit **enhanced** some of cross-section parameters  
(related to 2p-2h and RPA)



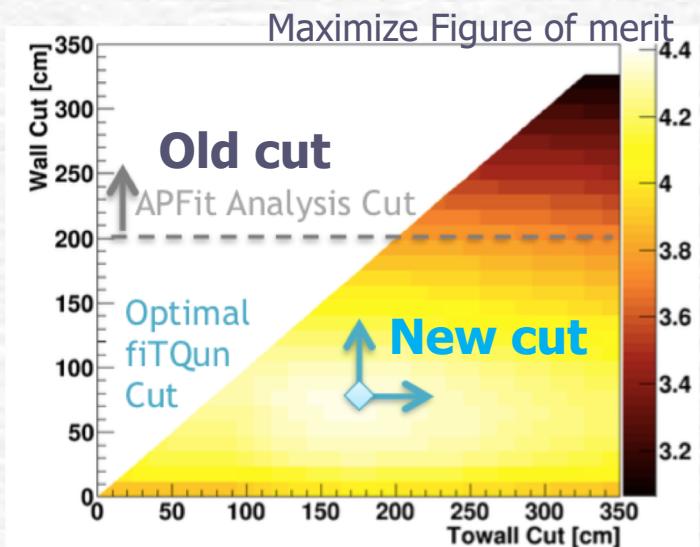
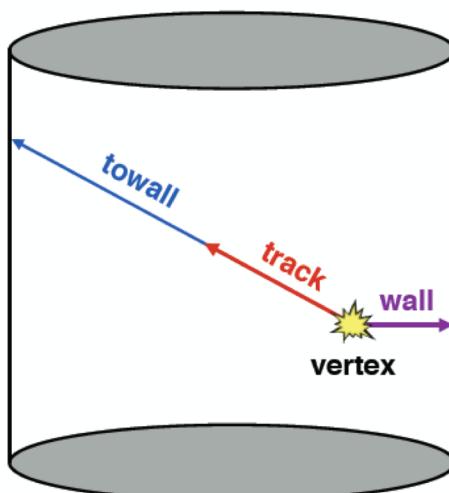
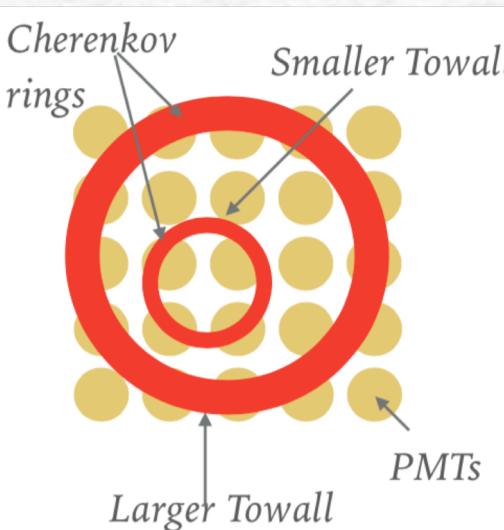
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Multi-step analysis:

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# Super-K event reconstruction

- ✓ New reconstruction algorithm: **fiTQun** (uses a charge and time likelihood) instead of previous algorithm APFit
- ✓ Re-optimizing fiducial volume (FV) cut: expansion of the FV by  $\simeq 20\text{-}30\%$  leads to  $\sim 30\%$  increase in effective statistics

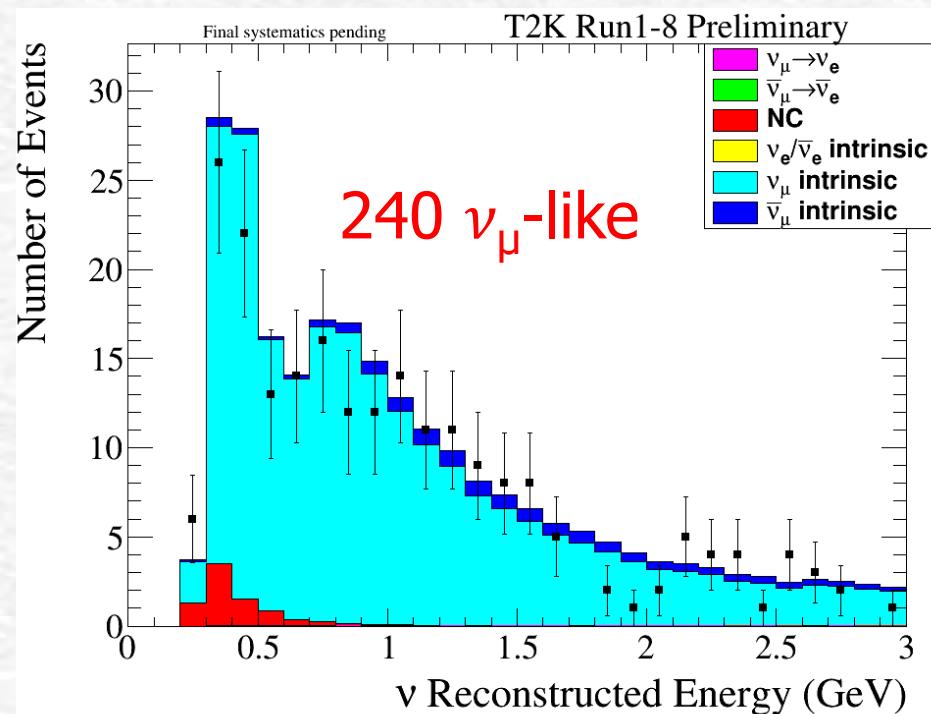


Two variables to constrain Fiducial Volume in the fitQun:

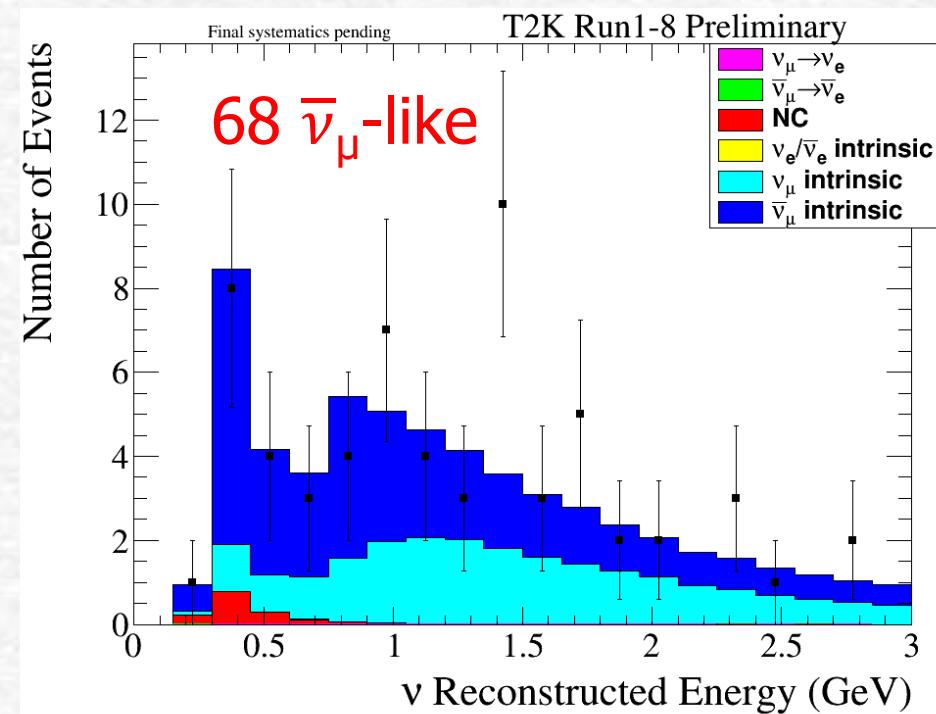
- **wall** = distance of vertex from wall (to exclude external background)
- **towall** = distance to the wall along the particle trajectory
  - Larger **towall** = better reconstruction (finer sampling of ring)

# Super-K spectra: disappearance

Neutrino mode ( $14.7 \times 10^{20}$  POT)



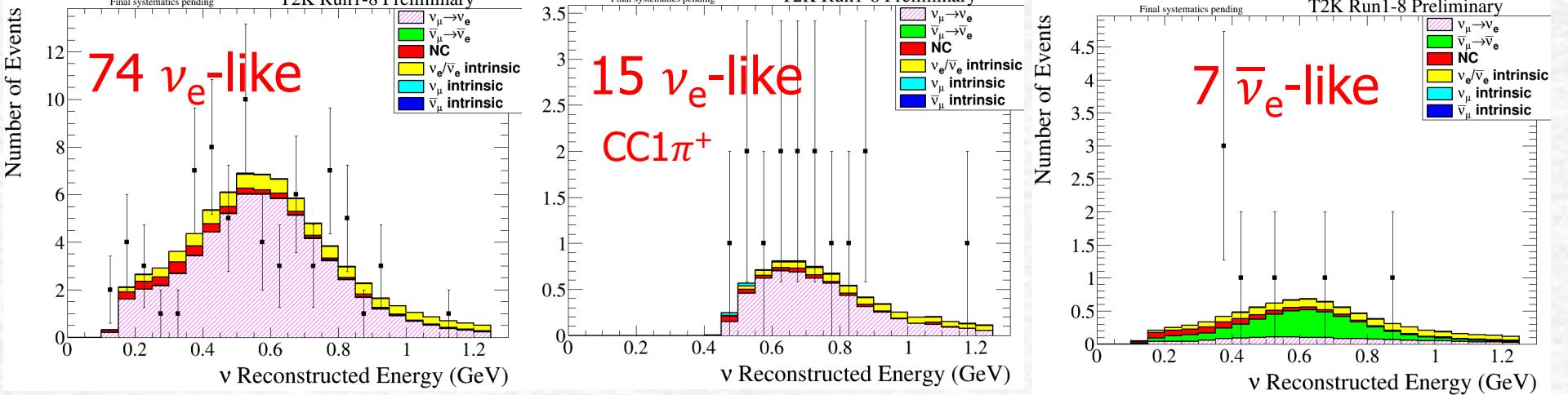
Antineutrino mode ( $7.6 \times 10^{20}$  POT)



Data $\nu_\mu$ -like	Expected			
	$\delta = -\pi/2$	$\delta = 0$	$\delta = \pi/2$	$\delta = \pi$
240	267.8	267.4	267.7	268.2

Data $\bar{\nu}_\mu$ -like	Expected			
	$\delta = -\pi/2$	$\delta = 0$	$\delta = \pi/2$	$\delta = \pi$
68	63.1	62.9	63.1	63.1

# Super-K spectra: appearance



**Neutrino mode ( $14.7 \times 10^{20}$  POT)**

**CC1π<sup>+</sup> sample in neutrino mode:**  
1 e-like ring + 1 decay electron

Data $\nu_e$ - like	Expected			
	$\delta = -\pi/2$	$\delta = 0$	$\delta = \pi/2$	$\delta = \pi$
74	73.5	61.5	49.9	62.0

Data $\nu_e$ - like CC1 π <sup>+</sup>	Expected			
	$\delta = -\pi/2$	$\delta = 0$	$\delta = \pi/2$	$\delta = \pi$
15	6.9	6.0	4.9	5.8

Data $\bar{\nu}_e$ - like	Expected			
	$\delta = -\pi/2$	$\delta = 0$	$\delta = \pi/2$	$\delta = \pi$
7	7.9	9.0	10.0	8.9



# Systematic errors at SK (%)

Error source	1-Ring $\mu$ -like		1-Ring e-like			
	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -mode CC1 $\pi^+$	$\nu$ -/ $\bar{\nu}$ -modes
SK Detector	1.86	1.51	3.03	4.22	16.69	1.60
SK FSI + SI + PN	2.20	1.98	3.01	2.31	11.43	1.57
Flux+Cross sect. constrained	3.22	2.72	3.22	2.88	4.05	2.50
$\sigma(\nu_e)/\sigma(\bar{\nu}_e)$	0.00	0.00	2.63	1.46	2.62	3.03
NC1 $\gamma$	0.00	0.00	1.08	2.59	0.33	1.49
NC Other	0.25	0.25	0.14	0.33	0.98	0.18
Total Systematic error	4.40	3.76	6.10	6.51	20.94	4.77

FSI = Final State Interaction

SI = Secondary interactions

PN = Photo-nuclear interactions

NC = Neutral Current

Total error is in 4-7% range (except for  $\nu$ -mode CC1 $\pi$  sample)



# Systematic errors at SK (%)

Error source	1-Ring $\mu$ -like		1-Ring e-like			$\nu$ -/ $\bar{\nu}$ -modes
	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -mode CC1 $\pi$	
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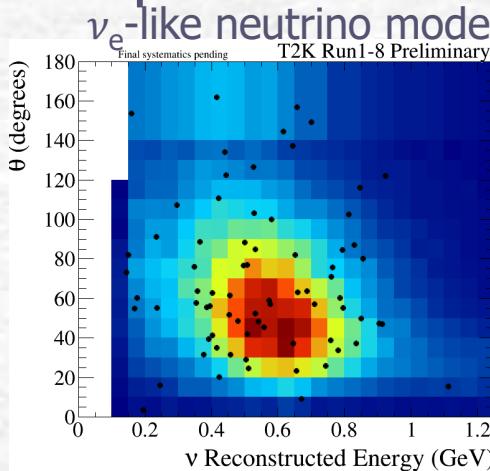
NC = Neutral Current

Errors for ratio of  $\nu$ -/ $\bar{\nu}$ -modes e-like (appearance) samples are relevant for extraction of CP violation effect

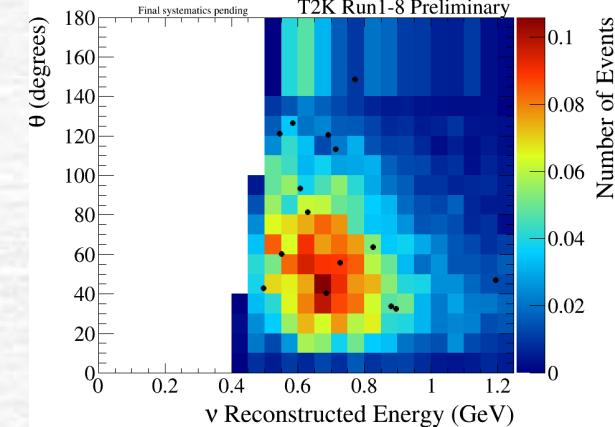
# Joint fit of $(\nu + \bar{\nu})$ -data

- ✓ 5 SK data samples in neutrino and antineutrino modes
- ✓ A binned likelihood  $\mathbf{L}(\mathbf{o}, \mathbf{p})$  is built for these data samples (**o** – oscillation; **p** – other parameters)
- ✓ Marginalize the likelihood (integrate over **p** and some of **o** parameters) and find a maximum (minimum of  $-2\ln\mathbf{L}$ )
- ✓ 3 analyses: Frequentist and Bayesian approaches -> *confidence* intervals and *credible* intervals of the oscillation

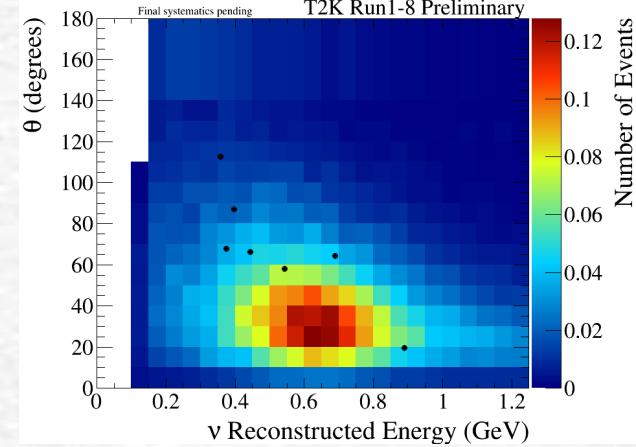
parameters



$\nu_e$ -like CC1 $\pi^+$  neutrino mode

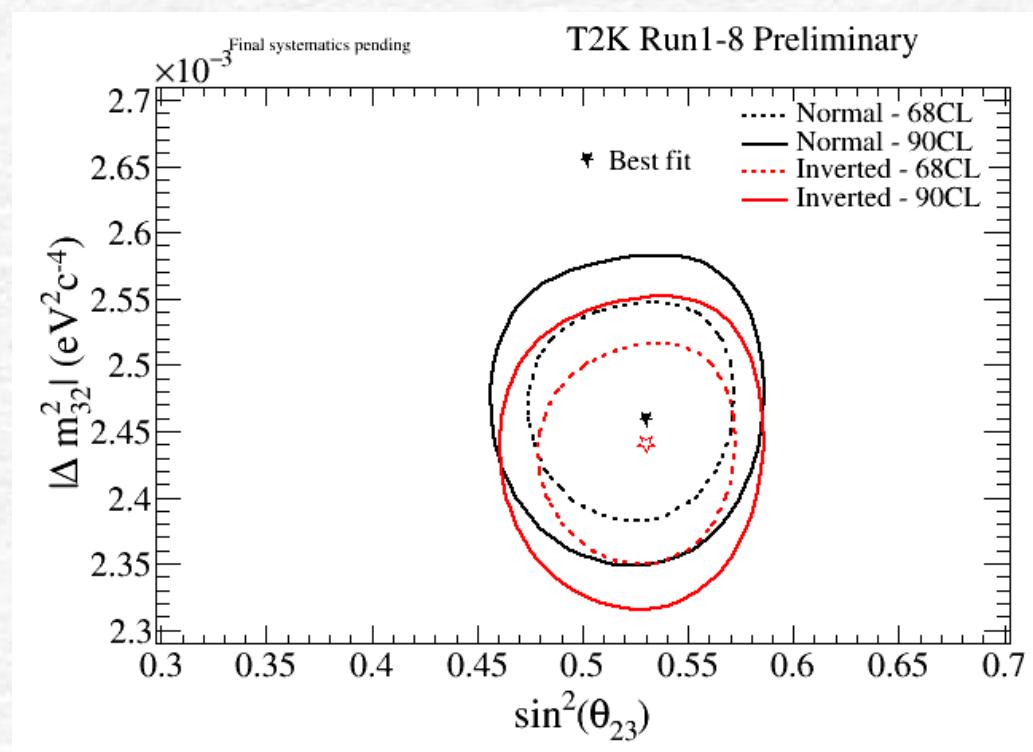


$\bar{\nu}_e$ -like antineutrino mode

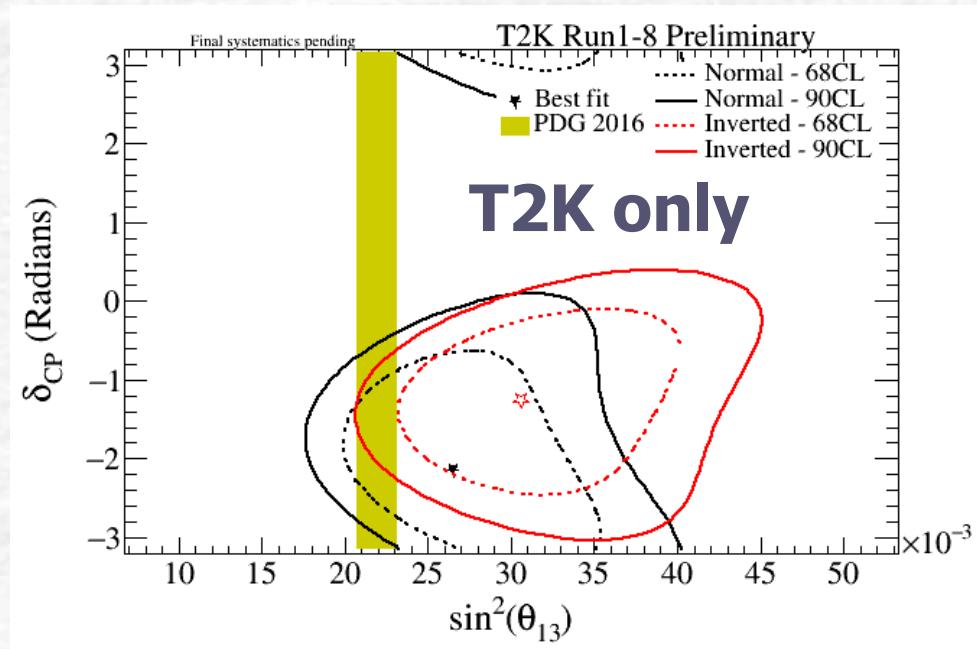


# Atmospheric parameter constraint

- Fit the normal and inverted hierarchies separately
- $\theta_{13}$  is reactor constrained
- Final systematics pending

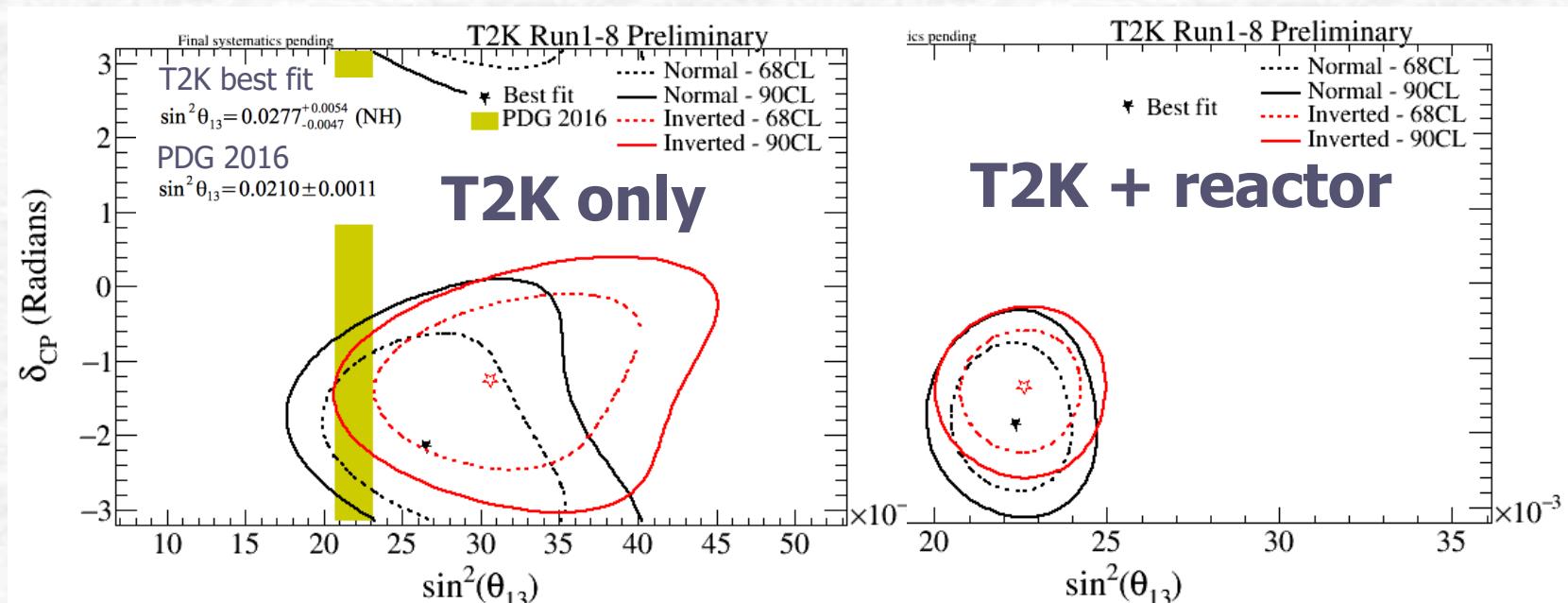


# T2K ( $\theta_{13}$ , $\delta_{CP}$ ) constraints



- **T2K only** (without reactor constraints):  $\sin^2\theta_{13}$  is compatible with results of the reactor experiments.
- Preferable values of  $\delta_{CP}$  around  $-\pi/2$

# T2K ( $\theta_{13}$ , $\delta_{CP}$ ) constraints



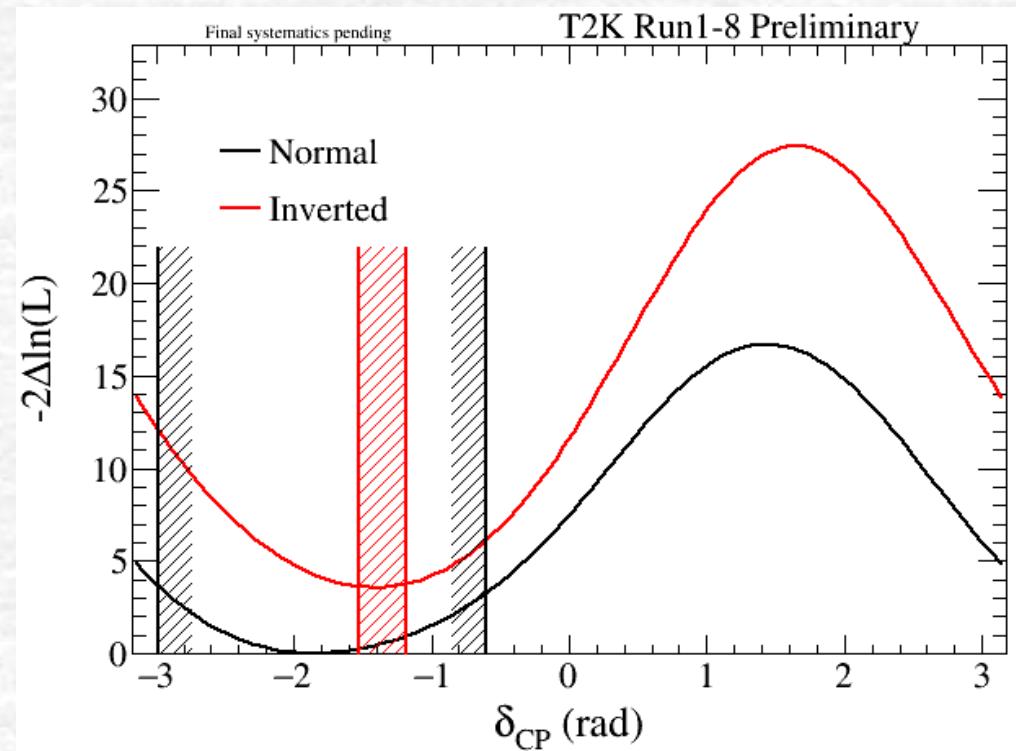
- **T2K only** (without reactor constraints):  $\sin^2 \theta_{13}$  is compatible with results of the reactor experiments.
- Preferable values of  $\delta_{CP}$  around  $-\pi/2$
- **T2K + reactor** constraints: clear preference of  $\delta_{CP}$  around  $-\pi/2$

# T2K $\delta_{CP}$ results

**Best fit point:** **-1.83** radians in  
Normal Hierarchy

## **2 $\sigma$ CL intervals:**

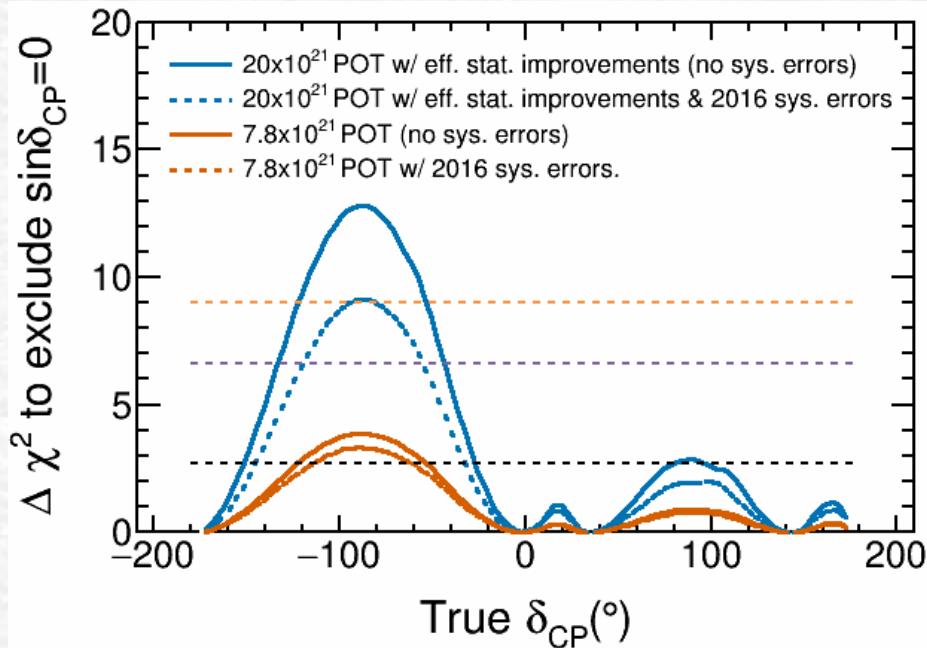
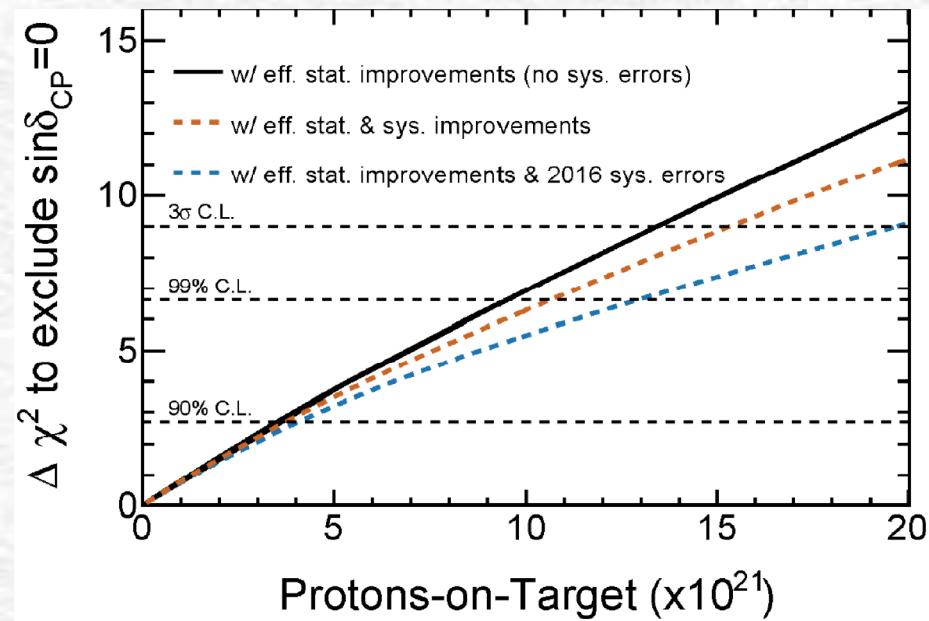
- *Normal* Hierarchy: **[-2.98, -0.60]** radians
- *Inverted* Hierarchy: **[-1.54, -1.19]** radians
- CP conserving values 0,  $\pi$  both fall outside 2 $\sigma$  CL intervals



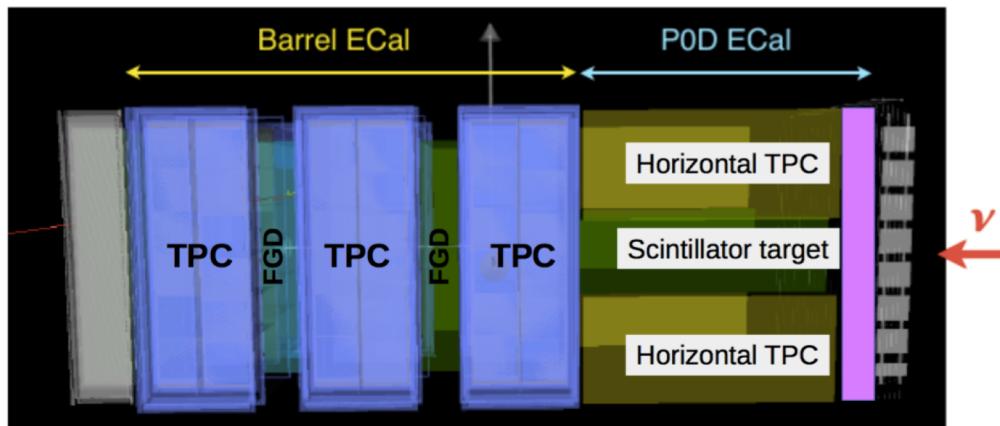
# The Future (2021-2026): T2K-II

- T2K's primary goal ( $7.8 \times 10^{21}$  POT) could be reached by 2021
- Next proposal: to reach  $20 \times 10^{21}$  POT by 2026
- Search for CPV: if  $\delta_{CP}$  is near current best fit, then  $3\sigma$  discovery of CP violation is possible in T2K-II (need to improve systematic uncertainties)

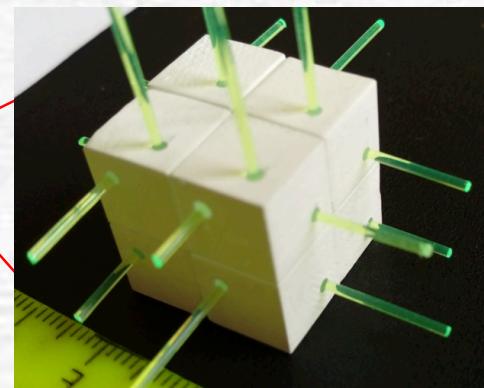
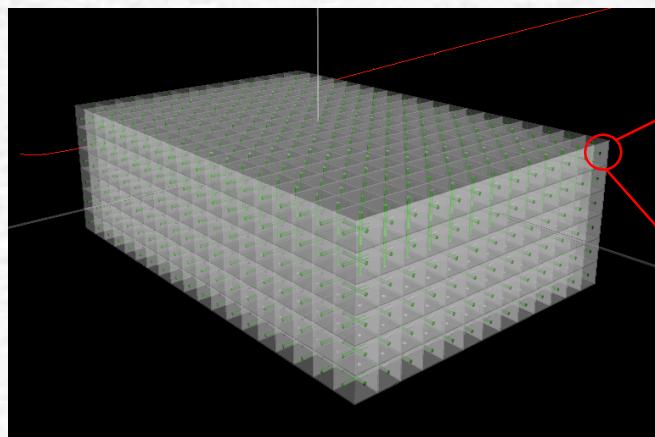
arXiv:1609.04111



# T2K-II: Near Detector upgrade

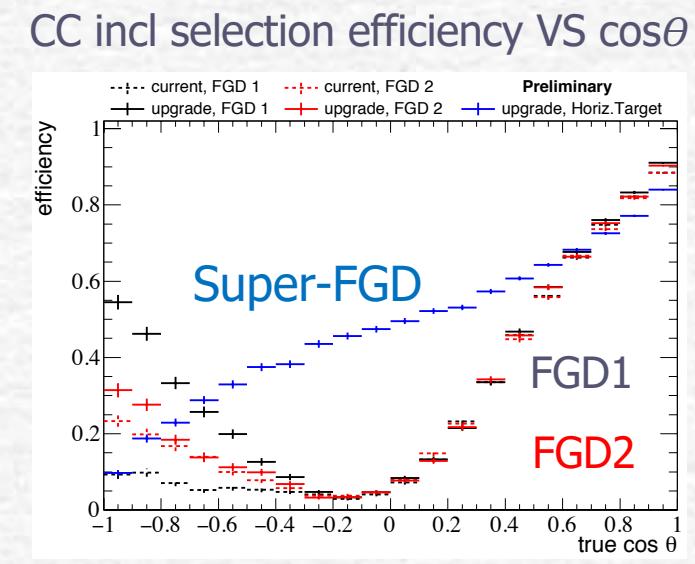


- Current P0D will be replaced by
- a fully active scintillator neutrino target (Super-FGD) and
  - 2 Horizontal TPCs



Scintillator target (Super-FGD):  
over 2 million cubes

1 cm<sup>3</sup> cubes  
3 fibers per cube



Muon angle (True  $\cos\theta$ )



# Summary

- Since summer 2016 T2K has doubled neutrino- and antineutrino-mode data (current total  $\sim 3.1 \times 10^{21}$  POT)
- Updates to the T2K oscillation analysis:
  - Improvements to neutrino interaction model (added nuclear effects)
  - New reconstruction and event selection at Super-K: effective improvement in statistics by  $\sim 30\%$
- T2K oscillation study results (for  $14.7 \times 10^{20}$  POT in neutrino mode and  $7.6 \times 10^{20}$  POT in antineutrino mode):
  - CP conserving values of  $\delta_{CP}$  are excluded at  $2\sigma$
- Proposal of T2K-II: to collect  $20 \times 10^{21}$  POT in 2021-2026
  - R&D for Upgrade of the Near Detector is ongoing



# Backup slides



# T2K Collaboration



**Italy** ~500 members, 64 Institutes, 12 countries

**Canada**

TRIUMF  
U. B. Columbia  
U. Regina  
U. Toronto  
U. Victoria  
U. Winnipeg  
York U.

INFN, U. Bari  
INFN, U. Napoli  
INFN, U. Padova  
INFN, U. Roma

**Japan**

ICRR Kamioka  
ICRR RCCN  
Kavli IPMU  
KEK

Kobe U.

Kyoto U.  
Miyagi U. Edu.

Okayama U.

Osaka City U.

Tokyo Institute Tech

Tokyo Metropolitan U.

U. Tokyo

Tokyo U of Science

Yokohama National U.

**Poland**

IFJ PAN, Cracow  
NCBJ, Warsaw  
U. Silesia, Katowice  
U. Warsaw  
Warsaw U. T.  
Wroclaw U.

**Switzerland**

ETH Zurich  
U. Bern  
U. Geneva

**USA**

Boston U.  
Colorado S. U.  
Duke U.  
Louisiana State U.

Michigan S.U.  
Stony Brook U.  
U. C. Irvine  
U. Colorado

U. Pittsburgh  
U. Rochester  
U. Washington

**Vietnam**

IFIRSE  
IOP, VAST

**Russia**

INR

**Spain**

IFAE, Barcelona  
IFIC, Valencia  
U. Autonoma Madrid

STFC/RAL

U. Liverpool  
U. Sheffield  
U. Warwick

# Probability of $\nu_\mu \rightarrow \nu_e$ (appearance) with 1<sup>st</sup> order matter effect

$$P(\nu_\mu \rightarrow \nu_e) \approx 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \right)$$

**Leading term including matter effect**

$$+ 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

**CP conserving**

$$- 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21}$$

**CP violating**

$$+ 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21}$$

**Solar**

$$- 8c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \frac{aL}{4E} \cos \Delta_{32} \sin \Delta_{31}$$

**Matter effect (small)**

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{ eV}^2 \frac{\rho}{\text{gcm}^{-3}} \frac{E}{\text{GeV}}$$

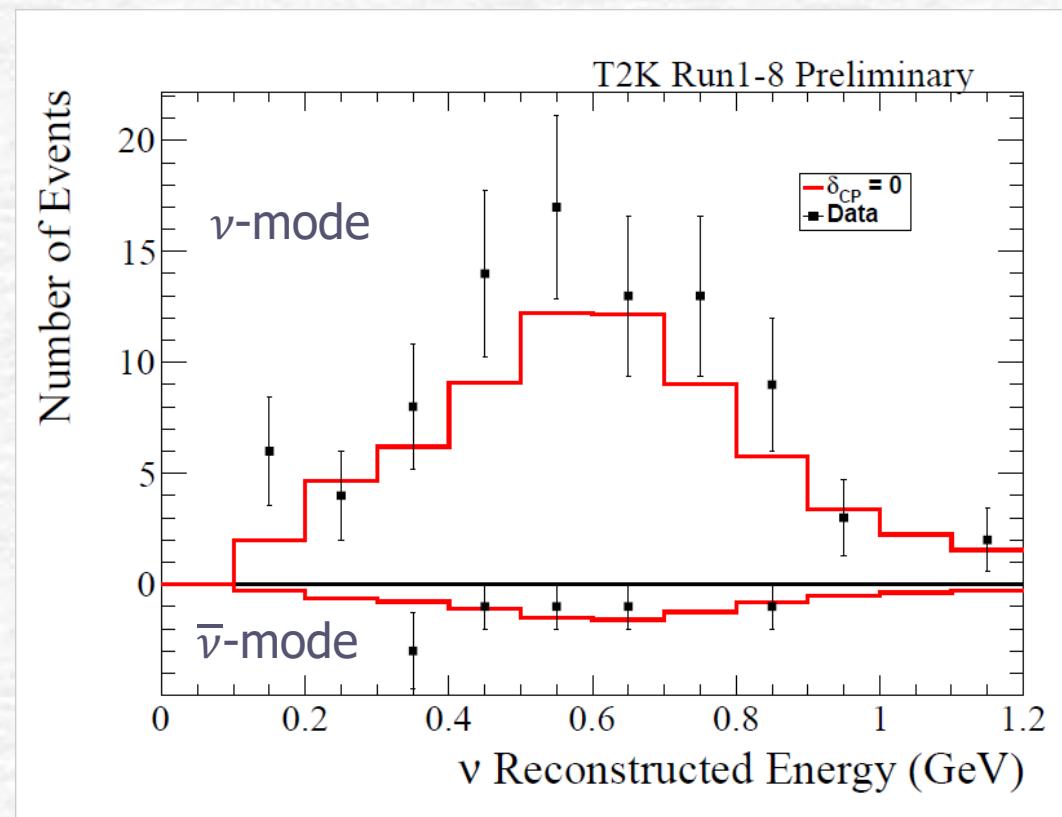
replace  $\delta$  by  $-\delta$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

# $\nu_e/\bar{\nu}_e$ appearance: data and prediction

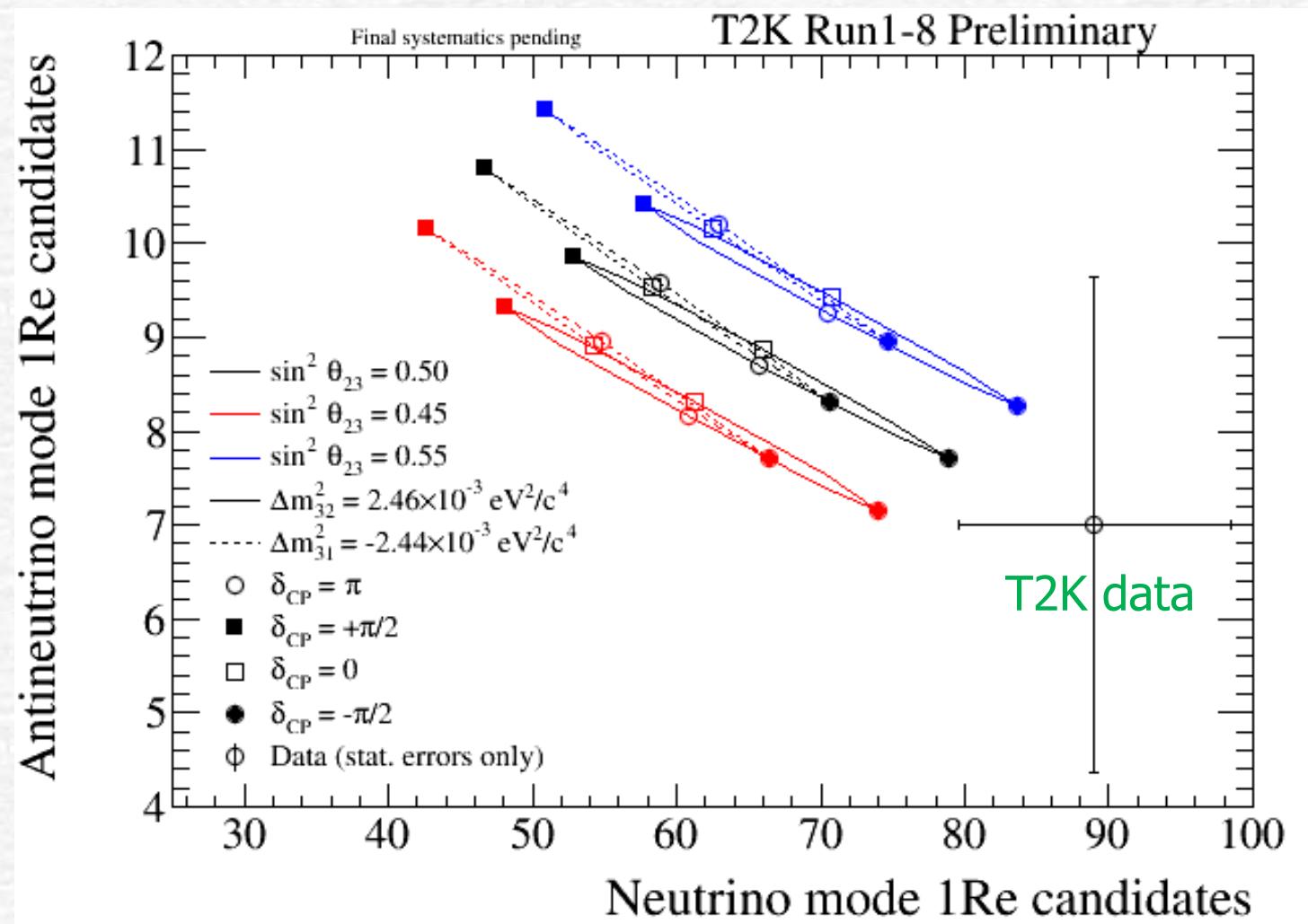
Compared to  $\delta_{CP} = 0$

prediction:

- data excess in  $\nu$ -mode
- data deficit in  $\bar{\nu}$ -mode



# $\nu_e/\bar{\nu}_e$ appearance: data and prediction



# Far future (2026-2036): Hyper-Kamiokande

- J-PARC MR power:  $\sim 1.3$  MW
  - $2.7 \times 10^{22}$  POT (10 years)
- Upgraded Near Detector
- New Far Detector in Tochibora mine
  - same baseline, 295 km,
  - same off-axis angle,  $2.5^\circ$
  - 60 m (height), 74 m (diameter)
  - total volume 258 kton
  - 40% photocoverage
- Better sensitivity (3-5 $\sigma$  of CPV discovery)
- Rich (astro)physics program

